

Introduction:

Definition of a topological space A topology on a

set  $X$  is a collection  $\mathcal{T}$  of subsets of  $X$  satisfying the following axioms:

- 1)  $\emptyset, X \in \mathcal{T}$ .
- 2) If  $U_1, \dots, U_n \in \mathcal{T}$  then so is  $U_1 \cap \dots \cap U_n \in \mathcal{T}$ .
- 3) If  $U_\alpha \in \mathcal{T}$  for all  $\alpha \in J$ , for some index set  $J$ , then  $\bigcup_{\alpha \in J} U_\alpha \in \mathcal{T}$ .

Elements of  $\mathcal{T}$  are called open subsets of the topology  $\mathcal{T}$ . The pair  $(X, \mathcal{T})$  is called a topological space.

A subset  $C \subseteq X$  is called closed if  $X \setminus C$  is open (i.e.  $X \setminus C \in \mathcal{T}$ ).

Let  $A \subseteq X$  be any subset. The closure of  $A$ , denoted  $\bar{A}$  is the subset defined by

$$\bar{A} = \bigcap_{\substack{F \supseteq A \\ F \text{ closed}}} F, \text{ which is closed.}$$

Similarly, Interior of  $A$ , denoted  $\text{Int} A$  or  $\overset{\circ}{A}$ , is the subset defined by

$$\text{Int } A = \bigcup_{\substack{U \subseteq A \\ U \text{ open}}} U.$$

Examples: 1) Finite topologies

$X = \{a, b, c\}$ ,  $\mathcal{T} = \{\emptyset, \{a, b, c\}, \{a\}, \{b\}, \{a, b\}\}$   
 $\mathcal{T}$  is a topology on  $X$ .

2)  $X$  any set. The smallest topology on  $X$  is the topology  $\{\emptyset, X\}$ .  
 This topology is also called the weakest or coarsest topology on  $X$ .

3)  $X$  any set. The largest topology on  $X$  is the topology  $\mathcal{P}(X)$ .

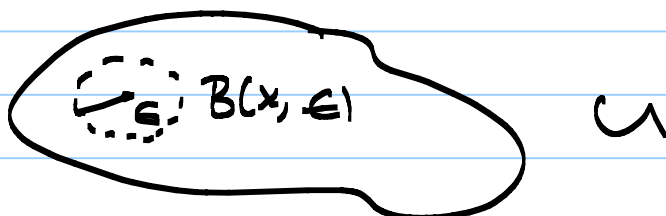
This topology is also called the strongest or the finest topology on  $X$ .

4)  $X = \mathbb{R}$   $\mathcal{T}_{\text{std}} = \{U \mid U \subseteq \mathbb{R}, \forall x \in U \text{ then } (x - \epsilon, x + \epsilon) \subseteq U \text{ for some } \epsilon > 0\}$ .

$\mathbb{R}_{\text{std}}$ ,  $\mathbb{R}_{\text{std}}$   $U \subseteq \mathbb{R}^n$  open if

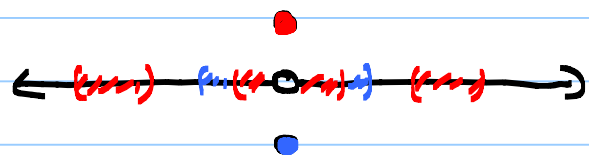
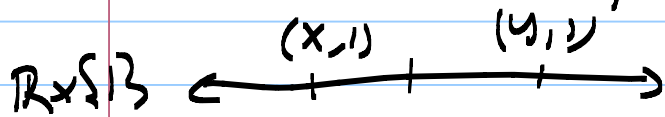
for any  $x \in U$  there is some  $\epsilon > 0$  so that

$$B(x, \epsilon) = \{y \in \mathbb{R}^n \mid \|x - y\| < \epsilon\} \subseteq U.$$



5) Real line with double origin.

$$X = \mathbb{R} \times \{0, 1\} / (x, 0) \sim (x, 1) \text{ unless } x \neq 0$$



Definition: A topological space  $(X, \mathcal{T})$  is called

$T_0$  if for any  $x, y \in X$  with  $x \neq y$  then there is an open set  $U$  so that either

$(x \in U \text{ and } y \notin U)$  or  $(y \in U \text{ and } x \notin U)$ .

Similarly,  $X$  is called  $T_1$  if for any  $x, y \in X$  with  $x \neq y$  there is an open set  $U$  with

$x \in U$  and  $y \notin U$ .

Finally,  $X$  is called  $T_2$  (or Hausdorff) if for any  $x, y \in X$  with  $x \neq y$  there are open subsets  $U, V$  so that

$x \in U, y \in V$  and  $U \cap V = \emptyset$ .

Clearly,  $T_2 \Rightarrow T_1 \Rightarrow T_0$ .

Example The real line with double origin is  $T_1$  but not  $T_2$ .

## Equivalence of Topologies:

A function  $f: (X, \mathcal{T}_X) \rightarrow (Y, \mathcal{T}_Y)$  is called continuous if  $f^{-1}(U)$  is open ( $f^{-1}(U) \in \mathcal{T}_X$ )

$U$  is open in  $Y$  ( $U \in \mathcal{T}_Y$ ).

A continuous bijection  $f: (X, \mathcal{T}_X) \rightarrow (Y, \mathcal{T}_Y)$  is called a homeomorphism if its inverse

$f^{-1}: (Y, \mathcal{T}_Y) \rightarrow (X, \mathcal{T}_X)$  is also continuous.

In this case  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  are called homeomorphic. We regard homeomorphic spaces as the same in topology.

## Base and Subbase:

Let  $(X, \mathcal{T})$  be a space. A subcollection  $\mathcal{B}$  of open subsets of  $(X, \mathcal{T})$  is called base if the followings are satisfied.

1) For any open subset  $U$  of  $X$  and point  $x \in U$  there is some  $B \in \mathcal{B}$  so that

$$x \in B \subseteq U.$$

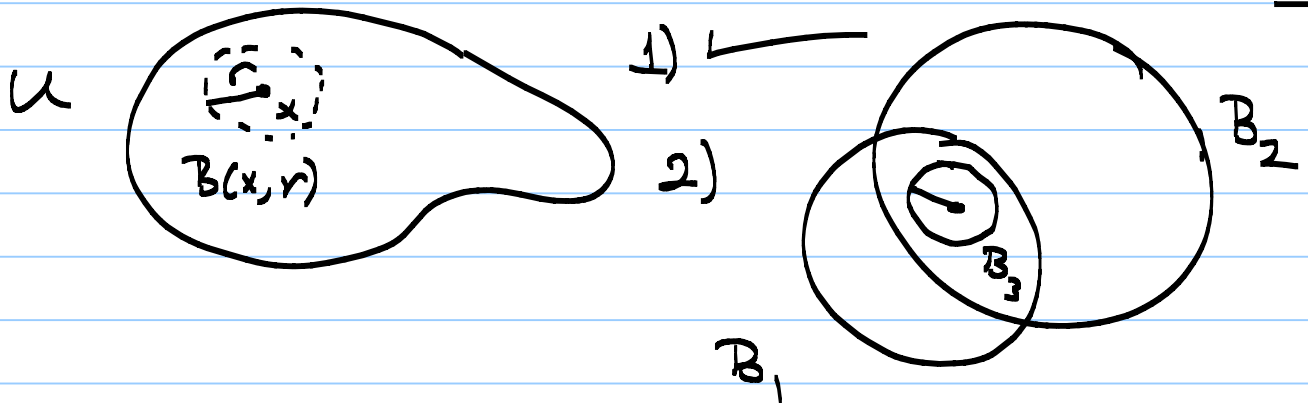
2) If  $B_1, B_2 \in \mathcal{B}$  and  $x \in B_1 \cap B_2$  then there is  $B_3 \in \mathcal{B}$  so that

$$x \in B_3 \subseteq B_1 \cap B_2.$$

## Video 2

Example:  $X = \mathbb{R}^2$

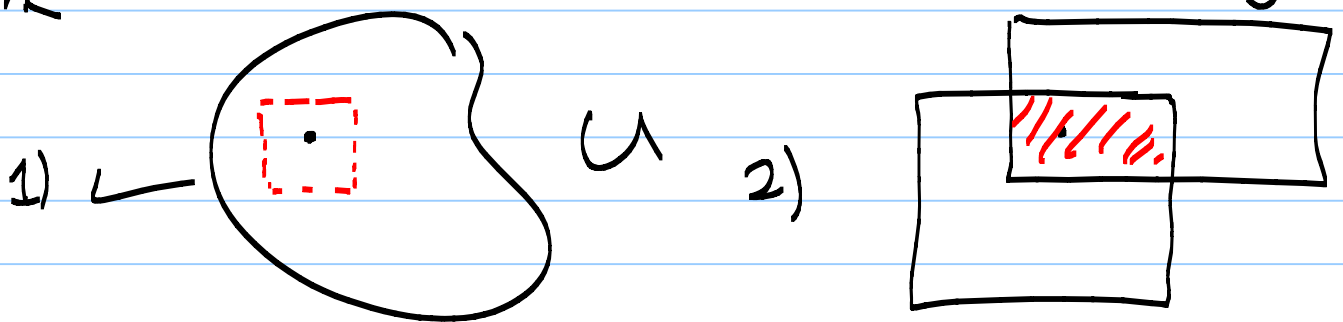
$$\mathcal{B} = \{B(x, r) \mid x \in \mathbb{R}^2, r > 0\}$$



Hence,  $\mathcal{B}$  is a basis for the standard topology on  $\mathbb{R}^2$ .

$$2) \mathcal{C} = \{(a, b) \times (c, d) \mid a < b, c < d \in \mathbb{R}\}$$

is also a basis for the standard topology on  $\mathbb{R}^2$ .



Comparison of Topologies: Let  $\mathcal{T}_1$  and  $\mathcal{T}_2$  be

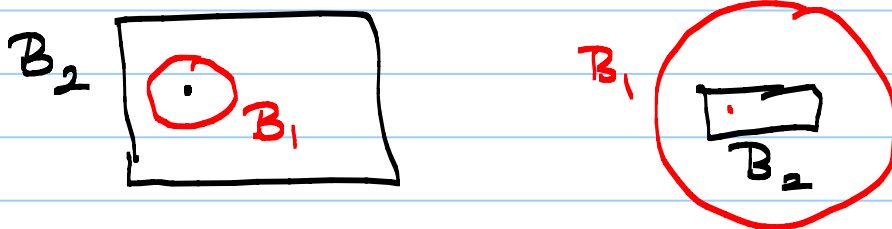
two topologies on a set  $X$ . We say that  $\mathcal{T}_1$  is stronger or finer than  $\mathcal{T}_2$  if

$$\mathcal{T}_2 \subseteq \mathcal{T}_1.$$

Remark: let  $\mathcal{B}_i$  be bases for  $\mathcal{T}_i$ ,  $i=1,2$ , two topologies  $\mathcal{T}_1$  and  $\mathcal{T}_2$  on a set  $X$ .

The  $\mathcal{T}_1$  is stronger than  $\mathcal{T}_2$  if and only if the following holds:

For any  $B_2 \in \mathcal{B}_2$  and point  $x \in B_2$  there is some  $B_1 \in \mathcal{B}_1$  so that  $x \in B_1 \subseteq B_2$ .



Definition: let  $X$  be any set and  $\mathcal{B}$  be a collection of subsets of  $X$ . The collection of subsets consisting of finite intersections of elements of  $\mathcal{B}$  and arbitrary unions of finite intersections form a topology on  $X$  and it is called the topology generated by subsets  $\mathcal{B}$ .

$$X = \bigcup_{B \in \mathcal{B}} B$$

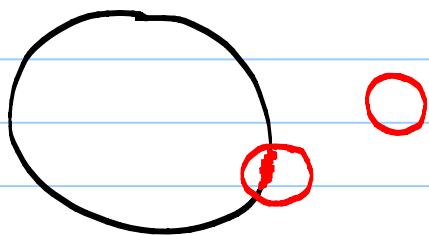
New Topologies from Old Ones

Subspace Topology  $(X, \mathcal{T})$  topological space.

Any subset  $A$  of  $X$  inherits a topology from

$(X, \mathcal{T})$  called the subspace topology, defined by  
 $\mathcal{T}_X = \{U \cap X \mid U \in \mathcal{T}\}$ .

Example:  $S^1 = \{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 = 1\} \subseteq \mathbb{R}_{std}^2$



Definition: Let  $f: (X, \mathcal{T}_X) \rightarrow (Y, \mathcal{T}_Y)$  be a one and continuous map. Then  $f(X)$ , the image of  $X$ , is a subspace of  $Y$ .

If the map  $f: (X, \mathcal{T}_X) \rightarrow (f(X), \mathcal{T}_Y|_{f(X)})$  is a homeomorphism (i.e., " $f^{-1}$ " is also continuous), then  $f$  is called a topological embedding of  $X$  into  $Y$ .

Example  $X = [0, \infty)$  with the basis

$$\mathcal{B} = \{(a, b) \mid 0 < a < b\} \cup \{[0, a) \cup (b, \infty) \mid a, b > 0\}.$$

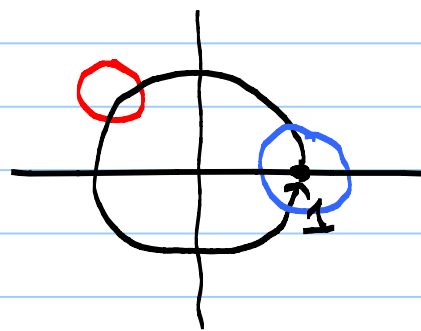
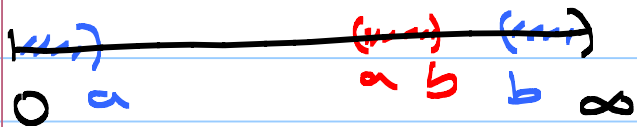
One can check that  $\mathcal{B}$  is a basis for a topology on  $X$ .

Claim: The topological space  $(X, \mathcal{T})$ , where  $\mathcal{T}$  is generated by the basis  $\mathcal{B}$  is homeomorphic to the subspace topology on  $S^1$ .

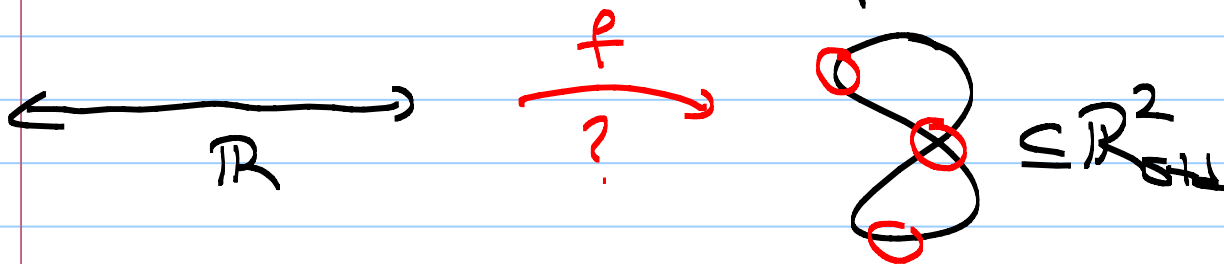
## Video 3

A homeomorphism is given by  
 $f: [0, \infty) \rightarrow S^1, f(t) = e^{2\pi i t / (1+t)}$

$$f(t) = \left( \cos \frac{2\pi t}{1+t}, \sin \frac{2\pi t}{1+t} \right), t \in [0, \infty).$$



Exercise: Put a topology on  $\mathbb{R}$  so that it is homeomorphic to the figure eight in  $\mathbb{R}^2_{std}$ .



## Product Spaces

$(X_\alpha, \mathcal{T}_\alpha)_{\alpha \in \Lambda}$  a family of topological spaces

$$\prod_{\alpha \in \Lambda} X_\alpha = \left\{ f: \Lambda \rightarrow \bigcup_{\alpha \in \Lambda} X_\alpha \mid f(\alpha) \in X_\alpha, \forall \alpha \in \Lambda \right\}$$

$\prod X_\alpha \neq \emptyset$  by the Axiom of Choice.

Product topology on  $\prod X_\alpha$  is given by the basis  $\mathcal{B}$  consisting of elements of the



form  $\prod_{\alpha \in \Lambda} U_{\alpha}$ ,  $U_{\alpha} \subseteq X_{\alpha}$  open and

$U_{\alpha} = X_{\alpha}$  for all but finitely many  $\alpha \in \Lambda$ .

Box Topology: This is given by the basis consisting of products of the form

$\prod_{\alpha \in \Lambda} U_{\alpha}$ ,  $U_{\alpha} \subseteq X_{\alpha}$  open for all  $\alpha$ .

Box topology is much stronger than the product topology.

Fact:  $\varphi: X \rightarrow \prod_{\alpha} X_{\alpha}$ ,  $X, X_{\alpha}$  top. spaces.

$\left[ \begin{array}{l} \mathbb{P}_{\beta}: \prod_{\alpha} X_{\alpha} \rightarrow X_{\beta}, \quad f \in \prod_{\alpha} X_{\alpha}, f: \alpha \rightarrow \cup_{\alpha} X_{\alpha} \\ f \mapsto f(\beta) \end{array} \right.$

Each  $\mathbb{P}_{\beta}$  is clearly continuous.

$\varphi$  is continuous if and only if each coordinate function

$\mathbb{P}_{\beta} \circ \varphi: X \rightarrow X_{\beta}$  is continuous.

# Quotient Topology

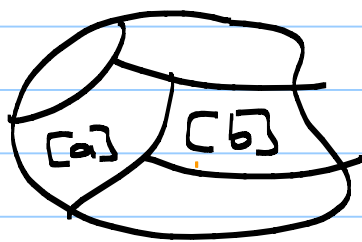
Let  $f: X \rightarrow Y$  be an onto map so that  $X$  is a topological space and  $Y$  is any set. Call a subset  $V$  of  $Y$  open if and only if  $f^{-1}(V)$  is open in  $X$ .

This defines a topology on  $Y$  and it is the strongest topology on  $Y$  so that  $f$  is continuous. This topology is called the quotient topology on  $Y$  determined by  $f: X \rightarrow Y$ .

Remark: Any onto map  $f: X \rightarrow Y$  defines an equivalence relation on  $X$  as follows:

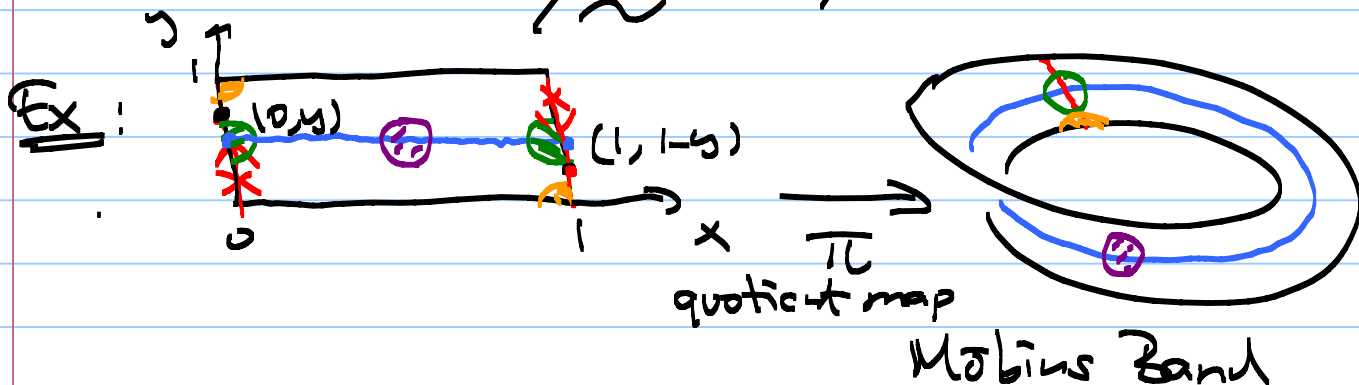
$$x_1, x_2 \in X, x_1 \sim x_2 \iff f(x_1) = f(x_2).$$

$[x] = \{x' \in X \mid x' \sim x\}$  the equivalence class of  $x$ .



The set equivalence classes can be identified with  $Y$ .

$$X/\sim = Y$$

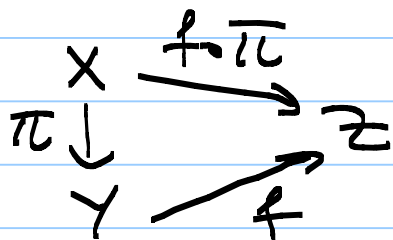


$$MB = [0, 1] \times [0, 1] / \sim$$

$$(x_1, y_1) \sim (x_2, y_2) \text{ if and only if } |x_1 - x_2| = 1 \text{ and } y_1 + y_2 = 1.$$

Proposition: Let  $\pi: X \rightarrow Y$  be a quotient space. Let  $f: Y \rightarrow Z$  be a map. Then  $f$  is continuous if and only if

$f \circ \pi: X \rightarrow Z$  is continuous.



Corollary: Let  $\pi: X \rightarrow Y$  be a quotient map and  $g: X \rightarrow Z$  be a map.

There is a map  $f: Y \rightarrow Z$  such that  $f \circ \pi = g$  if and only if  $g$  is constant on each  $\pi^{-1}(y)$  (fiber).

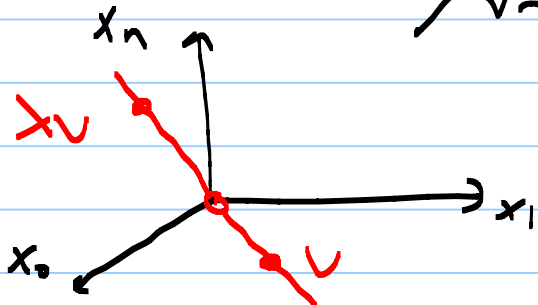
Moreover, if such  $f$  exists then  $f$  is continuous if and only if  $g$  is continuous.

In this case, we say that  $g$  descends to the quotient space  $Y$ .

Example:  $\mathbb{R}P^n$ : Real Projective Space.

$$\mathbb{R}P^n = \mathbb{R}^{n+1} \setminus \{0\}$$

$$v \sim \lambda v, \quad v = (x_0, \dots, x_n) \in \mathbb{R}^{n+1} \setminus \{0\}, \quad \lambda \in \mathbb{R} \setminus \{0\}$$



$\mathbb{R}P^n$ : the set of all lines in  $\mathbb{R}^{n+1}$  containing the origin.

Consider the map  $\pi: \mathbb{R}^{n+1} \setminus \{0\} \rightarrow \mathbb{RP}^n$

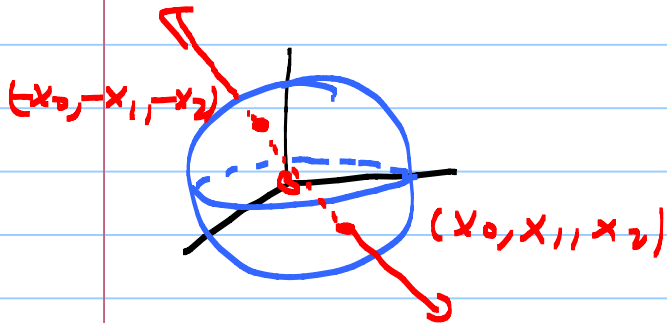
$$v = (x_0, \dots, x_n) \in \mathbb{R}^{n+1} \setminus \{0\}, \pi(v) = [x_0 : x_1 : \dots : x_n]$$

$$[x_0 : \dots : x_n] = \{ \lambda (x_0, \dots, x_n) \mid \lambda \in \mathbb{R} \setminus \{0\} \}$$

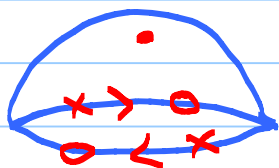
We endow  $\mathbb{RP}^n$  with the quotient topology induced by the map  $\pi$ , where  $\mathbb{R}^{n+1} \setminus \{0\}$  has the Euclidean (subspace) topology.

Example:  $\mathbb{RP}^2$  the Real Projective Geometry

$$\mathbb{RP}^2 = \mathbb{R}^3 \setminus \{0\} / v \sim \lambda v, v = (x_0, x_1, x_2) \in \mathbb{R}^3 \setminus \{0\}, \lambda \in \mathbb{R} \setminus \{0\}$$



$$\mathbb{RP}^2 = S^2 / (x_0, x_1, x_2) \sim (-x_0, -x_1, -x_2)$$



$\mathbb{RP}^2$  does not embed into  $\mathbb{R}^3$ .  
(Not easy to prove!)

Proposition:  $\mathbb{RP}^2$  embeds into  $\mathbb{R}^5$ .

$$\text{Proof: } \begin{array}{ccc} S^2 & \xrightarrow{f} & \mathbb{R}^5 \\ \pi \downarrow & & \nearrow g \\ \mathbb{RP}^2 & \xrightarrow{g} & \mathbb{R}^5 \end{array}$$

want:  $g$  a homeomorphism onto its image.

$$\pi(x_0, x_1, x_2) = [x_0 : x_1 : x_2] = \pi(-x_0, -x_1, x_2).$$

$$f(x_0, x_1, x_2) = (x_0^2, x_1^2, x_0x_1, x_0x_2, x_1x_2)$$

( $x_0^2 + x_1^2 + x_2^2 = 1$ )  $f$  is clearly continuous on  $S^2$

$$\begin{array}{ccc} S^2 & \xrightarrow{f} & \mathbb{R}^5 \\ \pi \downarrow & \nearrow g & \\ \mathbb{RP}^2 & \cong & \end{array} \quad f = g \circ \pi$$

$g$  is also continuous since  $f$  is.

Since  $S^2$  is compact so is its image  $\pi(S^2)$ . Hence,  $\mathbb{RP}^2$  is a compact topological space.

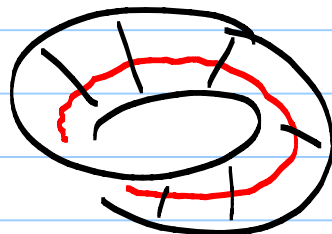
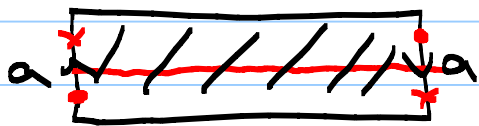
Since  $\mathbb{RP}^2$  is compact and  $g: \mathbb{RP}^2 \rightarrow \mathbb{R}^5$  is continuous  $g$  is a homeomorphism onto its image.

Hence, we use the fact that  $f$  is 2:1 and thus  $g$  is one to one.

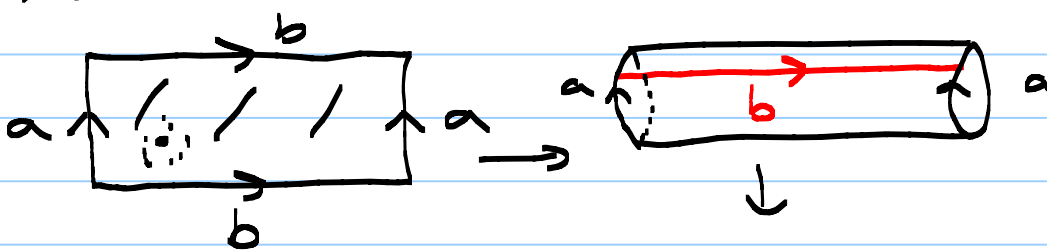
$$\left[ \begin{array}{l} f(x_0, x_1, x_2) = f(y_0, y_1, y_2) \Leftrightarrow \\ (x_0, x_1, x_2) = \pm (y_0, y_1, y_2) \end{array} \right]$$

# More Examples with Quotient topology

## 1) Möbius Band



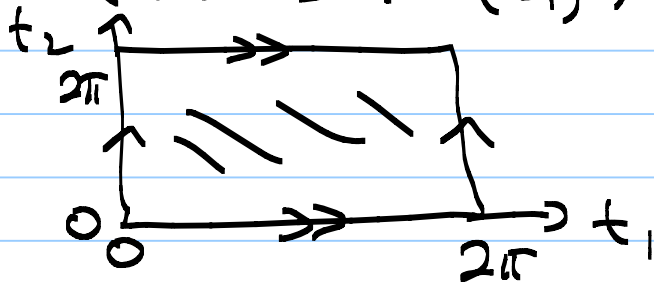
## 2) Torus $T^2$



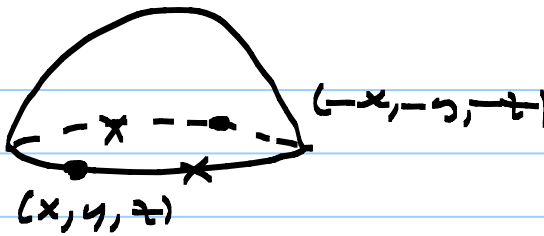
$T^2$  is homeomorphic to  $S^1 \times S^1$  and it embeds in  $\mathbb{R}^3$ .

$$\begin{aligned} \varphi: [0, 2\pi] \times [0, 2\pi] &\longrightarrow S^1 \times S^1 \\ (t_1, t_2) &\longmapsto (\cos t_1, \sin t_1, \cos t_2, \sin t_2) \\ &\quad \uparrow \phi \exists! \end{aligned}$$

$$\begin{aligned} [0, 2\pi] \times [0, 2\pi] / & \left( (0, t_2) \sim (2\pi, t_2) \right) \\ (t_1, t_2) / & \left( (t_1, 0) \sim (t_1, 2\pi) \right), \text{ for all } t_1, t_2. \end{aligned}$$

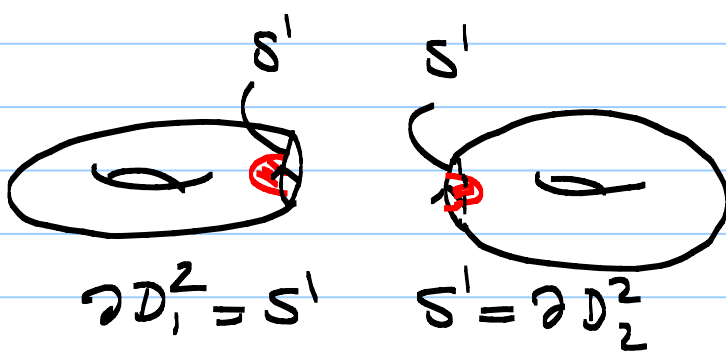


$\phi$  is a homeomorphism.

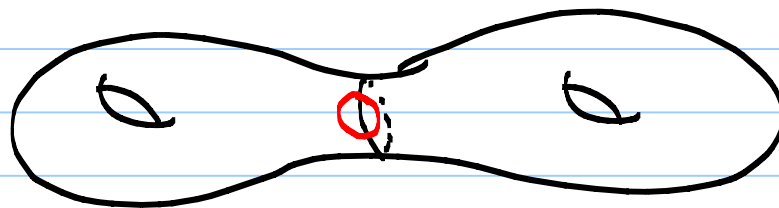
3)  $\mathbb{R}D^2 =$    $=$  

4) Connected Sum (of Surfaces):

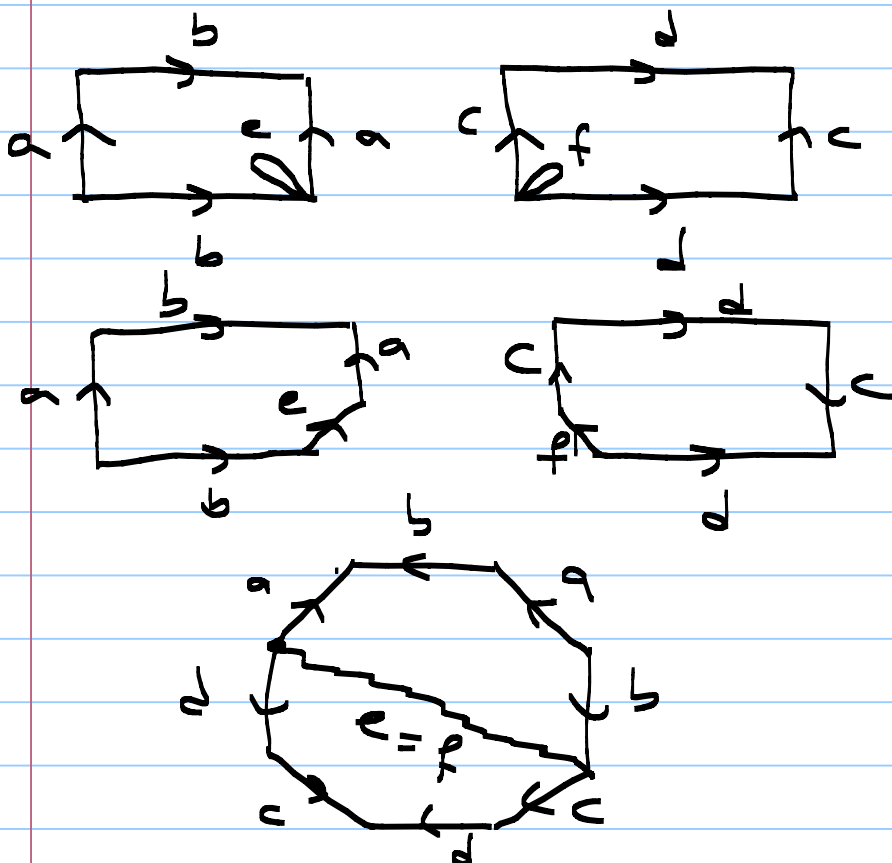
$$\mathbb{T}^2 \# \mathbb{T}^2 = (\mathbb{T}^2, D_1^2) \cup (\mathbb{T}^2, D_2^2)$$



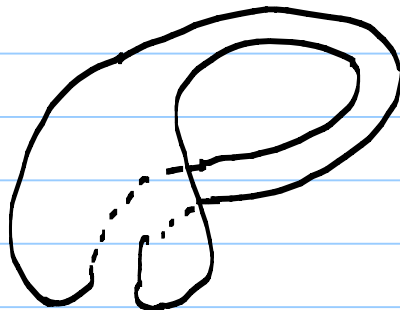
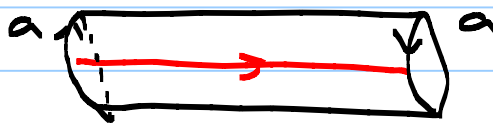
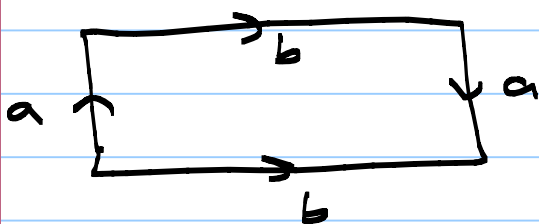
$x \sim f(x)$   
 $f: \partial D_1^2 \rightarrow \partial D_2^2$   
 a homeomorphism



Genus two orientable surface



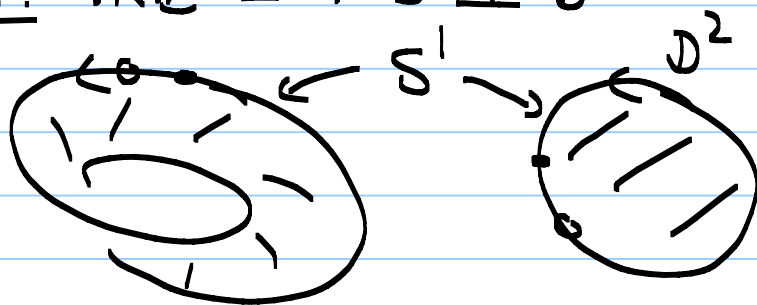
## Exercise: Klein Bottle



Prove that  $KB = \mathbb{R}P^2 \# \mathbb{R}P^2$  and

$$KB \# \mathbb{R}P^2 = \mathbb{R}P^2 \# \mathbb{R}P^2 \# \mathbb{R}P^2 = \mathbb{T}^2 \# \mathbb{R}P^2.$$

Hint:  $\mathbb{R}P^2 = MB \cup D^2$

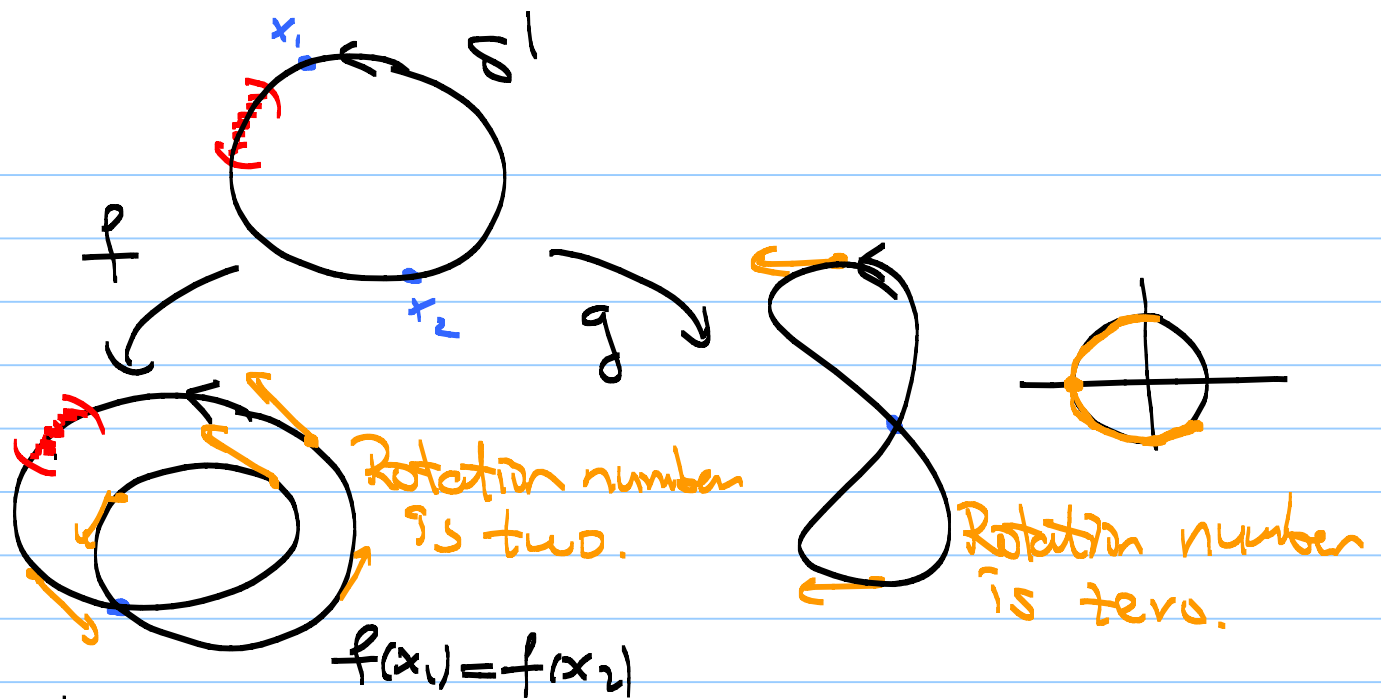


Question: Why algebraic topology?

Answer: Comparing topological spaces is generally much more difficult than comparing algebraic objects?

Example: How can we distinguish the two immersions of the  $S^1$  into  $\mathbb{R}^2$  given below.





not an embedding.

The answer to this question is No!  
The above immersions are not homotopic through immersions.

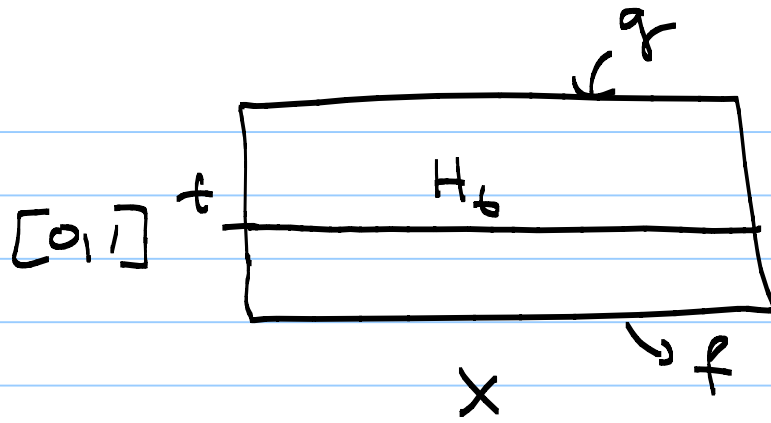
Rotation number is invariant under homotopies (through immersions) and thus the above immersions are not homotopic.

Definition of Homotopy:

Let  $f: X \rightarrow Y$  and  $g: X \rightarrow Y$  be two continuous maps of topological spaces. We say that  $f$  and  $g$  are homotopic maps if there is a continuous map

$$H: X \times I \rightarrow Y, \quad I = [0, 1], \text{ so that}$$

$$H(x, 0) = f(x) \text{ and } H(x, 1) = g(x), \text{ for all } x \in X.$$



$$H_t = H(x, t), \quad H_0 = f, \quad H_1 = g$$

(Winding and Rotation numbers, Math 709, Video 22)

### Definition (Relative Homotopy)

Let  $(X, A)$  pair of topological spaces ( $A \subseteq X$  subspace) and  $Y$  any topological space.

Let  $f: X \rightarrow Y$  and  $g: X \rightarrow Y$  be continuous maps. We say that  $f$  and  $g$  are homotopic relative to  $A$  if there is a homotopy

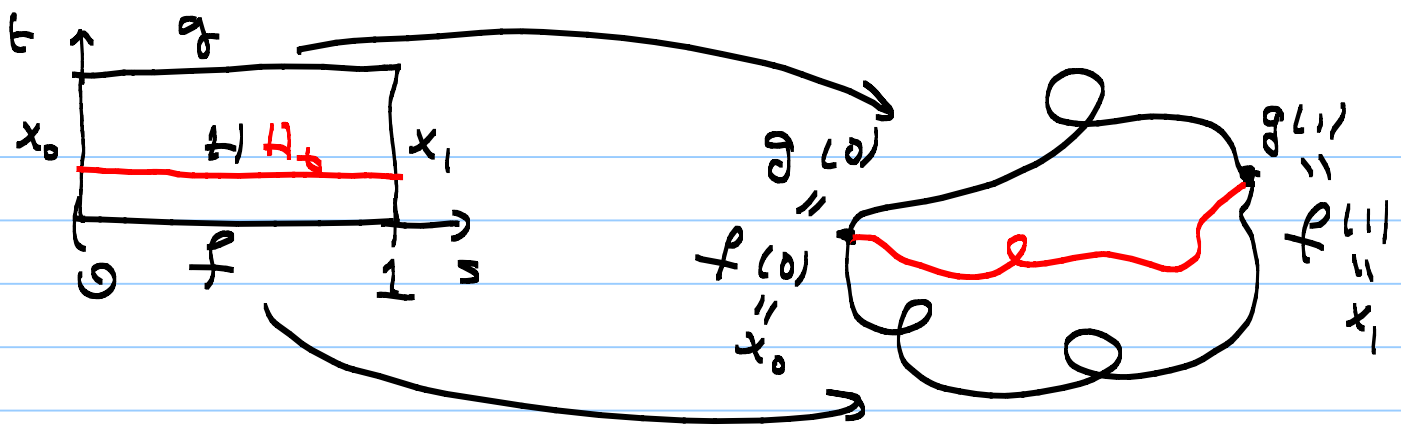
$H: X \times I \rightarrow Y$  such that

$$H(x, 0) = f(x), \quad H(x, 1) = g(x), \quad \text{for all } x \in X \text{ and}$$

$$H(x, t) = f(x) = g(x) \quad \text{for all } x \in A, \text{ and for all } t \in [0, 1].$$

Example:  $X = [0, 1]$ ,  $A = \partial X = \{0, 1\}$ .

$f, g: [0, 1] \rightarrow Y = \mathbb{R}^2$  continuous maps so that  $f(0) = g(0)$  and  $f(1) = g(1)$ .



$f$  is homotopic to  $g$  rel  $\{0, 1\}$ .

Homotopy Equivalence Let  $f: X \rightarrow Y$  and  $g: Y \rightarrow X$

be two continuous functions. If the compositions

$f \circ g: Y \rightarrow Y$  and  $g \circ f: X \rightarrow X$  are

homotopic to  $\text{id}_Y: Y \rightarrow Y$  and  $\text{id}_X: X \rightarrow X$ , respectively, then we say that the spaces  $X$  and  $Y$  are homotopy equivalent.

Example:  $X = \mathbb{R}^n$ ,  $Y = \{0\}$ ,  $0 \in \mathbb{R}^n$ , the origin.

$f: \mathbb{R}^n \rightarrow \{0\}$ ,  $f(x) = 0$ ,  $\forall x \in \mathbb{R}^n$ ,

$g: \{0\} \rightarrow \mathbb{R}^n$ ,  $g(0) = 0$ .

$f \circ g: \{0\} \rightarrow \{0\}$ ,  $f \circ g = \text{id}_{\{0\}}$

$H: \{0\} \times I \rightarrow \{0\}$ ,  $H(0, t) = 0$

$g \circ f: \mathbb{R}^n \rightarrow \mathbb{R}^n$ ,  $(g \circ f)(x) = 0$ , for all  $x \in \mathbb{R}^n$ .

Consider the homotopy

$$H_2: \mathbb{R}^n \times I \rightarrow \mathbb{R}^n, \quad H_2(x, t) = tx, \text{ when}$$

$$H_2(x, 0) = 0 \cdot x = 0 = (g \circ f)(x), \text{ for all } x \in \mathbb{R}^n,$$

$$\text{and } H_2(x, 1) = 1 \cdot x = x = \text{Id}_{\mathbb{R}^n}(x), \text{ for all } x \in \mathbb{R}^n.$$

Hence the spaces  $\mathbb{R}^n$  and  $\{0\}$  are homotopy equivalent.

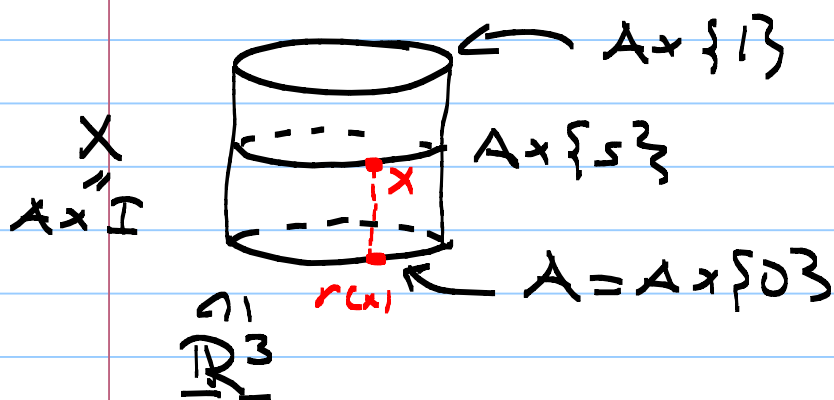
We'll see that Homotopy Equivalence is an equivalence relation on the collection of topological spaces.

Definition: Let  $(X, A)$  be a topological pair.

A continuous map  $r: X \rightarrow A$  is called a retraction if  $r(a) = a$  for all  $a \in A$ .

A retraction  $r: X \rightarrow A$  is called deformation retraction if there is a homotopy

$H: X \times I \rightarrow X$  so that  $H(x, 0) = x$  for all  $x \in X$  and  $H(x, 1) = r(x)$ .



$$H(x, t) = (1-t)x + tr(x),$$

$$H(x, 0) = x, \text{ for all } x \in X,$$

$$H(x, 1) = r(x), \text{ for all } x \in X.$$

## Video 7

Exercise: A deformation retraction is a homotopy equivalence.

Definition: A topological space is called contractible if the identity map  $\text{id}_X: X \rightarrow X$  is homotopic to a constant map

$$f: X \rightarrow X, \quad f(x) = x_0, \quad \text{for some } x_0 \in X \text{ and for all } x \in X.$$

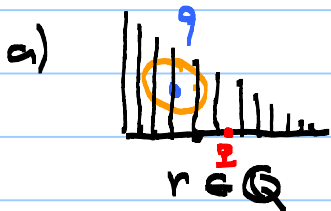
Example: The homotopy  $H: \mathbb{R}^n \times \mathbb{I} \rightarrow \mathbb{R}^n$  by

$H(x, t) = tx$  gives a contraction of  $\mathbb{R}^n$  to the point  $\{0\}$ .

$$H(x, 1) = x = \text{id}_{\mathbb{R}^n}(x), \quad \forall x \in \mathbb{R}^n, \text{ and}$$

$$H(x, 0) = 0, \quad \forall x \in \mathbb{R}^n.$$

Remark: Exercise #6 of Chapter 0.



$$X = \{(x, y) \mid x \in [0, 1], y = 0\}$$

$$\cup \{(x, y) \mid x \in [0, 1] \cap \mathbb{Q}, y \in [0, 1-x]\}$$

$X$  deformation retracts to any point on the  $x$ -axis but not to any other point.



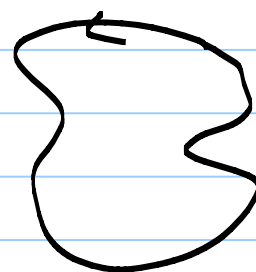
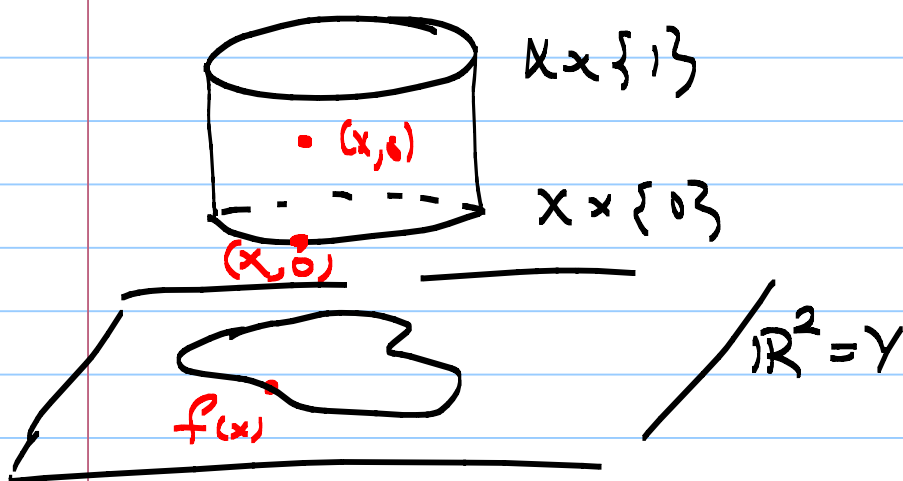
$Y$  is contractible but does not deformation

retract to any point.

Mapping Cylinder: let  $f: X \rightarrow Y$  be any continuous map. The topological space

$$M_f = X \times I \cup Y / (x, 0) \sim f(x), \forall x \in X$$

$$X = S^1, Y = \mathbb{R}^2, f: S^1 \rightarrow \mathbb{R}^2$$



Proposition:  $M_f$  deformation retracts onto  $Y$ .

Proof:  $M_f = X \times I \cup Y / (x, 0) \sim f(x), \forall x \in X$ .

$$H: M_f \times \overset{t}{I} \longrightarrow M_f, \quad H((x, s), 0) = (x, s) \text{ for all } (x, s) \in M_f, \text{ and}$$

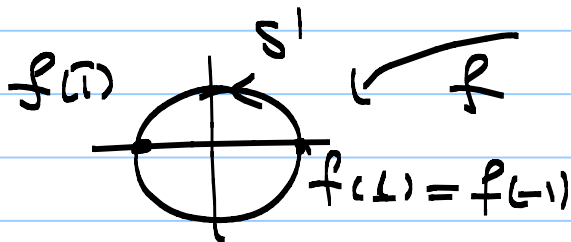
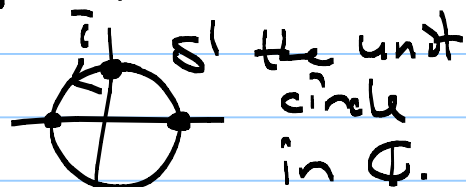
$$H((x, s), 1) = f(x), \text{ for all } (x, s) \in M_f.$$

$$r: M_f \longrightarrow Y, \quad r(x, s) = f(x)$$

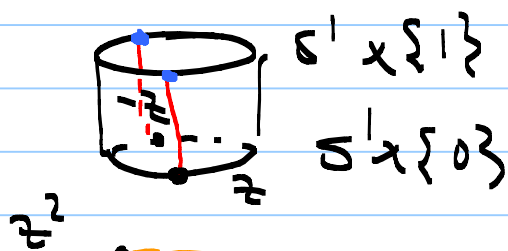
Also define  $H$  on  $Y$  as identity. Then  $H$  is continuous and gives the desired deformation retraction.

Example: let  $f: S^1 \rightarrow S^1$  be defined as

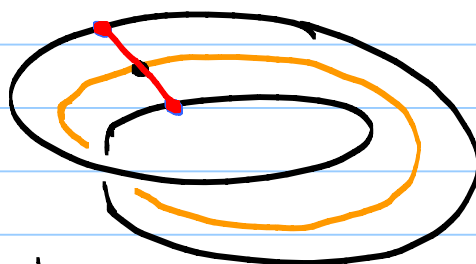
$$f(z) = z^2, \quad z \in S^1 \subseteq \mathbb{C}$$



$$X = S^1 = Y, \quad M_f = S^1 \times I \cup S^1 / (z, 0) \sim f(z) = z^2$$



$$M_f = MB$$



For a proof just cut the above Möbius Band the the orange center circle.

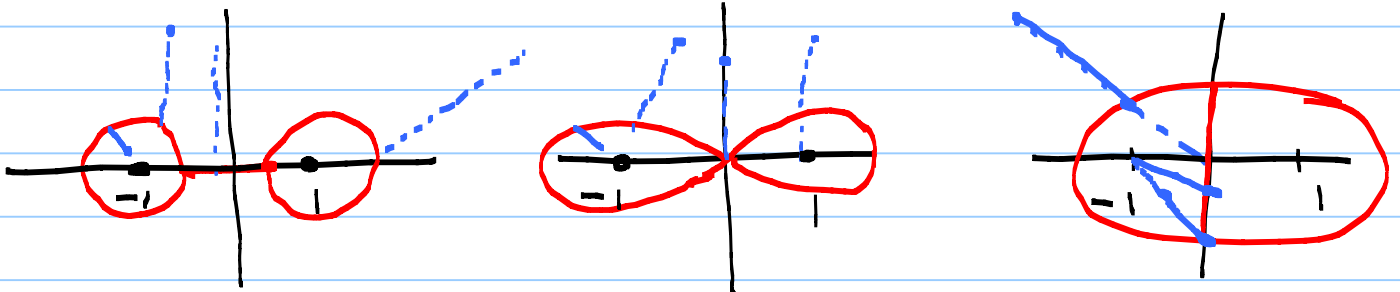
Proposition: Homotopy equivalence is an equivalence relation.

Remark: Deformation retraction is not an equivalence relation.

Remark: Deformation retraction is not an equivalence relation.

## Video 8

Example: The three subspaces of  $\mathbb{R}^2 \setminus \{(\pm 1, 0)\}$  below are deformation retraction of  $\mathbb{R}^2 \setminus \{(\pm 1, 0)\}$  but they are not deformation retraction of each other:

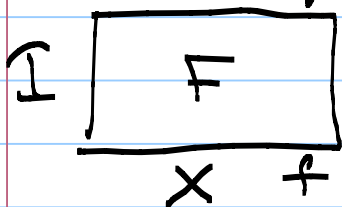


They are not deformation of each other because none of them is a subspace of any other.

Proposition: Homotopy equivalence is an equivalence relation.

Fact 1) If  $f: X \rightarrow Y$  is homotopic to some  $g: X \rightarrow Y$  then  $g$  is homotopic to  $f$ .

Proof Let  $F: X \times I \rightarrow Y$  be a homotopy from  $f$  to  $g$ .



$$F(x, 0) = f(x), \quad F(x, 1) = g(x),$$

for all  $x \in X$ .

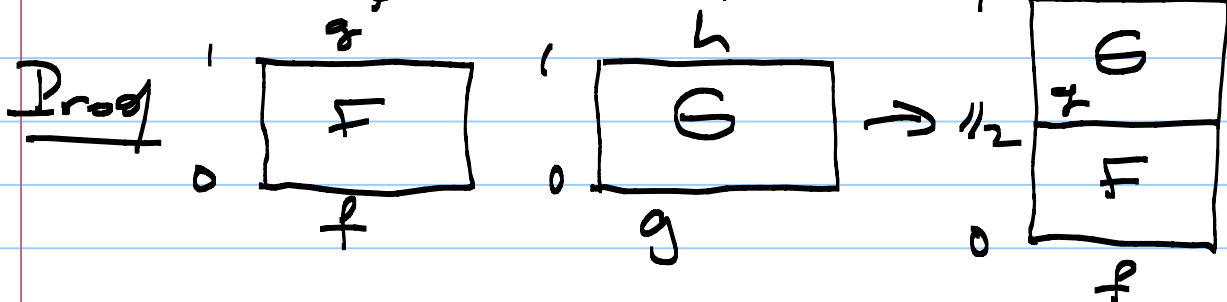
Note that  $G: X \times I \rightarrow Y$ ,  $G(x, t) = F(x, 1-t)$  is a homotopy from

$$\begin{aligned} G(x, 0) &= F(x, 1-0) = F(x, 1) = g(x) & \text{to} \\ G(x, 1) &= F(x, 1-1) = F(x, 0) = f(x), & \text{for all } x \in X. \end{aligned}$$



Fact: Clearly any function  $f: X \rightarrow Y$  is homotopic to itself via the homotopy  $F(x, t) = f(x)$ ,  $x \in X$ ,  $t \in [0, 1]$ .

Fact: If  $f: X \rightarrow Y$  is homotopic to  $g: X \rightarrow Y$  and  $g$  is homotopic to  $h: X \rightarrow Y$ , then  $f$  is homotopic to  $h: X \rightarrow Y$ .



Let  $F: X \times I \rightarrow Y$  and  $G: X \times I \rightarrow Y$  be homotopies from  $f$  to  $g$  and  $g$  to  $h$ , respectively. Then

$$H: X \times I \rightarrow Y \text{ by } H(x, t) = \begin{cases} F(x, 2t) & , 0 \leq t \leq 1/2 \\ G(x, 2t-1) & , 1/2 \leq t \leq 1 \end{cases}$$

is the desired homotopy (pasting lemma).

So these three facts show that being homotopic is an equivalence relation.

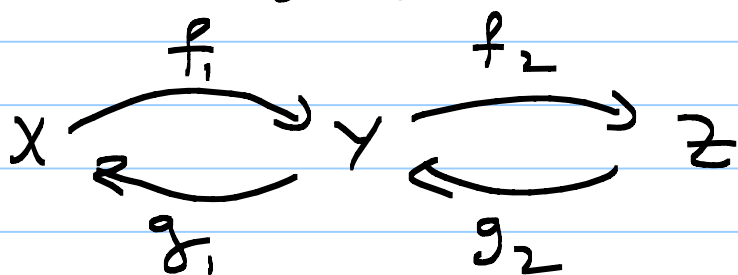
Proof of the proposition:

1) Reflexivity  $\checkmark$

2) Symmetry: Assume that  $X$  and  $Y$  are homotopically equivalent. Then there are maps  $f: X \rightarrow Y$  and  $g: Y \rightarrow X$  such that

$f \circ g: Y \rightarrow Y$  and  $g \circ f: X \rightarrow X$  are homotopic to  $\text{id}_Y$  and  $\text{id}_X$ , respectively. This is clearly symmetric.

3) Assume  $X$  is homotopy equivalent to  $Y$  and  $Y$  is homotopy equivalent to  $Z$ .



$g_1 \circ f_1 \sim \text{id}_X$ ,  $f_1 \circ g_1 \sim \text{id}_Y$ ,  $g_2 \circ f_2 \sim \text{id}_Y$  and  $f_2 \circ g_2 \sim \text{id}_Z$ .

must show:  $g_1 \circ g_2 \circ f_2 \circ f_1 \sim \text{id}_X$  and

$$f_2 \circ f_1 \circ g_1 \circ g_2 \sim \text{id}_Z$$

$$\underbrace{g_1 \circ g_2 \circ f_2 \circ f_1}_{t=0 \rightarrow t=1/2} \sim g_1 \circ \text{id}_Y = f_1 = g_1 \circ f_1 \sim \text{id}_X \quad t=1/2, t=1$$

Let  $\varphi: Y \times I \rightarrow Z$  be a homotopy from  $g_2 \circ f_2$  to  $\text{id}_Y$

Define  $\tilde{\varphi}: X \times I \rightarrow X$ ,  $\tilde{\varphi}(x, t) = g_1 \circ \varphi \circ f_1$

## Video 9

$$\tilde{\varphi}(x, t) = g_1(\varphi(f_1(x), t))$$

$$\begin{aligned}\tilde{\varphi}(x, 0) &= g_1(\varphi(f_1(x), 0)) = g_1(g_2 \circ f_2)(f_1(x)) \\ &= (g_1 \circ g_2 \circ f_2 \circ f_1)(x)\end{aligned}$$

$$\tilde{\varphi}(x, 1) = g_1(\varphi(f_1(x), 1)) = g_1(f_1(x)) = (g_1 \circ f_1)(x)$$

$\tilde{\varphi}(x, 1)$  is not  $\text{id}_X$  but it is homotopic to  $\text{id}_X$ . Composing  $\tilde{\varphi}$  with a homotopy taking  $g_1 \circ f_1$  to  $\text{id}_X$  we see that

$g_1 \circ g_2 \circ f_2 \circ f_1$  is homotopic to  $\text{id}_X$ .

This finishes the proof of the proposition.  $\square$

### Corollary 0.21 (From the Book)

Two spaces  $X$  and  $Y$  are homotopy equivalent if and only if there is a third space  $Z$  which deformation retracts onto  $X$  and  $Y$ .

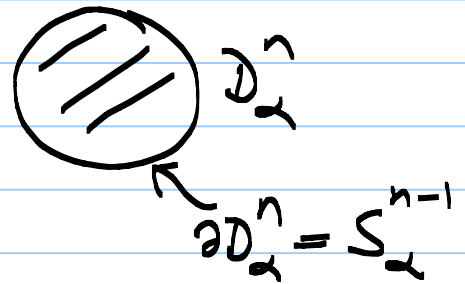
Cell Complexes: We'll build a space inductively as follows:

- 1) Start with a discrete set of points  $X^0$ , whose elements are called 0-cells.
- 2) Form the  $n$ -skeleton  $X^n$  from  $X^{n-1}$  by attaching  $n$ -cells  $e_\alpha^n$  via maps

$$\varphi_\alpha : S_\alpha^{n-1} = \partial D_\alpha^n \longrightarrow X^{n-1}$$

Hence,  $X^n = X^{n-1} \amalg_{\alpha} D_\alpha^n / x \sim \varphi_\alpha(x), x \in D_\alpha^n,$

where  $e_2^n$  is  $\text{Int}(D_\alpha^n)$ .



3) One can stop at some stage  $n$  and let

$X = X^n$  or continue indefinitely, setting

$X = \bigcup_n X^n$ . In this case, a subset  $A$  of  $X$

will be called open (closed) if and only if

$A \cap X^n$  is open (resp. closed) in  $X^n$ , for all  $n$ .

Such a space is called a CW-complex.

C : closure finite

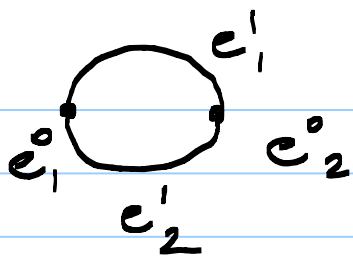
W : weak topology

If  $X = X^n$  then we say that  $X$  has dimension  $n$ .

Examples 1)  $S^0$  . . . ( $S^0 \subseteq \mathbb{R}, x^2 = 1 \Rightarrow x = \pm 1$ )

2)  $S^1 \subseteq \mathbb{R}^2, x^2 + y^2 = 1$

A hand-drawn diagram of a circle representing  $S^1$ . Two points on the circle are marked with red dots. The point on the right is labeled  $e_1^0$  and the point on the left is labeled  $e_2^0$ . Red arrows point from these labels to their respective points on the circle.



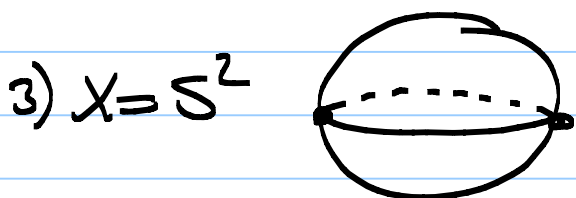
$$\varphi_1: \partial D_1^1 \rightarrow X^0 = S^0 = \{e_1^0, e_2^0\}$$

$$\begin{array}{c} -1 \\ \hline 1 \end{array}, \partial D_1^1 = \{-1, 1\}$$

$$\varphi_1(-1) = e_1^0, \varphi_1(1) = e_2^0.$$

$$\varphi_2: \partial D_2^1 \rightarrow X^0 = S^0 = \{e_1^0, e_2^0\}$$

$$\begin{array}{c} -1 \\ \hline 1 \end{array}, \partial D_2^1 = \{-1, 1\}, \varphi_2(-1) = e_1^0, \varphi_2(1) = e_2^0.$$

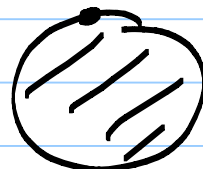


$$X^0 = \{e_1^0, e_2^0\}$$

$$X^1 = \{e_1^0, e_2^0, e_1^1, e_2^1\}$$

$$X = X^2 = X^1 \cup D_1^2 \cup D_2^2 / \sim$$

$$\varphi_1: \partial D_1^2 = S^1 \rightarrow X^1 = S^1$$

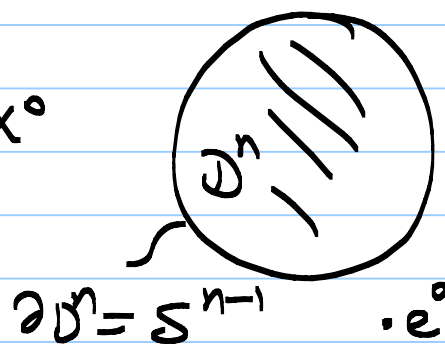


$$\varphi_2: \partial D_2^2 = S^1 \rightarrow X^1 = S^1$$

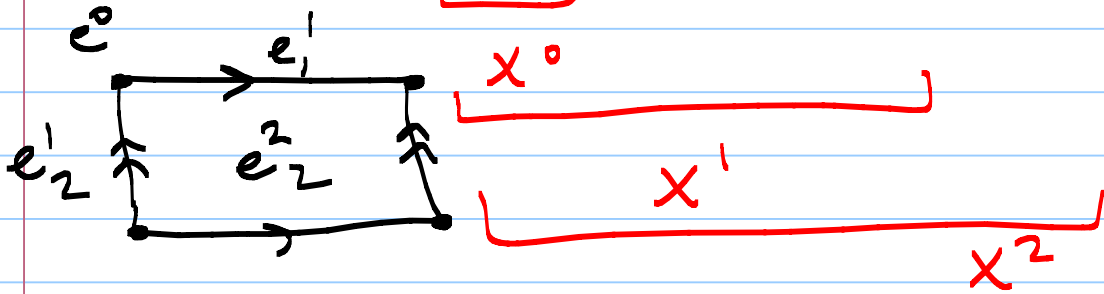
4)  $S^\infty = \bigcup_{n=0}^{\infty} S^n$  (we'll see later that  $S^\infty$  is contractible, while no  $S^n$  is contractible.)

5)  $S^n = X = X^0 \amalg D^n$

$$X^0 = \{e^0\} \quad \varphi: \partial D^n \xrightarrow{S^{n-1}} X^{n-1} = X^0$$



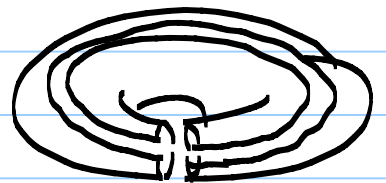
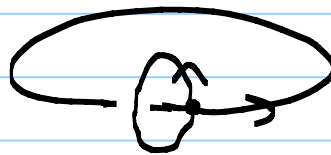
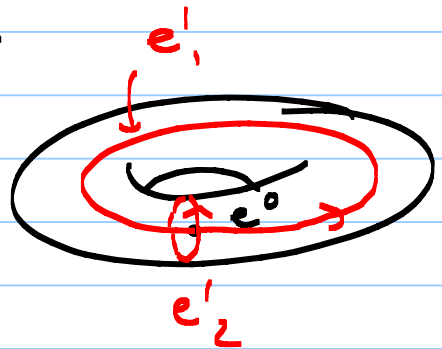
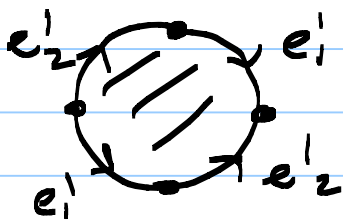
6)  $T^2 = X = \underbrace{\{e^0\}}_{X^0} \cup e^1 \cup e^2_1 \cup e^2_2$



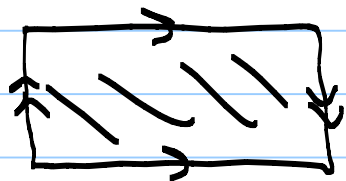
$\varphi^1_1: \partial D^1 = \{\pm 1\} \rightarrow X^0 = \{e^0\}$

$\varphi^1_2: \partial D^1 = \{\pm 1\} \rightarrow X^0 = \{e^0\}$

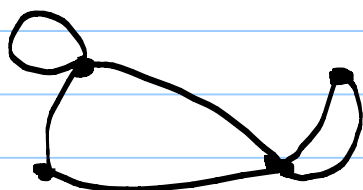
$\varphi^2: \partial D^2 = S^1 \rightarrow X^1$



7)  $KB$



8) One dimensional CW-complexes are called graphs.



$X^0$ : the set of vertices

One dimensional cells are the edges.

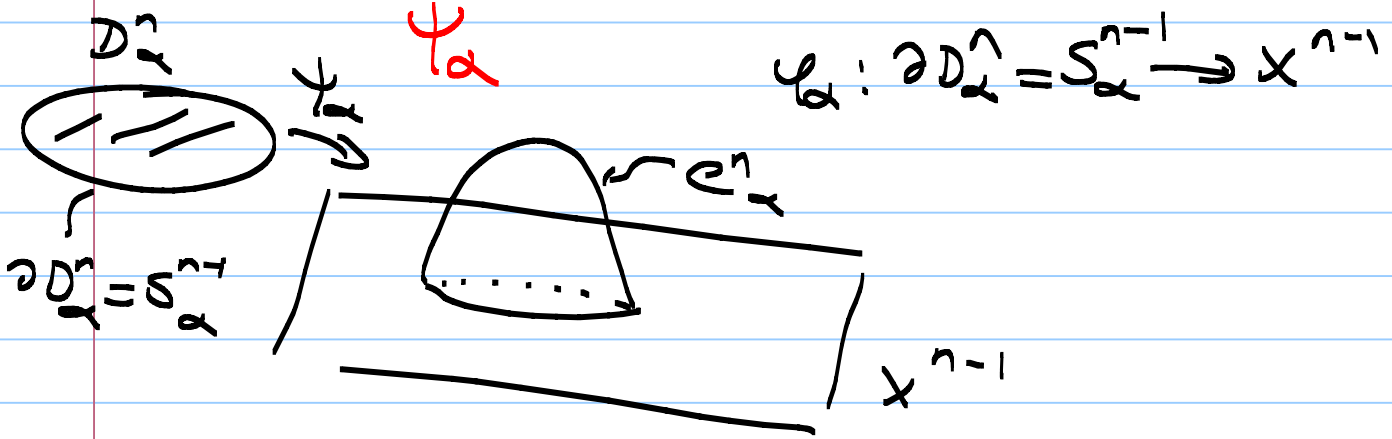
# Video 10

Characteristic map: For any  $n$ -cell  $e_\alpha^n$

of a CW-complex  $X$  the map

$\psi_\alpha: D_\alpha^n \rightarrow X^n$  is called characteristic map.

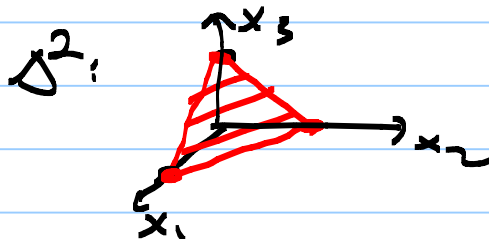
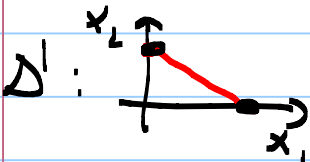
$$D_\alpha^n \xrightarrow{\psi_\alpha} X^{n-1} \sqcup_{\alpha} D_\alpha^n \xrightarrow{\psi_\alpha} X^{n-1} \sqcup_{\alpha} D_\alpha^n \Big/ \sim \psi_\alpha(x)$$



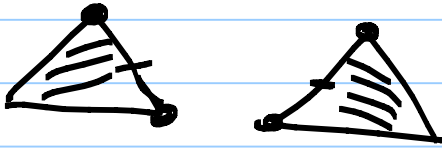
Definition: 1) If each characteristic map  $\psi_\alpha: D_\alpha^n \rightarrow X$  is an embedding then the CW-complex  $X$  is called regular.

2) A simplicial complex is a CW-complex where each  $D_\alpha^n$  is  $\Delta^n$  and the gluing maps are linear homeomorphisms:

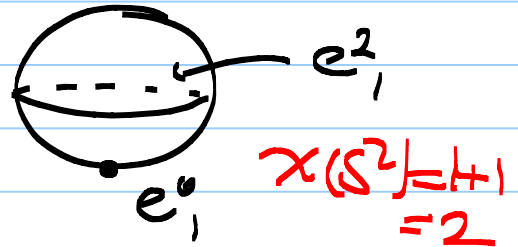
$$\Delta^n = \left\{ (x_1, \dots, x_{n+1}) \in \mathbb{R}^{n+1} \mid x_i \geq 0, \sum_{i=1}^{n+1} x_i = 1 \right\}$$



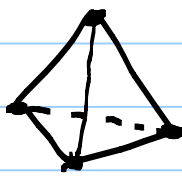
Each  $S^n$  is homeomorphic to  $D^n$ .



Example:  $S^2 = e^2 \cup e^1$

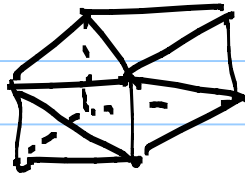


$S^3$ : tetrahedron



This is a simplicial complex consisting of 4 0-simplices, 6 1-simplices and 4 2-simplices.  $\chi(S^3) = 4 - 6 + 4 = 2$

$S^3$ : cube



Simplicial complex having

$$\chi(S^3) = 8 - 18 + 12 = 2$$

8 0-simplices  
18 1-simplices  
12 2-simplices

Definition: Euler characteristic of a finite cell complex  $X$  is defined to be the alternating sum of number of simplices:

$$\chi(X) = \sum_{n=0}^{\infty} (-1)^n c_n, \quad c_n = \# \text{ of } n\text{-simplices of } X$$

Theorem: If two finite cell complexes are homeomorphic then their Euler characteristics are the same.



Example:  $X: \begin{array}{c} \text{---} \overset{0}{\bullet} \text{---} \overset{x}{\bullet} \text{---} \\ \text{---} \underset{0'}{\bullet} \text{---} \underset{x'}{\bullet} \text{---} \end{array} / x \sim x', x \neq 0$

$X: \begin{array}{c} \bullet \\ \text{---} \bullet \text{---} \\ \bullet \end{array} \quad X \text{ has } 4 \text{ } 0\text{-cells,}$   
 $2 \text{ } 1\text{-cells.}$

$$\chi(X) = 4 - 2 = 2.$$

## The Fundamental Group:

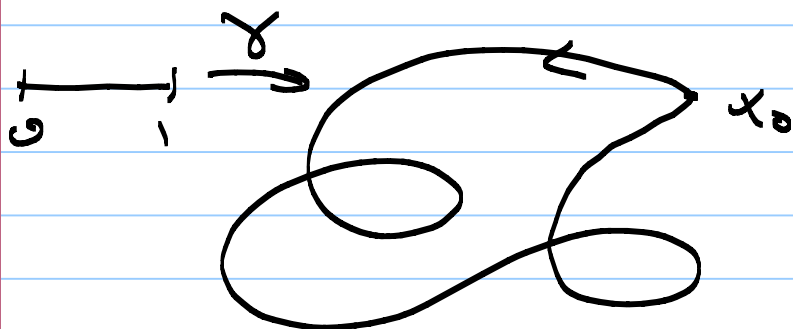
Fundamental group can be thought as a functor from the category of topological spaces with base points (continuous maps as morphisms) to the category of groups (homomorphisms as morphisms).

$X$  topological space,  $x_0 \in X$

$$(X, x_0) \longmapsto \pi_1(X, x_0)$$

Definition: Given a based topological space  $(X, x_0)$   
 let  $\mathcal{L}$  be the set of all loops at  $x_0$ :

$$\mathcal{L} = \{ \gamma: [0, 1] \rightarrow X \mid \gamma \text{ continuous, } \gamma(0) = x_0 = \gamma(1) \}$$



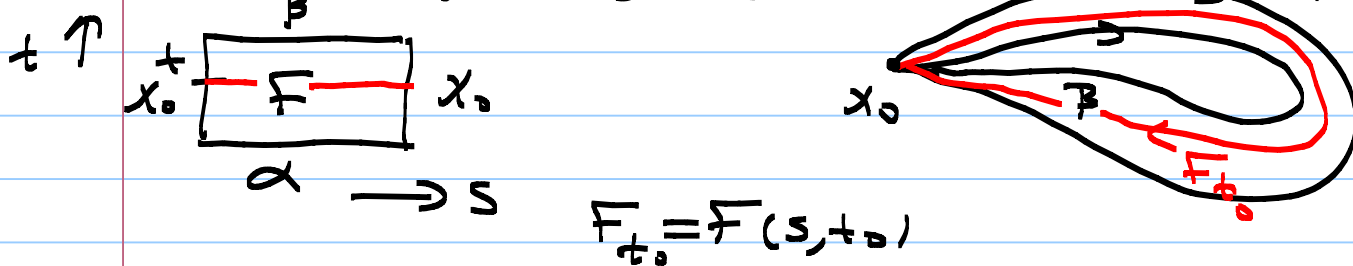
Define a homotopy relation on  $\mathcal{L}$  as follows:

If  $\alpha, \beta \in \mathcal{L}$ , then  $\alpha \sim \beta$  if and only if there is a homotopy

$$F: [0,1] \times [0,1] \longrightarrow X \quad \text{so that}$$

$$F(s,0) = \alpha(s), \quad F(s,1) = \beta(s), \quad F(0,t) = x_0 = F(1,t),$$

for all  $s, t \in [0,1]$ .



We've seen before that being homotopic is an equivalence relation.

Define  $\pi_1(X, x_0)$  as the set of all equivalence classes of this relation: homotopy classes of based loops at  $x_0$ .

If  $\alpha$  is a loop at  $x_0$  then its homotopy class will be denoted as  $[\alpha]$ .

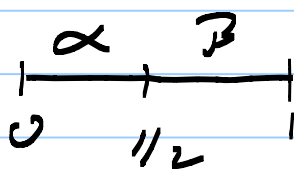
$$\pi_1(X, x_0) = \mathcal{L} / \sim$$

We define the group operation on  $\pi_1(X, x_0)$  as follows:

Let  $[\alpha], [\beta] \in \pi_1(X, x_0)$  then let

$$[\alpha] \cdot [\beta] = [\alpha \cdot \beta], \text{ where}$$

$$\alpha \cdot \beta(s) = \begin{cases} \alpha(2s), & 0 \leq s \leq 1/2 \\ \beta(2s-1), & 1/2 \leq s \leq 1. \end{cases}$$

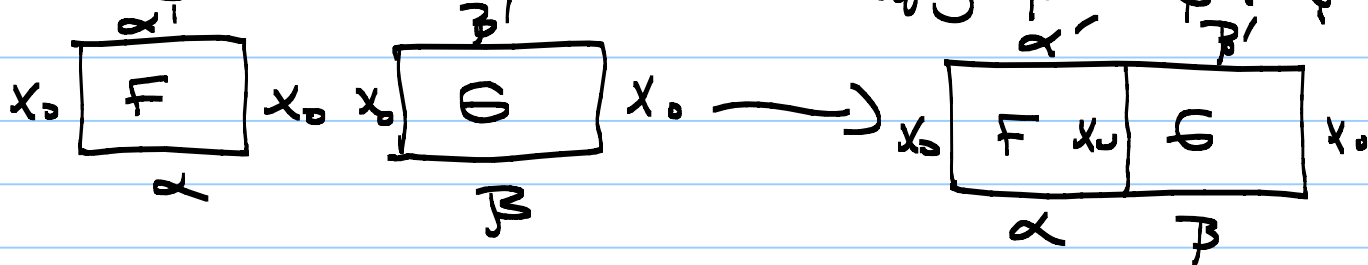


Need to show that this operation is well-defined.  
Let  $\alpha, \alpha', \beta, \beta'$  be loops at  $x_0$  with

$$[\alpha] = [\alpha'] \text{ and } [\beta] = [\beta'].$$

must show:  $[\alpha \cdot \beta] = [\alpha' \cdot \beta']$ .

Let  $F: \mathbb{R} \times I \rightarrow X$  be a homotopy from  $\alpha$  to  $\alpha'$   
and  $G: \mathbb{R} \times I \rightarrow X$  be a homotopy from  $\beta$  to  $\beta'$ .



$$H = F \cdot G, \quad H(s, t) = \begin{cases} F(2s, t), & 0 \leq s \leq 1/2 \\ G(2s-1, t), & 1/2 \leq s \leq 1. \end{cases}$$

The  $H$  is continuous by the Pasting Lemma  
and  $H(s, 0) = \alpha \cdot \beta$  and  $H(s, 1) = \alpha' \cdot \beta'$ .

Hence, this operation on  $\pi_1(X, x_0)$  is well defined.

The identity element of  $\pi_1(X, x_0)$  is defined to be the homotopy class of the constant loop at  $x_0$ :

$$e: [0, 1] \rightarrow X, \quad e(s) = x_0, \quad \forall s \in [0, 1].$$

$$e = [e].$$

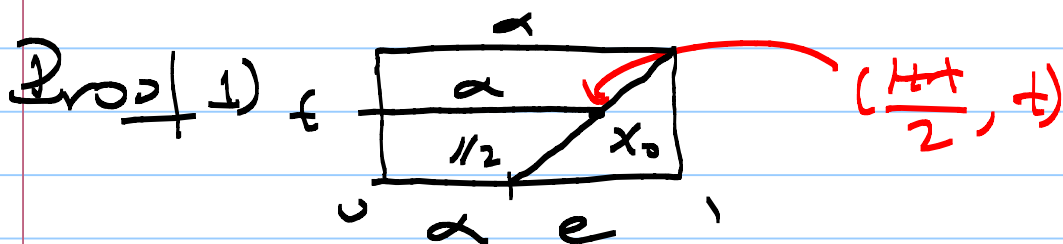
We must prove the following:

$$1) \quad e \cdot [\alpha] = [\alpha] = [\alpha] \cdot e$$

$$2) \quad \text{For any } [\alpha] \text{ there is some } [\beta] \in \pi_1(X, x_0) \text{ so that } [\alpha] \cdot [\beta] = e = [\beta] \cdot [\alpha].$$

3) For any  $[\alpha], [\beta], [\gamma] \in \pi_1(X, x_0)$  we must have

$$([\alpha] \cdot [\beta]) \cdot [\gamma] = [\alpha] \cdot ([\beta] \cdot [\gamma]).$$



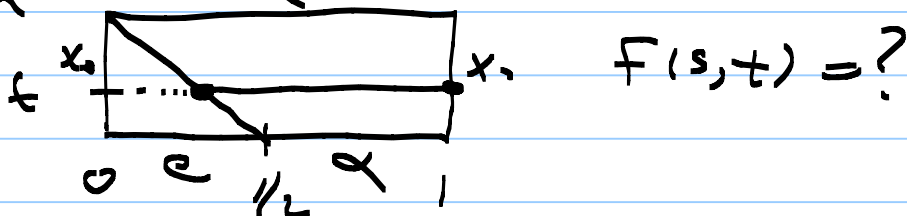
$$\alpha\left(s, \frac{2}{1+t}\right) \quad \begin{array}{l} s=0, \alpha(0) = x_0, \\ s = \frac{1+t}{2}, \alpha(1) = x_0 \end{array}$$

$$\text{but } F(s, t) = \begin{cases} \alpha\left(\frac{2s}{1+t}\right), & 0 \leq s \leq \frac{1+t}{2}, \\ x_0, & \frac{1+t}{2} \leq s \leq 1. \end{cases}$$

$$F(s, 0) = \begin{cases} \alpha(2s), & 0 \leq s \leq 1/2 \\ x_0, & 1/2 \leq s \leq 1 \end{cases} = \alpha \cdot e$$

$$F(s, 1) = \begin{cases} \alpha(s), & 0 \leq s \leq 1 \\ x_0, & 1 \leq s \leq 1. \end{cases} = \alpha.$$

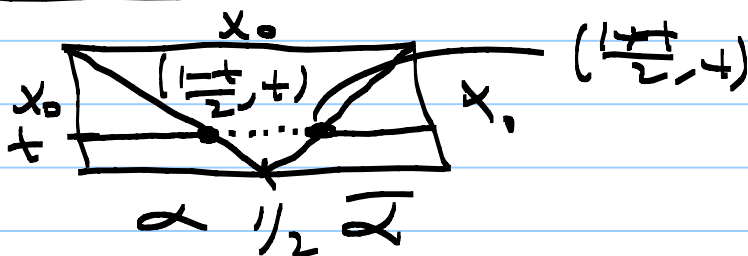
For the part  $[\alpha \cdot \alpha] = \alpha$  we use the diagram



2) Give  $[\alpha] \in \Pi_1(X, x_0)$  let  $[\alpha]^{-1}$  as  $[\bar{\alpha}]$ , where

$$\bar{\alpha}(s) = \alpha(1-s), \quad s \in [0, 1].$$

must show:  $[\alpha \cdot \bar{\alpha}] = e$ .



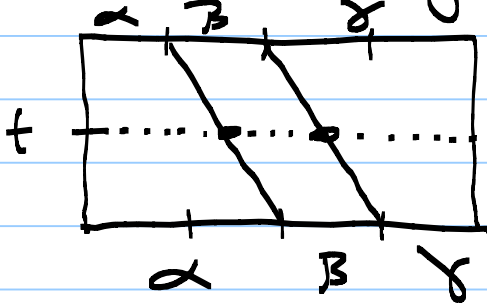
$$F(s, t) = \begin{cases} \alpha(2s), & 0 \leq s \leq \frac{1-t}{2} \\ \alpha(1-t), & \frac{1-t}{2} \leq s \leq \frac{1+t}{2} \\ \alpha(2-2s), & \frac{1+t}{2} \leq s \leq 1. \end{cases}$$

$$F(s, 0) = \begin{cases} \alpha(2s), & 0 \leq s \leq 1/2 \\ \alpha(1/2), & 1/2 \leq s \leq 1/2 \\ \alpha(2-2s), & 1/2 \leq s \leq 1. \end{cases}$$

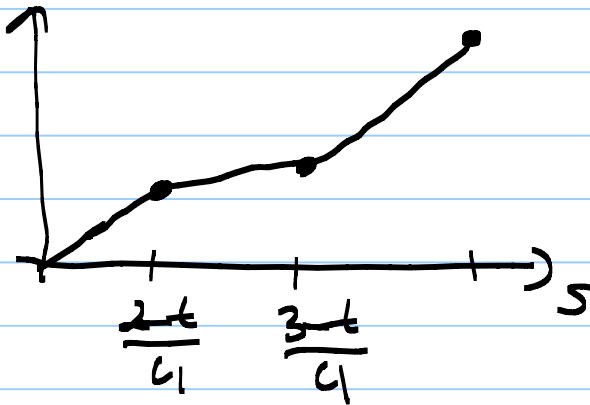
$$F(s, 1) = \begin{cases} \alpha(2s), & 0 \leq s \leq 0 \\ \alpha(0), & 0 \leq s \leq 1 \\ \alpha(0), & 1 \leq s \leq 1 \end{cases} = e$$

Exercise:  $[\alpha \cdot \alpha] = [e]$ .

3) Associativity  $([\alpha] \cdot [\beta]) \cdot [\gamma] = [\alpha] \cdot ([\beta] \cdot [\gamma])$ .



$$l_t(s) = \begin{cases} 4s, & 0 \leq s \leq \frac{2-t}{4} \\ 4s+t-1, & \frac{2-t}{4} \leq s \leq \frac{3-t}{4} \\ \frac{4s+3t-1}{1+t}, & \frac{3-t}{4} \leq s \leq 1. \end{cases}$$



$$\text{Let } H(s,t) = \begin{cases} \alpha(l_t(s)), & 0 \leq s \leq \frac{2-t}{4} \\ \beta(l_t(s)-1), & \frac{2-t}{4} \leq s \leq \frac{3-t}{4} \\ \gamma(l_t(s)-2), & \frac{3-t}{4} \leq s \leq 1. \end{cases}$$

Details are left as an exercise.

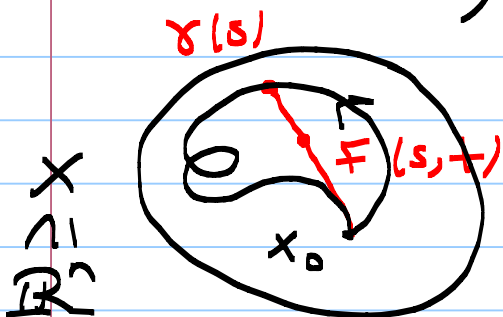
## Video 11

Example For a convex subset  $X$  of  $\mathbb{R}^n$  and any point  $x_0 \in X$ ,  $\pi_1(X, x_0) = (e)$ , the trivial group.

Proof: let  $\gamma: [0, 1] \rightarrow X$  be a loop at  $x_0$ .

Then by the line homotopy  $\gamma$  is homotopic to the constant loop  $e$  at  $x_0$ :

$$F: X \times \mathbb{I} \rightarrow X, F(s, t) = (1-t)\gamma(s) + tx_0$$



$$F(s, 0) = \gamma(s), s \in [0, 1]$$

$$F(s, 1) = x_0, s \in [0, 1].$$

$$F(0, t) = (1-t)\gamma(0) + tx_0 = x_0 \text{ and}$$

$$F(1, t) = (1-t)\gamma(1) + tx_0 = x_0.$$

Hence,  $[\gamma] = [e] = e$ .

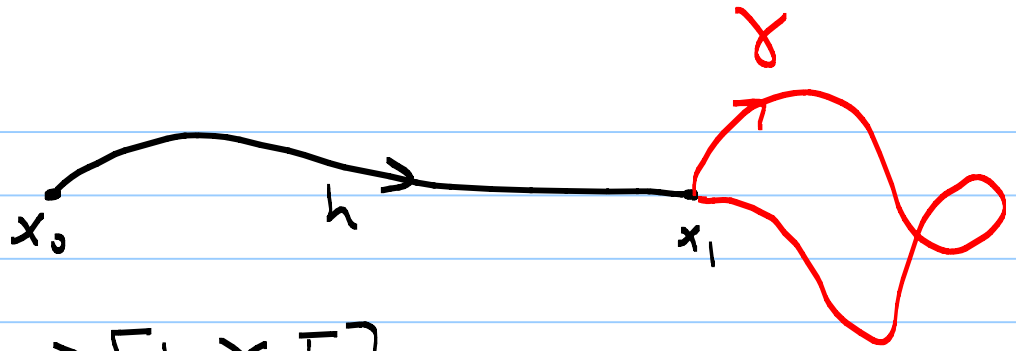
Exercise: If  $X$  is a contractible space to a point  $x_0$ , then  $\pi_1(X, x_0) = (e)$ .

Proposition: let  $X$  be a topological space,  $x_0, x_1 \in X$  points in  $X$  and  $h: [0, 1] \rightarrow X$  is a path joining  $x_0$  to  $x_1$ :  $h(0) = x_0, h(1) = x_1$ . Then the map

$$\beta_h: \pi_1(X, x_0) \rightarrow \pi_1(X, x_1) \text{ defined by}$$

$\beta_h([\gamma]) = [h \cdot \gamma \cdot \bar{h}]$  is a group isomorphism

Proof:



$$[\gamma] \mapsto [h \cdot \gamma \cdot \bar{h}].$$

$$h: [0, 1] \rightarrow X, \quad h(0) = x_0, \quad h(1) = x_1$$

$$\bar{h}: [0, 1] \rightarrow X, \quad \bar{h}(s) = h(1-s), \quad \bar{h}(0) = h(1) = x_1, \quad \text{and} \\ \bar{h}(1) = h(0) = x_0.$$

$\beta_h$  is a homomorphism: Let  $\gamma_i: [0, 1] \rightarrow X$  be

loops at  $x_1$ . Then  $\gamma_1 \cdot \gamma_2$  is also a loop at

$$x_1, \text{ given by } (\gamma_1 \cdot \gamma_2)(s) = \begin{cases} \gamma_1(2s), & 0 \leq s \leq 1/2 \\ \gamma_2(2s-1), & 1/2 \leq s \leq 1. \end{cases}$$

$$\beta_h([\gamma_1]) = [h \cdot \gamma_1 \cdot \bar{h}], \quad \beta_h([\gamma_2]) = [h \cdot \gamma_2 \cdot \bar{h}]$$

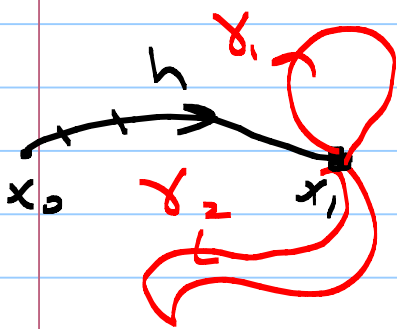
$$\beta_h([\gamma_1]) \cdot \beta_h([\gamma_2]) = [h \cdot \gamma_1 \cdot \bar{h}] \cdot [h \cdot \gamma_2 \cdot \bar{h}]$$

$$= [h \cdot \gamma_1 \cdot \bar{h} \cdot h \cdot \gamma_2 \cdot \bar{h}]$$

$$= [h \cdot \gamma_1 \cdot e_{x_1} \cdot \gamma_2 \cdot \bar{h}]$$

$$= [h \cdot (\gamma_1 \cdot \gamma_2) \cdot \bar{h}]$$

$$= \beta_h([\gamma_1 \cdot \gamma_2])$$

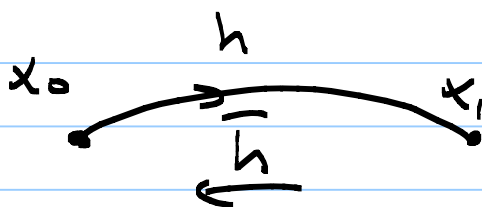


Hence,  $\beta_h$  is a group homomorphism.

Claim:  $\beta_{\bar{h}}$  is the inverse of the homomorphism  $\beta_h$ .



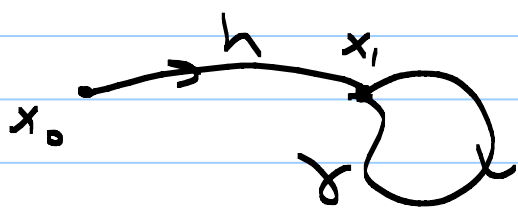
Proof:



$$\beta_h: \pi_1(X, x_1) \rightarrow \pi_1(X, x_0), \quad \beta_{\bar{h}}: \pi_1(X, x_0) \rightarrow \pi_1(X, x_1).$$

Let  $[\gamma] \in \pi_1(X, x_1)$ . Then

$$\begin{aligned} (\beta_{\bar{h}} \circ \beta_h)([\gamma]) &= \beta_{\bar{h}}([\bar{h} \cdot \gamma \cdot h]) \\ &= [\bar{h} \cdot (\bar{h} \cdot \gamma \cdot h) \cdot \bar{h}] \quad (\bar{h} = h) \\ &= [(\bar{h} \cdot h) \cdot \gamma \cdot (\bar{h} \cdot h)] \end{aligned}$$

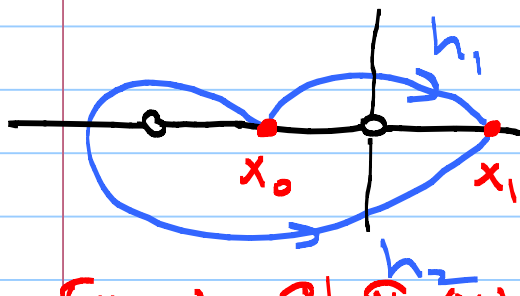


$$\begin{aligned} &= [(\bar{h} \cdot h)] \cdot [\gamma] \cdot [(\bar{h} \cdot h)] \\ &= e_{x_1} \cdot [\gamma] \cdot e_{x_1} \\ &= [\gamma]. \end{aligned}$$

This finishes the proof.

Remark: The isomorphism  $\beta_h$  from  $\pi_1(X, x_1)$  to  $\pi_1(X, x_0)$  is not canonical.

Example:  $X = \mathbb{R}^2 - \{(0,0), (-1,0)\}$



$$\pi_1(X, x_0) \cong \mathbb{F}_2 \cong \pi_1(X, x_1)$$

$\beta_{h_1}$  and  $\beta_{h_2}$  are not the same.

Exercise: If  $\pi_1(X)$  is abelian then  $\beta_{h_1} = \beta_{h_2}$  for any  $h_1, h_2$ .

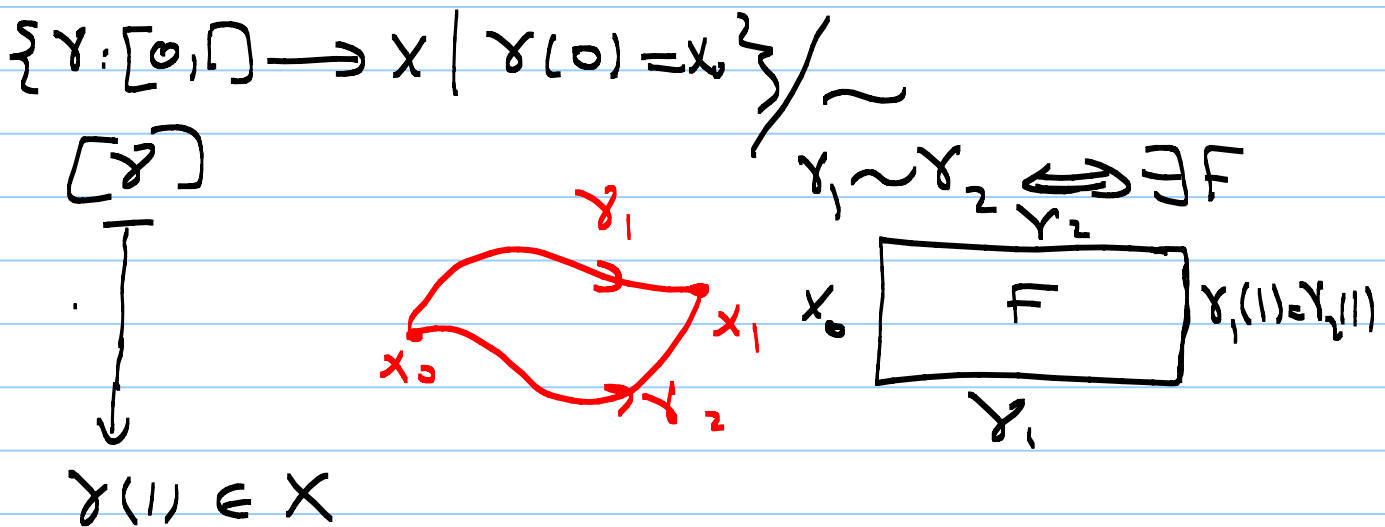
## Video 12

Definition: A path connected space  $X$  is called simply connected if  $\pi_1(X, x_0) = \{e\}$  for some (and thus all)  $x_0 \in X$ .

Proposition: A space  $X$  is simply connected if and only if for any two points  $x_0$  and  $x_1$  of  $X$ , there is a unique homotopy class of paths joining  $x_0$  to  $x_1$ , where homotopies fix the end points at all times.

Proof: Exercise.

Remarks If  $X$  is simply connected space and  $x_0 \in X$ . Then there is a bijection between the set  $X$  and the set of homotopy classes of paths starting at  $x_0$ , where homotopies fix the end points.



$$\varphi: \left\{ \gamma: [0, 1] \rightarrow X \mid \gamma(0) = x_0 \right\} / \sim \longrightarrow X$$

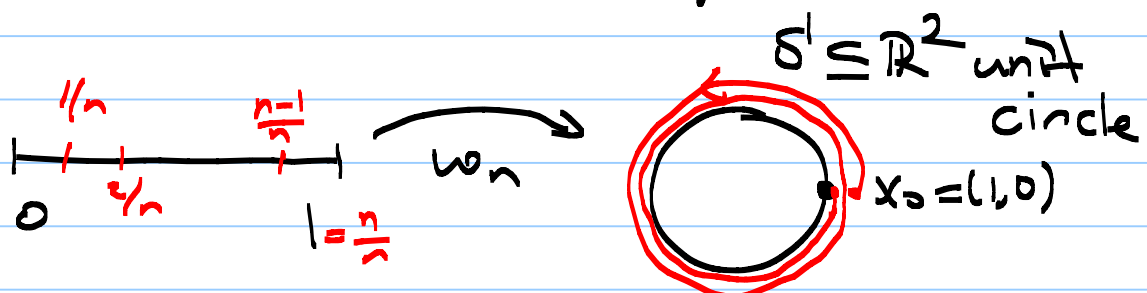
$$[\gamma] \longmapsto \varphi([\gamma]) = \gamma(1).$$

## The Fundamental Group of the Circle

Theorem: The map  $\Phi: \mathbb{Z} \rightarrow \pi_1(S^1, x_0)$ , where  $x_0 = (1, 0)$  sending an integer  $n$  to the homotopy class of the loop  $\omega_n: [0, 1] \rightarrow S^1$ ,  $\omega_n(s) = (\cos 2\pi ns, \sin 2\pi ns)$

based at  $x_0 = (1, 0)$  is an isomorphism.

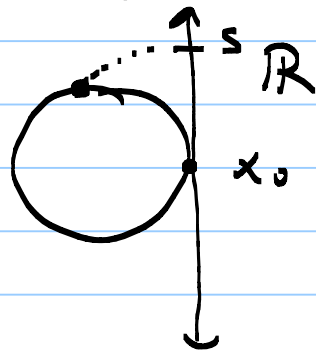
Proof:



$$\begin{array}{ccc} \mathbb{Z} & \longrightarrow & \pi_1(S^1, x_0) \\ n & \longmapsto & [\omega_n] \end{array}$$

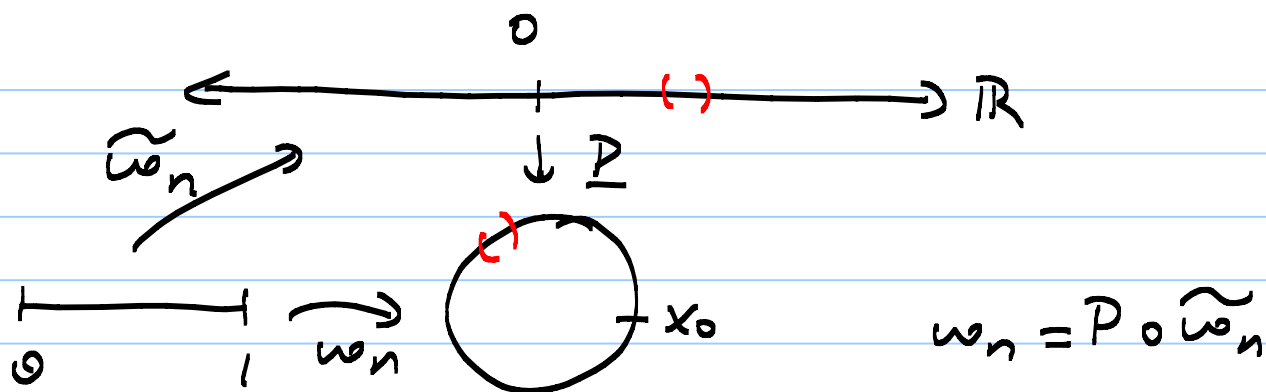
The proof has several stages:

i) Consider the map  $p: \mathbb{R} \rightarrow S^1$  given by  $p(s) = (\cos 2\pi s, \sin 2\pi s)$ ,  $s \in \mathbb{R}$ .



Let  $\tilde{\omega}_n: [0, 1] \rightarrow \mathbb{R}$  be given by  $\tilde{\omega}_n(s) = ns$ .

Note that  $(p \circ \tilde{\omega}_n)(s) = p(\tilde{\omega}_n(s)) = p(ns) = \omega_n(s)$

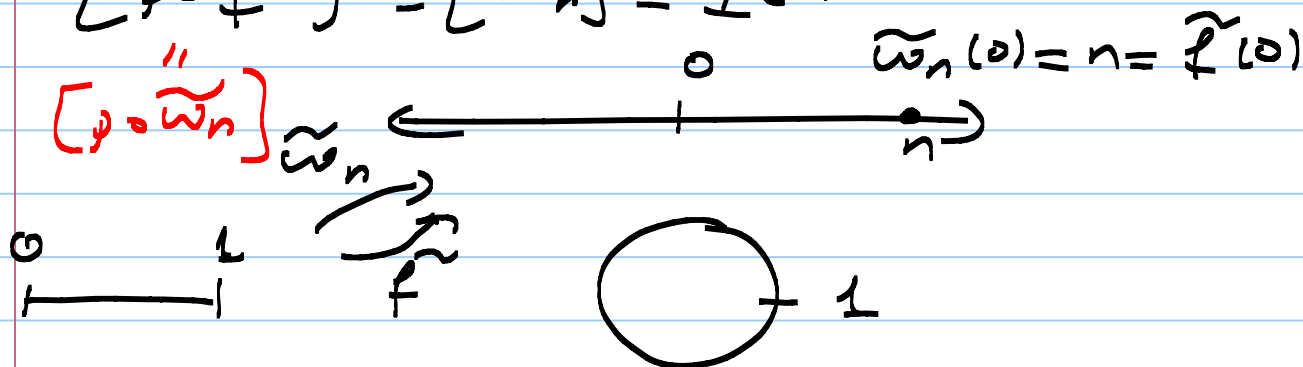


Note that  $P$  is locally a homeomorphism.

Note that  $\Phi(n) = [\omega_n]$  can be defined as the homotopy class of the loop  $p \circ \tilde{f}$  for any path  $\tilde{f}$  in  $\mathbb{R}$  from 0 to  $n$ , because any such  $\tilde{f}$  is homotopic to  $\tilde{\omega}_n$ , keeping the end points fixed:

$t \mapsto (1-t)\tilde{f} + t\tilde{\omega}_n$  and thus  $p \circ \tilde{f}$  is homotopic to  $\omega_n$ .

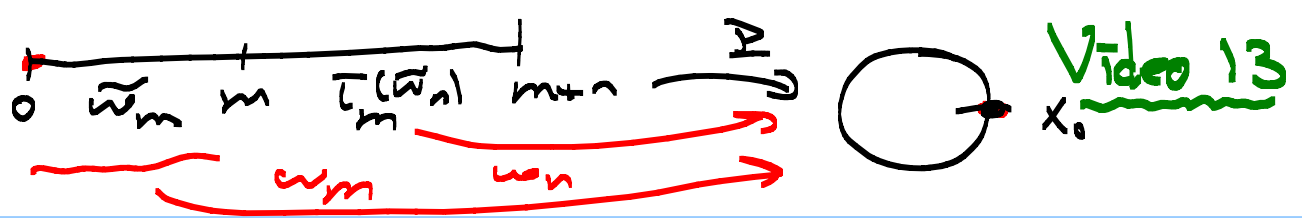
$$[p \circ \tilde{f}] = [\omega_n] = \Phi(n)$$



ii) Claim:  $\Phi$  is a group homomorphism.

Proof: let  $T_m: \mathbb{R} \rightarrow \mathbb{R}$  be the translation map  $T_m(x) = x + m$ , ( $m \in \mathbb{Z}$ ).

Then  $\tilde{\omega}_m = (T_m(\tilde{\omega}_n))$  is a path in  $\mathbb{R}$  from 0 to  $m+n$ , so that  $\Phi(m+n)$  is the homotopy



class of  $p(\tilde{\omega}_m \cdot (\tilde{\omega}_n))$ .

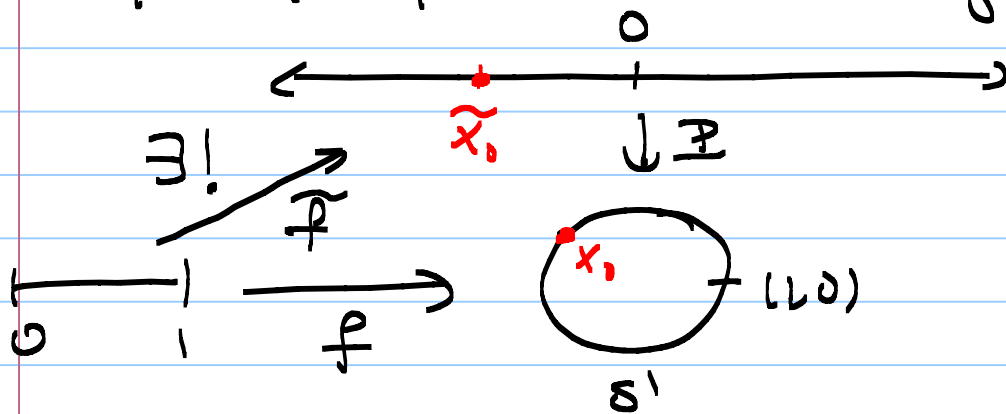
The image of the path  $\tilde{\omega}_m \cdot (\tilde{\omega}_n)$  under  $p$  is just  $\omega_m \cdot \omega_n$ , so that

$$\Phi(m+n) = [\omega_m \cdot \omega_n] = [\omega_m] \cdot [\omega_n] = \Phi(m) \cdot \Phi(n).$$

Hence,  $\Phi$  is a group homomorphism.

Next we'll show that  $\Phi$  is a isomorphism. To do so we'll use two facts:

a) For each path  $f: \mathbb{I} \rightarrow S^1$  starting at point  $x_0$  and each  $\tilde{x}_0 \in \mathbb{R}$  with  $\mathbb{I}(\tilde{x}_0) = x_0$ , there is a unique lift  $\tilde{f}: \mathbb{I} \rightarrow \mathbb{R}$  starting at  $\tilde{x}_0$ .



$$f(0) = x_0, \tilde{f}(0) = \tilde{x}_0 \text{ and } \mathbb{I}(\tilde{f}(s)) = f(s)$$

b) For each homotopy  $f_t: \mathbb{I} \rightarrow S^1$  of paths ( $F: \mathbb{I} \times \mathbb{I} \rightarrow S^1, F(s,t) = f_t(s)$ ) starting at  $x_0$

then there is a unique homotopy  $\tilde{f}_t: \mathbb{I} \rightarrow \mathbb{R}$  starting at  $\tilde{x}_0$  and  $\mathbb{I}(\tilde{f}_t(s)) = f_t(s)$ , for all  $s$ .

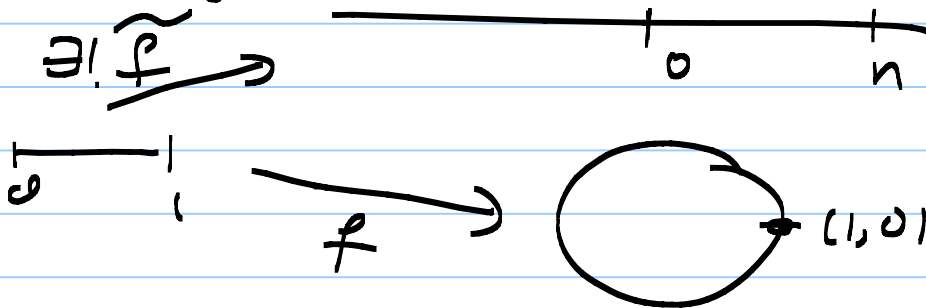
$$(\tilde{F}: I \times I \rightarrow \mathbb{R}, \tilde{F}(s, t) = \tilde{f}_t(u))$$

iii) (a) and (b) prove the theorem.

$\Phi$  is surjective: Let  $f: I \rightarrow S^1$  be a loop

at the base point  $(1, 0)$ , representing an element of  $\pi_1(S^1, (1, 0))$ .

Now by fact (a) there is a (unique) lift  $\tilde{f}$  starting at  $x_0 = 0 \in \mathbb{R}$  ( $P(0) = (1, 0)$ )



$f(0) = f(1) = (1, 0)$ . Since  $P(\tilde{f}(u)) = f(u) = (1, 0)$  we see that  $\tilde{f}(1) \in \mathbb{Z}$  because

$$P^{-1}(0) = \mathbb{Z} \subseteq \mathbb{R}. \text{ Say } n = \tilde{f}(1).$$

By the extended definition of  $\Phi$  we have

$$\Phi(u) = [P \circ \tilde{f}] = [f] \text{ so that we are done.}$$

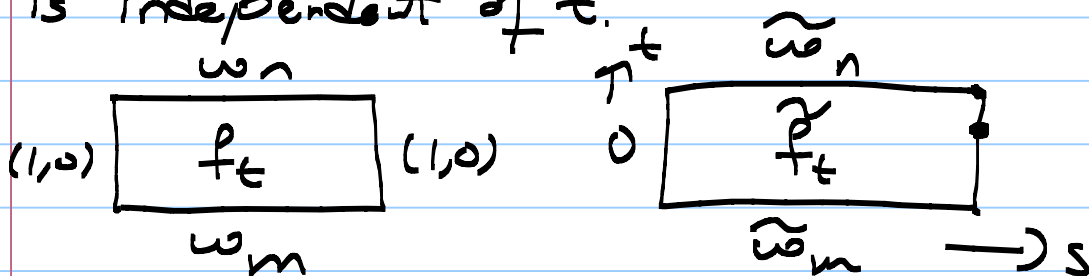
$\Phi$  is injective: Suppose that  $\Phi(u) = \Phi(m)$ , for some  $m, n \in \mathbb{Z}$ . So  $[w_n] = [w_m]$  and thus  $w_n$  and  $w_m$  are homotopic.

must show:  $m = n$ .

Let  $f_t$  be a homotopy from  $f_0 = \omega_m$  to  $f_1 = \omega_n$ . By (b) there is a unique lift  $\tilde{f}_t$  of paths starting at 0.

The uniqueness part of (a) implies that

$\tilde{f}_0 = \tilde{\omega}_m$  and  $\tilde{f}_1 = \tilde{\omega}_n$ . Since  $\tilde{f}_t$  is a homotopy of paths the endpoints  $\tilde{f}_t(1)$  is independent of  $t$ .



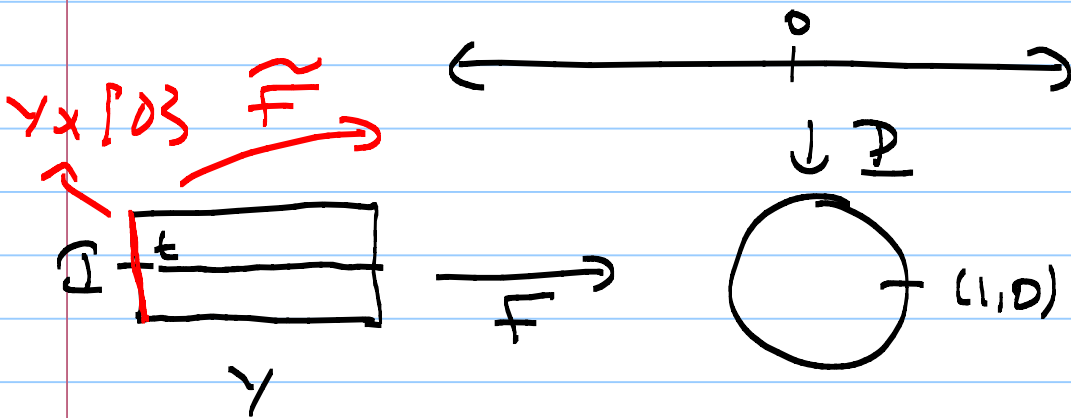
This is because  $\tilde{F}(1,t) = \tilde{f}_t(1) \in \mathbb{Z}$  for all  $t \in [0,1]$ , and thus it must be a fixed integer.

$\tilde{f}_t(1) = ?$  For  $t=0$  the endpoints are  $m$  and for  $t=1$ , the endpoints are  $n$ , and thus  $m=n$ .

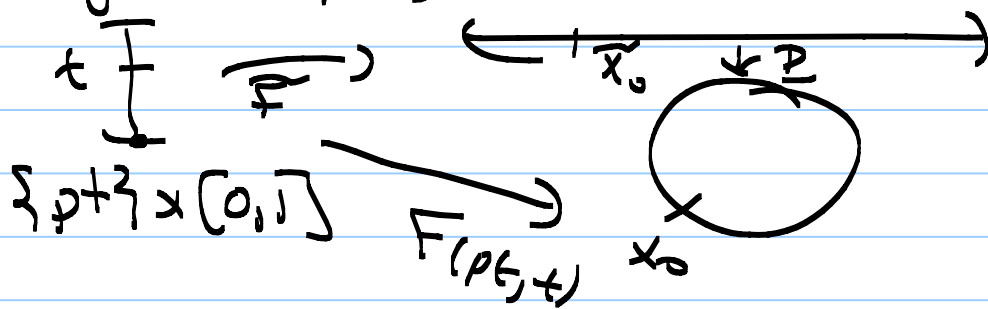
Now we must prove (a) and (b). Indeed, we'll prove another fact (c), which will imply both (a) and (b).

(c) Given a map  $F: Y \times \mathbb{R} \rightarrow \mathbb{S}^1$  and another map  $\tilde{F}: Y \times \mathbb{R} \rightarrow \mathbb{R}$  lifting  $F|_{Y \times \mathbb{R}}$ , then there is a unique map  $\tilde{F}: Y \times \mathbb{R} \rightarrow \mathbb{R}$  lifting  $F$  ( $\partial \tilde{F} = F$  as maps on  $Y \times \mathbb{R}$ )

so that it restricts to the given  $\tilde{F}$  on  $Y \times \{0\}$ .



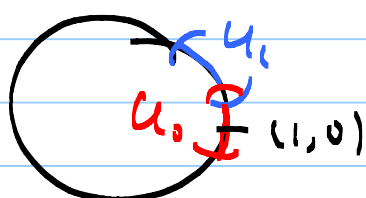
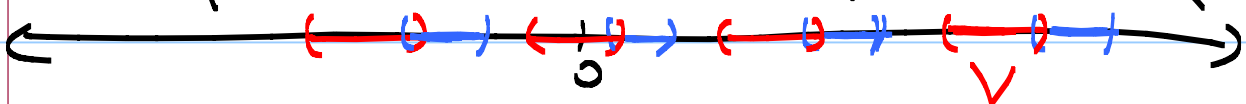
Taking  $Y = \{pt\}$  (c) reduces to (a).



Similarly, taking  $Y = [0,1]$  (c) becomes (b).

To finish the proof we need to prove fact (c):

To prove (c) we'll often use the following property of the map  $P: \mathbb{R} \rightarrow S^1$ : There is an open cover  $\{U_\alpha\}$  of  $S^1$  so that  $P^{-1}(U_\alpha)$  is a disjoint union of open subsets of  $\mathbb{R}$  each of which is homeomorphic to  $U_\alpha$  by  $P$ .



$P: V \rightarrow U_0$   
homeomorphism



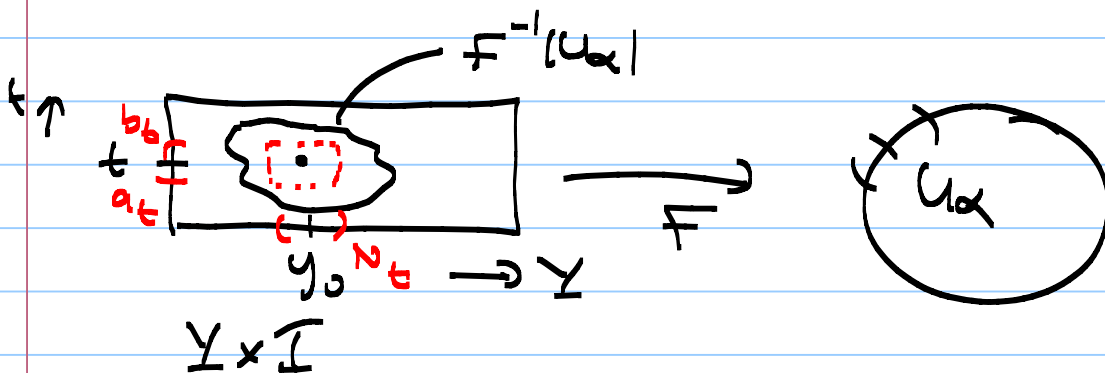
Definition: Let  $P: X \rightarrow Y$  be an onto map. If  $Y$  has an open cover  $\{U_\alpha\}$  so that for each  $\alpha$ , the inverse image  $P^{-1}(U_\alpha)$  is a disjoint union of open subsets each of which is homeomorphic to  $U_\alpha$  via  $P$ , then we'll call the map  $P: X \rightarrow Y$  a covering space/map.

Hence, the above  $P: \mathbb{R} \rightarrow S^1$  is an example of a covering space (or map).

First let's construct a lift  $\tilde{F}: N \times I \rightarrow \mathbb{R}$  for  $N$  some neighborhood in  $Y$  of a point  $y_0 \in Y$ .

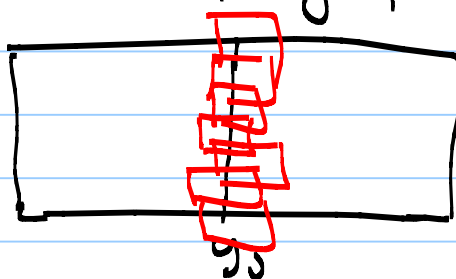
Since  $\tilde{F}$  is continuous, every point  $(y_0, t) \in Y \times I$  has a product neighborhood  $N_t \times (a_t, b_t)$  such that

$$\tilde{F}(N_t \times (a_t, b_t)) \subseteq U_\alpha \text{ for some } \alpha.$$



Since  $I$  is compact  $\{y_0\} \times I$  is compact and thus  $\{y_0\} \times I$  is covered by finitely many such products, say

$$N_{\alpha_i} \times (a_i, b_i) \quad i=1, \dots, k.$$

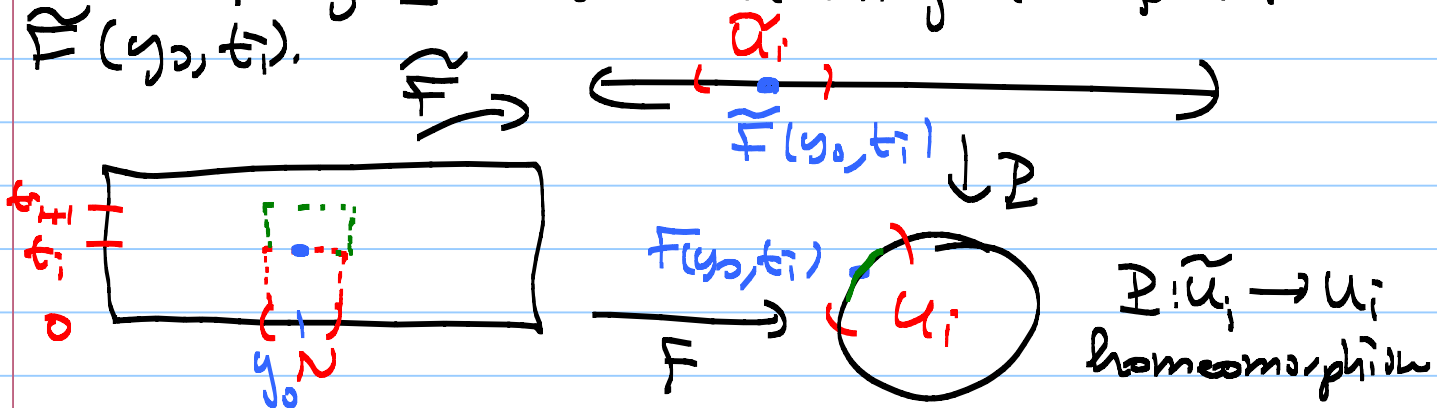


Let  $N = N_{\alpha_1} \cap N_{\alpha_2} \cap \dots \cap N_{\alpha_k}$ . Also choose

$0 = t_0 < t_1 < t_2 < \dots < t_m = 1$  so that

$$F(N \times [t_i, t_{i+1}]) \subseteq U_i \doteq U_{\alpha_i}, \quad \tau \in I - \text{im.}$$

Assume that  $\tilde{F}$  has been constructed on  $N \times [0, t_i]$ . Since  $F(N \times [t_i, t_{i+1}]) \subseteq U_i$  there some  $\tilde{U}_i \subseteq \mathbb{R}$  projecting homeomorphically onto  $U_i$  by  $\mathbb{P}$  and containing the point  $\tilde{F}(y_0, t_i)$ .

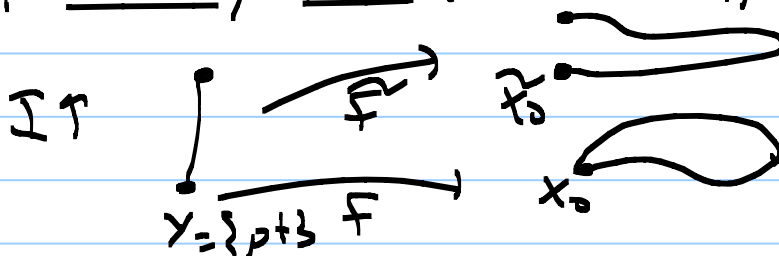


Now define  $\tilde{F}$  on  $N \times [t_i, t_{i+1}]$  to be the composition of  $F$  with the inverse

$$\mathbb{P}^{-1}: U_i \rightarrow \tilde{U}_i. \quad \text{So, } \tilde{F} = \mathbb{P}^{-1} \circ F.$$

Repeating this finitely many times we get the required map  $\tilde{F}: N \times I \rightarrow \mathbb{R}$ .

Uniqueness of  $\tilde{F}$  for  $Y = \sum p_i t_i$

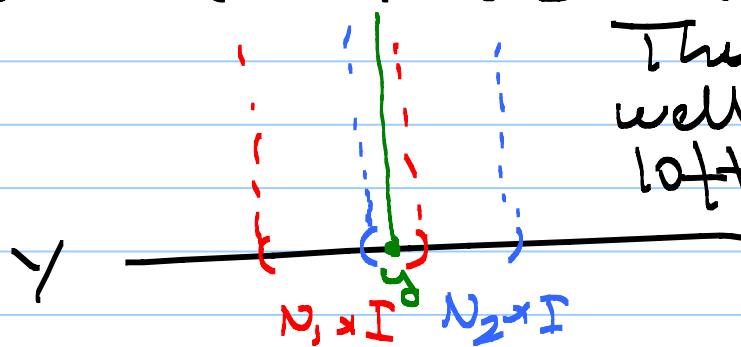




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Finishing the Proof: Since  $\tilde{F}$ 's constructed

above on the sets  $N \times I$  are unique when restricted to segment  $\{y\} \times I$ , they must agree whenever two such  $N \times I$ 's overlap.



Thus we get a well-defined unique left  $\tilde{F}$  on  $Y \times I$ .

The unique left  $\tilde{F}: Y \times I \rightarrow \mathbb{R}$  is continuous since it is continuous on each  $N \times I$ .

This finishes the proof. =

So we have proved that the map

$$\Phi: \mathbb{Z} \longrightarrow \mathbb{T}, (S', (1,0)), m \longmapsto [w_m], m \in \mathbb{Z},$$

is a group isomorphism.

### Some Applications

Theorem: (Fundamental Theorem of Algebra)

Every nonconstant polynomial with coefficient in  $\mathbb{C}$  has a root in  $\mathbb{C}$ .

Proof: Let  $P(z) = z^n + a_1 z^{n-1} + \dots + a_{n-1} z + a_n$

be a polynomial with  $a_i \in \mathbb{C}, i=1, \dots, n$ . Assume on the contrary that  $P(z)$  has no roots in  $\mathbb{C}$ . Then for any real number  $r \geq 0$ , the formula

$$f_r(s) = \frac{P(re^{2\pi i s})/P(r)}{|P(re^{2\pi i s})/P(r)|}, \quad f_r: [0,1] \rightarrow S^1$$

a continuous function for each  $r$ . Indeed,

$F: [0,1] \times \mathbb{R}^{\geq 0} \rightarrow S^1, F(s,r) = f_r(s)$  is a

continuous function. Indeed, each  $f_r$  is loop on  $S^1$  based at  $(1,0) = 1 \in \mathbb{C}$ ,

$$f_r(0) = 1 \text{ and } f_r(1) = 1, \text{ for all } r \geq 0.$$

Hence,  $F$  defines a homotopy from  $f_0$  to  $f_r, r \geq 0$ .

$f_0: [0,1] \rightarrow S^1, f_0(s) = 1, \forall s \in [0,1]$ , is the constant loop.

$$\text{Hence, } [f_r] = [f_0] = e \in \pi_1(S^1, 1)$$

Indeed,  $e = 0$  in  $\mathbb{Z} \cong \pi_1(S^1, 1)$ .

Let  $r = |a_1| + |a_2| + \dots + |a_n| + 1$ . Now, for any  $z \in \mathbb{C}$ , with  $|z| = r$ , then

$$|z^n| = r^n = r \cdot r^{n-1} > (|a_1| + \dots + |a_n|) |z|^{n-1}$$

In particular,

$$\begin{aligned} |a_1 z^{n-1} + \dots + a_{n-1} z + a_n| &\leq |a_1 z^{n-1}| + \dots + |a_{n-1} z| + |a_n| \\ (r \geq 1) \quad &= |a_1| r^{n-1} + \dots + |a_{n-1}| r + |a_n| \\ &\leq |a_1| r^{n-1} + \dots + |a_{n-1}| r^{n-1} + |a_n| r^{n-1} \\ &= (|a_1| + \dots + |a_n|) r^{n-1} \\ &< |z^n|. \end{aligned}$$

Hence, the polynomial

$$P_t(z) = z^n + t(a_1 z^{n-1} + \dots + a_{n-1} z + a_n)$$

has no roots on the circle  $|z|=r$ , for any  $0 \leq t \leq 1$ .

Now the formula

$$\frac{P_t(re^{2\pi i s})}{P_t(r)}, \quad t \in [0, 1], \text{ defines a}$$
$$\left| \frac{P_t(re^{2\pi i s})}{P_t(r)} \right|$$

homotopy.

$$t=0 \Rightarrow \frac{(r e^{2\pi i s})^n}{r^n} = e^{2\pi i n s}, \quad s \in [0, 1]$$
$$\left| \frac{e^{2\pi i n s}}{e^{2\pi i s}} \right|$$

which is  $\omega_n$ .

Hence,  $n = [\omega_n] = [f_r] = 0$  in  $\mathbb{Z} \cong \pi_1(S^1, 1)$ .

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Thus  $P(z)$  is a polynomial of degree  $n \neq 0$ , i.e., it is a constant polynomial, a contradiction to the assumption.

Therefore,  $P(z)$  must have a zero in  $\mathbb{C}$ .

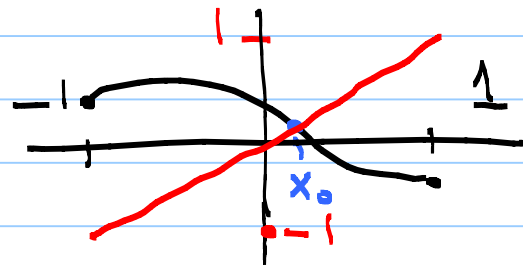
Theorem: Every continuous map  $f: D^2 \rightarrow D^2$  has a fixed point.

Remark: Indeed the same holds for any  $f: D^n \rightarrow D^n$ .

$n=1$  is known from Intermediate Value Theorem.

$$f: [-1, 1] \rightarrow [-1, 1]$$

$$f(x_0) = x_0$$



For  $n \geq 3$  we'll use Homology or Higher Homology theory.

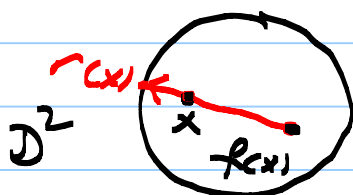
Proof for  $n=2$ : (Brouwer proved this for  $D^n$  in 1910)

Assume on the contrary that the given function

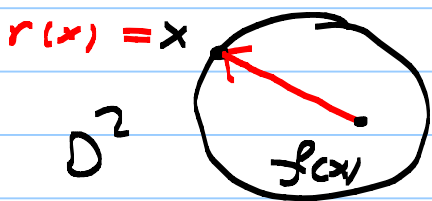
$$f: D^2 \rightarrow D^2$$

has no fixed points. Hence,  $f(x) \neq x$ , for all  $x \in D^2$ . Now define a retraction

$r: D^2 \rightarrow S^1$  as follows:  $r(x)$  is the intersection of  $S^1 = \partial D^2$  with the ray starting at  $f(x)$  and passing through  $x$ .



The continuity of  $r(x)$  is left as an exercise. Moreover, if  $x \in S^1 = \partial D^2$ , then  $r(x) = x$ .



Hence,  $r: D^2 \rightarrow \partial D^2 = S^1$  is a retraction.

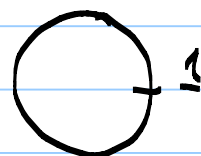
Now we need a fact:

Claim: There is no retraction from  $D^2$  to its boundary  $\partial D^2 = S^1$ .

Proof: Suppose that there is a retraction  $r: D^2 \rightarrow S^1$ .

$$S^1 \xrightarrow{\tilde{i}} D^2 \xrightarrow{r} S^1, \quad x \in S^1$$

$$x \longmapsto x \longmapsto r(x)$$



This gives homomorphism on  $\pi_1$ :

$$(r \circ \tilde{i})(1) = r(\tilde{i}(1)) = r(1) = 1.$$

$$(S^1, \{1\}) \xrightarrow{\tilde{i}} (D^2, \{1\}) \xrightarrow{r} (S^1, \{1\})$$

Fact: If  $f: (X, x_0) \rightarrow (Y, y_0)$  is a continuous map of based topological spaces then the map

$$f_{\#}: \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0), [\gamma] \mapsto [f \circ \gamma],$$

is a group homomorphism.

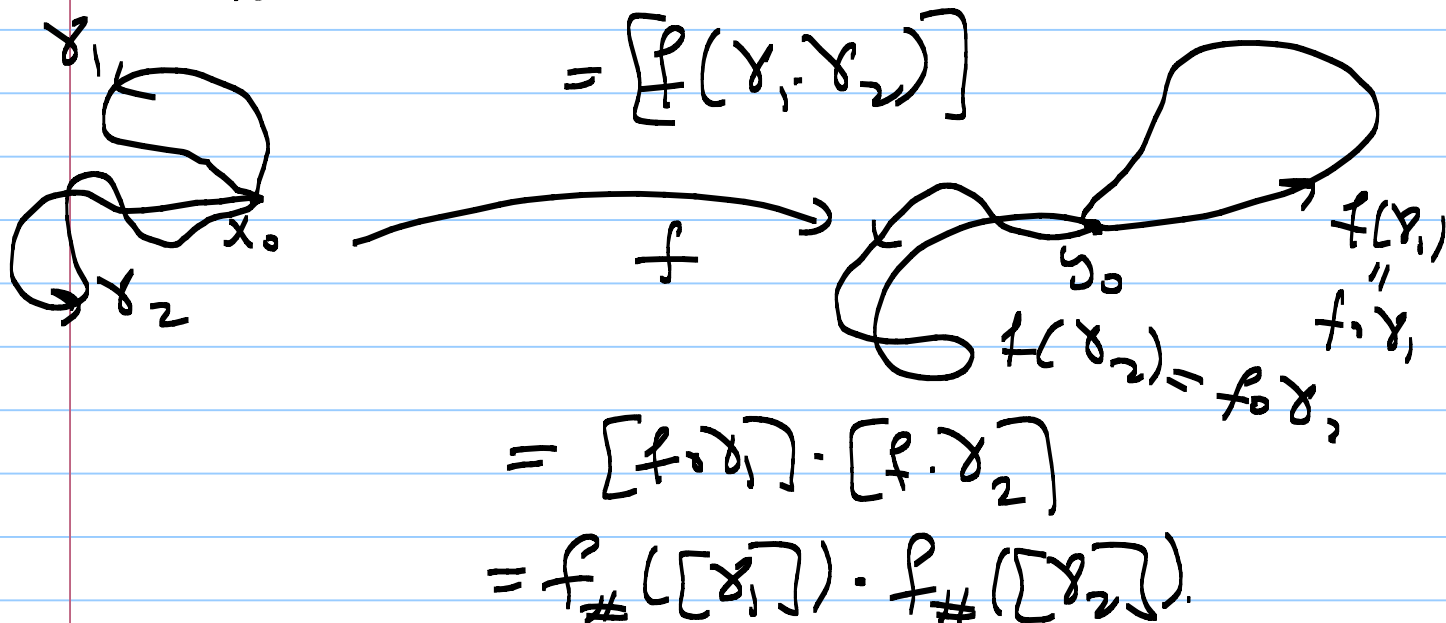


## Proof of the fact:

i) It is well defined. If  $\gamma_t$  is a homotopy from  $\gamma_0$  to  $\gamma_1$  of based loops at  $x$ , then  $f \circ \gamma_t$  is a homotopy of based loops at  $y_0 = f(x_0)$  from  $f \circ \gamma_0$  to  $f \circ \gamma_1$ .

ii) If  $[\gamma_1]$  and  $[\gamma_2]$  are two classes in  $\pi_1(X, x)$  then

$$\begin{aligned} f_{\#}([\gamma_1] \cdot [\gamma_2]) &= f_{\#}([\gamma_1 \cdot \gamma_2]) \\ &= [f(\gamma_1 \cdot \gamma_2)] \end{aligned}$$



Hence,  $f_{\#}$  is a group homomorphism. ■

Remark: If  $f: (X, x_1) \rightarrow (X, x_2)$  is the identity function then the homomorphism

$$f_{\#}: \pi_1(X, x_1) \rightarrow \pi_1(X, x_2) \text{ is the}$$

identity homomorphism.

Back to the proof of the Application:

$$(S^1, 1) \xleftarrow{\tau} (D^2, 1) \xleftarrow{\hat{\tau}} S^1, 1$$

$$r \circ \hat{\tau} = \tau \downarrow_{(S^1, 1)}$$

$$\begin{array}{ccccc} \pi_1(S^1, 1) & \xrightarrow{\hat{\tau}_\#} & \pi_1(D^2, 1) & \xrightarrow{\tau_\#} & \pi_1(S^1, 1) \\ \cong & & \cong & & \cong \\ \mathbb{Z} & \xrightarrow{\quad} & (e) & \xrightarrow{\quad} & \mathbb{Z} \end{array}$$

$$(r \circ \hat{\tau})_\# = (\tau \downarrow_{(S^1, 1)})_\# = \tau \downarrow_{\pi_1(S^1, 1)}$$

This is a contradiction since the Identity Isomorphism of  $\mathbb{Z}$  passes through the trivial group. This finishes the proof. ■

### Theorem (Borsuk-Ulam Theorem)

For every continuous map  $f: S^2 \rightarrow \mathbb{R}^2$ , where  $S^2$  is the unit sphere in  $\mathbb{R}^3$  there exists a pair of antipodal points  $x$  and  $-x$  on  $S^2$  so that  $f(x) = f(-x)$ .



$$f(x) = (\overset{\text{air pressure}}{P(x)}, \overset{\text{temp.}}{T(x)})$$

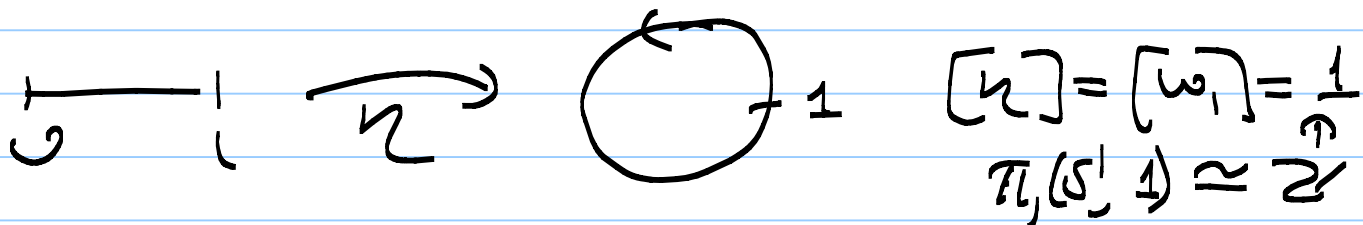
$$x = (a, b, c), \quad -x = (-a, -b, -c)$$

Proof: Suppose that  $f(x) \neq f(-x)$ , for all  $x \in S^2$ .  
 must arrive at a contradiction!

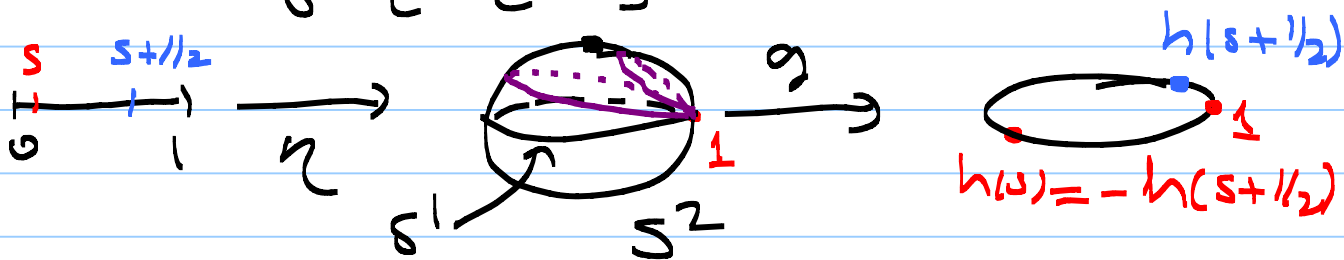
Define a map  $g: S^2 \rightarrow S^1$  as follows:

$$g(x) = \frac{f(x) - f(-x)}{\|f(x) - f(-x)\|}, \quad x \in S^2.$$

Let  $\eta: [0, 1] \rightarrow S^1 \subseteq S^2$  by  $\eta(s) = (\cos 2\pi s, \sin 2\pi s, 0)$



Let  $h = g \circ \eta: [0, 1] \rightarrow S^1$



Note that  $g(-x) = -g(x)$ , for all  $x \in S^2$ .

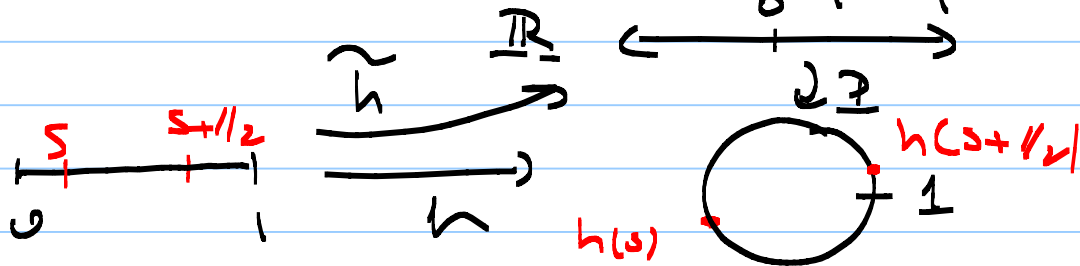
Hence,  $h(s+1/2) = g(\eta(s+1/2))$

$$\begin{aligned} &= g(\cos 2\pi(s+1/2), \sin 2\pi(s+1/2)) \\ &= g(\cos(2\pi s + \pi), \sin(2\pi s + \pi)) \\ &= g(-\cos 2\pi s, -\sin 2\pi s) \\ &= -g(\cos 2\pi s, \sin 2\pi s) \\ &= -g(\eta(s)) \end{aligned}$$

$$= -h(s), \quad s \in [0, 1].$$

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Let  $\tilde{h}: [0, 1] \rightarrow \mathbb{R}$  be the lift of  $h$  with  $\tilde{h}(0) = 0$ .



Since  $h(s + 1/2) = -h(s)$  we get

$$\tilde{h}(s + 1/2) = \tilde{h}(s) + \frac{q}{2} \text{ for some odd integer } q \in \mathbb{Z},$$

because  $2(\tilde{h}(s + 1/2) - \tilde{h}(s)) = h(s + 1/2) - h(s) = -h(s) - h(s) = -2\tilde{h}(s)$

Note that since  $q = 2(\tilde{h}(s + 1/2) - \tilde{h}(s))$  and  $\tilde{h}$  is continuous  $q$  must be independent of  $s$ .

In particular,

$$\tilde{h}(1) = \tilde{h}(1/2) + \frac{q}{2} = \tilde{h}(0) + q \Rightarrow [h] = q \in \mathbb{Z} \cong \pi_1(S^1, 1).$$

Since  $q$  is an odd integer  $[h] \neq 0 \in \mathbb{Z}$  and thus  $h$  is not null homotopic.

However,  $h = g \circ \gamma$  and  $\gamma$  is clearly null homotopic. Thus  $h$  is null homotopic, and thus we arrived at a contradiction.

This finishes the proof.  $\square$

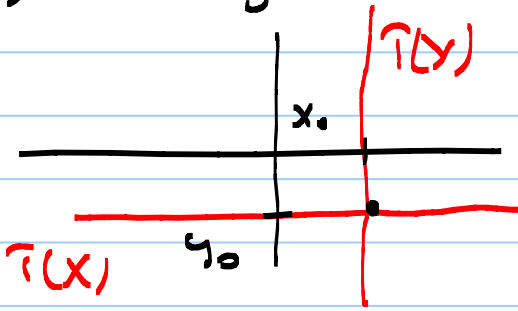
Proposition: Let  $(X, x_0)$  and  $(Y, y_0)$  be path connected based spaces. Then  $(X \times Y, (x_0, y_0))$  is a path connected based space and

$$\pi_1(X \times Y, (x_0, y_0)) \text{ is isomorphic to } \pi_1(X, x_0) \times \pi_1(Y, y_0).$$

Proof:  $\tau: (X, x_0) \rightarrow (X \times Y, (x_0, y_0)), x \mapsto (x, y_0), x \in X$

$\sigma: (Y, y_0) \rightarrow (X \times Y, (x_0, y_0)), y \mapsto (x_0, y), y \in Y.$

Clearly  $\tau$  and  $\sigma$  are continuous maps.



We have also projection maps:

$P_X: (X \times Y, (x_0, y_0)) \rightarrow (X, x_0), (x, y) \mapsto x, (x, y) \in X \times Y,$

and  $P_Y: (X \times Y, (x_0, y_0)) \rightarrow (Y, y_0), (x, y) \mapsto y, (x, y) \in X \times Y.$

$P_X \circ \tau: (X, x_0) \rightarrow (X, x_0), x \mapsto (x, y_0) \mapsto x, x \in X.$

so that  $P_X \circ \tau = \text{id}_{(X, x_0)}$

and similarly,  $P_Y \circ \sigma = \text{id}_{(Y, y_0)}$ .

$\tau_{\#}: \pi_1(X) \rightarrow \pi_1(X \times Y), \sigma_{\#}: \pi_1(Y) \rightarrow \pi_1(X \times Y).$

$\xleftarrow{P_{X\#}} \qquad \qquad \qquad \xleftarrow{P_{Y\#}}$

Consider the map

$\varphi: \pi_1(X) \times \pi_1(Y) \rightarrow \pi_1(X \times Y)$  given by

$$\varphi([f], [g]) = [h], \text{ where } h: I \rightarrow X \times Y, \\ h(s) = (f(s), g(s)).$$

- must show:
- i)  $\varphi$  is well defined
  - ii)  $\varphi$  is a homomorphism
  - iii)  $\varphi$  has an inverse

$$\varphi^{-1}: \pi_1(X \times Y) \rightarrow \pi_1(X) \times \pi_1(Y)$$

$$\varphi^{-1}([h]) = ([f], [g])$$

Rest is exercise!

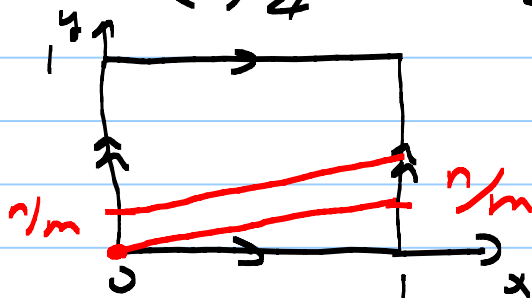
Corollary  $\pi_1(S^1 \times S^1) \cong \mathbb{Z} \times \mathbb{Z}$  and in general

$$\pi_1(\underbrace{S^1 \times S^1 \times \dots \times S^1}_{n\text{-copies}}) \cong \underbrace{\mathbb{Z} \times \mathbb{Z} \times \dots \times \mathbb{Z}}_{n\text{-copies}}.$$

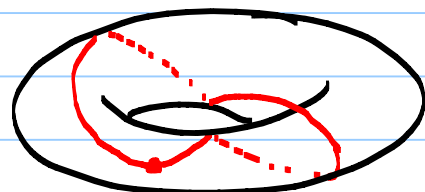
Example:  $(m, n) \in \mathbb{Z} \times \mathbb{Z}$

$$S^1 = \mathbb{R}/\mathbb{Z} \quad x \sim x+n, \forall n \in \mathbb{Z}$$

$$S^1 \times S^1 = (\mathbb{R}/\mathbb{Z} \times \mathbb{R}/\mathbb{Z})$$

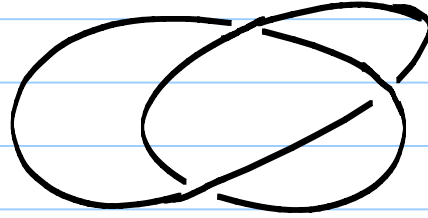
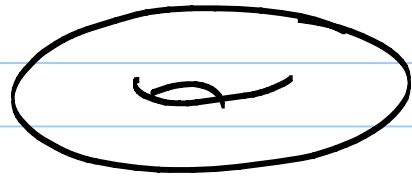
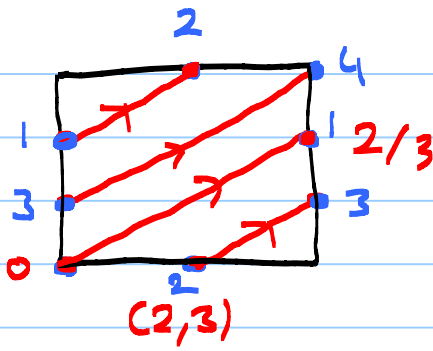


$$(m, n) \mapsto (e^{2\pi i m}, e^{2\pi i n})$$



(2, 1)

# Video 18



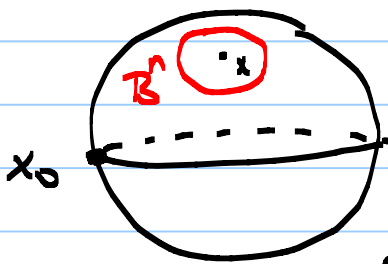
Trefoil Knot.

Exercise: The homotopy class of the loop corresponding to  $(m, n) \in \mathbb{Z} \times \mathbb{Z} \cong \pi_1(\mathbb{T}^2)$  is represented by an embedded circle if and only if  $m$  and  $n$  are relatively prime.

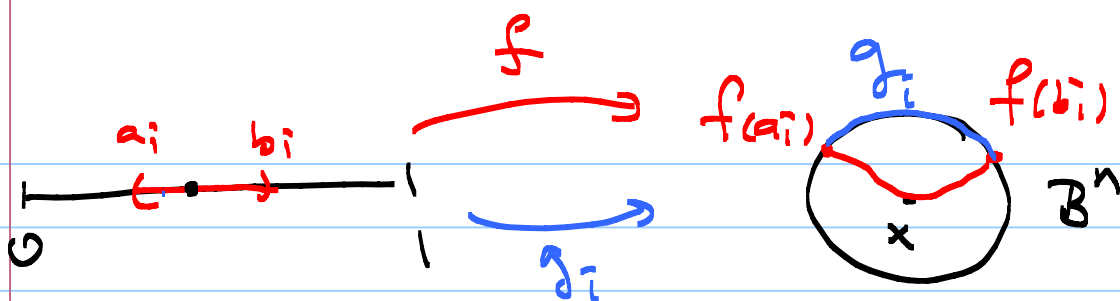
Theorem:  $\pi_1(S^n, x_0) = \{e\}$  if  $n \geq 2$ .

Proof: Let  $f: [0, 1] \rightarrow S^n$  be any loop based at  $x_0$ .

Let  $x \in S^n$ ,  $x \neq x_0$  and choose a small open ball  $B^n$  around  $x$ . The inverse image of  $B^n$ ,  $f^{-1}(B^n)$  is a disjoint union of open intervals  $(a_i, b_i)$  in  $\mathbb{I}$ . Since  $f^{-1}(x)$  is a compact subset of  $[0, 1]$  and it is covered by the open intervals  $(a_i, b_i)$ ,  $f^{-1}(x)$  is covered by finitely many  $(a_i, b_i)$ . Now for each these finitely many intervals choose some  $g_i: [a_i, b_i] \rightarrow S^n$  so that  $g_i$  is homotopic to  $f|_{[a_i, b_i]}$ ,  $f(a_i) = g(a_i)$ ,  $f(b_i) = g(b_i)$  and  $g_i([a_i, b_i]) \subseteq \partial B^n$ .



to  $f|_{[a_i, b_i]}$ ,  $f(a_i) = g(a_i)$ ,  $f(b_i) = g(b_i)$  and  $g_i([a_i, b_i]) \subseteq \partial B^n$ .



Replacing each  $f|_{[a_i, b_i]}$ , for finitely many  $i$ , by suitable  $g_i$ , we obtain the loop represented by  $g$  so that  $[f] = [g]$  and  $g(I) \subseteq S^n \setminus \{x\}$ .

$g: [0, 1] \rightarrow S^n \setminus \{x\} \cong \mathbb{R}^n$  is null homotopic

and thus  $[f] = [g] = e$  in  $\pi_1(S^n, x_0)$ .

This finished the proof. =

Example 1.1)  $x \in \mathbb{R}^n$ , then  $\mathbb{R}^n \setminus \{x\} \cong \mathbb{R}^{\geq 0} \times S^{n-1}$  homeomorphic.

$$\varphi: \mathbb{R}^n \setminus \{x\} \longrightarrow \mathbb{R}^{\geq 0} \times S^{n-1}, \quad y \longmapsto \left( \|y-x\|, \frac{y-x}{\|y-x\|} \right)$$

$$\mathbb{R}^n \setminus \{x\} \cong \mathbb{R}^{\geq 0} \times S^{n-1} \cong \mathbb{R} \times S^{n-1} \text{ homeomorphism.}$$

$$(t, p) \longmapsto (nt, p)$$

$$\pi_1(\mathbb{R}^n \setminus \{x\}) \cong \pi_1(\mathbb{R} \times S^{n-1}) = \pi_1(\mathbb{R}) \times \pi_1(S^{n-1})$$

$$\cong (e) \times \pi_1(S^{n-1})$$

$$\cong \pi_1(S^{n-1}) = \begin{cases} \mathbb{Z} & \text{if } n=2 \\ (e) & \text{if } n \geq 3 \end{cases}$$

Corollary: Hence  $\mathbb{R}^2$  is not homeomorphic to any  $\mathbb{R}^n$  if  $n \neq 2$ .



Proof:  $n=1$   $\mathbb{R}^2 \rightarrow \mathbb{R}$

If  $f: \mathbb{R}^2 \rightarrow \mathbb{R}$  is a homeomorphism then

$f: \mathbb{R}^2 \setminus \{p\} \rightarrow \mathbb{R} \setminus \{f(p)\}$  would be still a

homeomorphism. This is a contradiction because  $\mathbb{R}^2 \setminus \{p\}$  is connected and  $\mathbb{R} \setminus \{f(p)\}$  is not.

$n > 2$ :  $f: \mathbb{R}^2 \rightarrow \mathbb{R}^n$ . This time we would get a homeomorphism from  $\mathbb{R}^2 \setminus \{p\}$  to

$\mathbb{R}^n \setminus \{f(p)\}$ , where  $\mathbb{R}^n \setminus \{f(p)\}$  is simply connected and  $\mathbb{R}^2 \setminus \{p\}$  is not simply connected.

Proposition: Let  $\varphi: X \rightarrow Y$  be a homotopy equivalence.

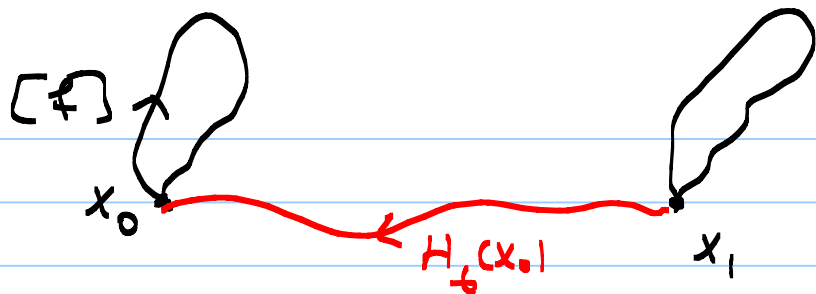
Then  $\varphi_{\#}: \pi_1(X, x_0) \rightarrow \pi_1(Y, \varphi(x_0))$  is an isomorphism, for any  $x_0 \in X$ .

Proof: By the assumption there is a continuous map  $\psi: Y \rightarrow X$  so that

$\psi \circ \varphi: X \rightarrow X$  is homotopic to  $\text{id}_X$  and

$\varphi \circ \psi: Y \rightarrow Y$  is homotopic to  $\text{id}_Y$ .

Let  $x_1 = (\psi \circ \varphi)(x_0) = \psi(\varphi(x_0))$



$$\psi \circ \varphi \sim \pi_1 x$$

$$H: X \times I \rightarrow X$$

$$H(x, t) = H_t(x)$$

$$(\psi \circ \varphi)_\#([\alpha])$$

$$H_0(x) = (\psi \circ \varphi)(x)$$

$$H_1(x) = \pi_1^1 x(x) = x$$

The map  $t \mapsto H_t(x_0)$  is a path from  $x_1$  to  $x_0$

$$\pi_1(X, x_0) \xrightarrow{(\psi \circ \varphi)_\#} \pi_1(X, x_1) \xrightarrow[\beta_{H_t(x_0)}]{\cong} \pi_1(X, x_0)$$

$$\varphi_\# : \pi_1(Y, \varphi(x_0)) \longrightarrow \pi_1(X, \varphi(x_0))$$

$$\psi : \pi_1(Y, \varphi(x_0)) \longrightarrow \pi_1(X, x_1)$$

Rest is exercise.

Van Kampen's Theorem:

Free Products of Groups: Let  $\{G_\alpha\}_{\alpha \in \Delta}$  be family of groups. Then the free product of this family is defined to be group by means of the following universal property:

Notation:  $\ast_{\alpha} G_{\alpha}$ : Free product of  $G_{\alpha}$ 's.

$\Phi$ : If  $\varphi_{\alpha}: G_{\alpha} \rightarrow H$  is a homomorphism for each  $\alpha \in \Delta$ , then there is a unique homomorphism  $\Phi: \ast_{\alpha} G_{\alpha} \rightarrow H$  so that the diagram below is commutative:

$$\begin{array}{ccc}
 \ast_{\alpha} G_{\alpha} & \xrightarrow{\Phi} & H \\
 \uparrow i_{\beta} & \nearrow \varphi_{\beta} & \\
 G_{\beta} & & 
 \end{array}
 \quad \text{where } i_{\beta}: G_{\beta} \rightarrow \ast_{\alpha} G_{\alpha} \text{ is a monomorphism.}$$

Theorem: There is a unique (up to isomorphism) group satisfying the property  $\Phi$ .

Idea of the proof: Elements of  $\ast_{\alpha} G_{\alpha}$  are finite words of the form

$$g_1 g_2 g_3 \cdots g_n, \text{ when } g_i \in G_{\alpha_i}, i=1, \dots, n.$$

Group operation: If  $g_1 g_2 \cdots g_n$  and  $g'_1 g'_2 \cdots g'_m$  are two words then

$$(g_1 g_2 \cdots g_n) \cdot (g'_1 g'_2 \cdots g'_m) = g_1 g_2 \cdots g_n g'_1 g'_2 \cdots g'_m$$

Inverse:  $(g_1 g_2 \cdots g_n)^{-1} = g_n^{-1} \cdots g_2^{-1} g_1^{-1}$  so that

$$\begin{aligned}
 & \quad \quad \quad e \in G_{\alpha_n} \\
 & \quad \quad \quad \parallel \\
 (g_1, g_2, \dots, g_n)(g_n^{-1} \dots g_2^{-1} g_1^{-1}) &= g_1, g_2, \dots, (g_n g_n^{-1}) \dots g_2^{-1} g_1^{-1} \\
 &= g_1, g_2, \dots, (g_n^{-1} g_n) \dots g_2^{-1} g_1^{-1} \\
 &= g_1, g_1^{-1} \\
 &= e
 \end{aligned}$$

If we choose each  $G_{\alpha}$  to be the cyclic group  $(\mathbb{Z}, +)$  then the group  $\ast_{\alpha} G_{\alpha}$  is called the free group on  $|\Lambda|$  letters.

In particular,  $|\Lambda| = n$  then we obtain

$\mathbb{Z} \ast \mathbb{Z} \ast \dots \ast \mathbb{Z}$  the free group on  $n$ -letters.  
 $n$ -copies

Notation:  $F_n = \mathbb{Z} \ast \dots \ast \mathbb{Z}$

Example 1)  $F_2 = \mathbb{Z} \ast \mathbb{Z} = \langle a \rangle \ast \langle b \rangle$   
 $= \langle a, b \mid - \rangle$

Elements of  $F_2$  are words on the alphabet  $a, a^{-1}, b, b^{-1}$ . For example,

$ab, a^2b, abab, ab^{-1}, ab^2a^{-1}bab^{-1}a^2b^3, \dots$

$ab^{-1}a^3 = aea^3 = aa^3 = a^4$

Definition: Suppose that  $G$  is a group on the alphabet  $\{g_x\}$  and let  $R_{\gamma} \in G$ , for each  $\gamma \in R$ , the set of relations.

Let  $N$  denote the smallest normal subgroup of  $G$  containing each  $R_y, y \in R$ . Then the quotient group  $G/N$  is called the group with generators  $\{g_i\}$  and relations  $\{R_i\}$ .

$$G/N = \langle g_i \mid R_i \rangle$$

Example:  $\mathbb{Z}_2 = \langle a \mid a^2 \rangle, \mathbb{Z}_n = \langle a \mid a^n \rangle$

$$\mathbb{Z} = \langle a \mid - \rangle.$$

$$F_2 = \langle a, b \mid - \rangle.$$

$$\mathbb{Z}_2 * \mathbb{Z}_3 = \langle a, b \mid a^2, b^3 \rangle = F_2/N$$

$N =$  normal closure of the set  $\{a^2, b^3\}$ .

$$\mathbb{Z}_2 * \mathbb{Z}_3 \cong \text{PSL}(2, \mathbb{Z})$$

$$a = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}, b = \begin{bmatrix} 0 & -1 \\ 1 & 1 \end{bmatrix}.$$

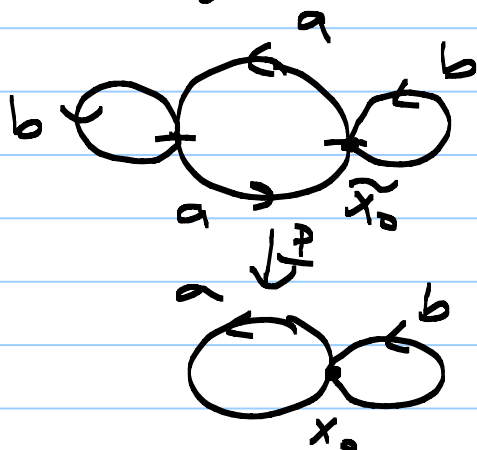
Example:  $\mathbb{Z} * \mathbb{Z}$  free abelian group of rank 2.

$$\mathbb{Z} * \mathbb{Z} = \langle a, b \mid aba^{-1}b^{-1} \rangle = F_2/F_2', \text{ where}$$

$F_2' = [F_2, F_2]$  is the commutator subgroup.

In general  $F_n/F_n' \cong \mathbb{Z}^n = \mathbb{Z} \times \dots \times \mathbb{Z}$ , the free abelian group of rank  $n$ .

Examples  $F_2$  contains  $F_3$  as a normal subgroup of index 2



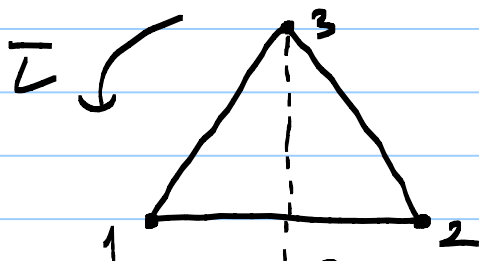
$$F_2 = \langle a, b \mid \text{---} \rangle$$

$H = \langle a^2, b, aba^{-1} \rangle$  the subgroup generated by  $a^2$ ,  $b$  and  $aba^{-1}$ .

Claim 3  $H$  is a normal subgroup of  $F_2$  of index 2 isomorphic to  $F_3$ .

This will be proved using the theory of covering spaces.

Example:  $S_3 = \langle \sigma, \tau \mid \sigma^2, \tau^3, \sigma\tau\sigma\tau \rangle$



$\tau$  = Counter clockwise  $2\pi/3$  radian rotation

$\sigma$  : reflection

$$\sigma = (12), \quad \tau = (123)$$

Theorem (Seifert, Van Kampen)

Let  $X$  be a topological space and  $U, V$  path connected open subsets of  $X$  so that  $U \cap V$  is a nonempty path connected subset of  $X$  with  $X = U \cup V$ . Then the homomorphism

$$\widehat{\Phi} : \pi_1(U) * \pi_1(V) \longrightarrow \pi_1(X), \text{ where}$$

$$\widehat{\Phi}(g) = \widehat{i}_{U\#}(g) \quad \text{if } g \in \pi_1(U) \text{ and}$$

$$\widehat{\Phi}(g) = \widehat{i}_{V\#}(g) \quad \text{if } g \in \pi_1(V), \text{ is surjective.}$$

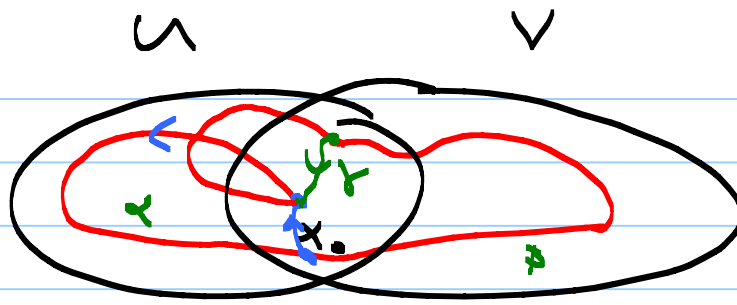
Moreover, the kernel  $\mathcal{N}$  of  $\widehat{\Phi}$  is generated by all elements of the form

$$(\widehat{i}_{U\#} \circ \widehat{j}_U(\omega)) (\widehat{i}_{V\#} \circ \widehat{j}_V(\omega^{-1})), \text{ where } \omega \in \pi_1(U \cap V).$$

Notation:  $\pi_1(X) \cong \pi_1(U) * \pi_1(V)$   
 $\pi_1(U \cup V)$

$$\begin{array}{ccc}
 & \widehat{j}_{U\#} \rightarrow \pi_1(U) & \xrightarrow{\widehat{i}_{U\#}} \\
 \pi_1(U \cap V) & & \searrow \\
 & \widehat{j}_{V\#} \rightarrow \pi_1(V) & \xrightarrow{\widehat{i}_{V\#}} \\
 & & \pi_1(U \cup V) = \pi_1(X)
 \end{array}$$

Idea:



$\alpha, \beta, \gamma$

$\alpha, \gamma \in \pi_1(U, x_0), \beta \in \pi_1(V, x_0).$

$$\pi_1(U, x_0) \xrightarrow{\hat{\tau}_U} \pi_1(X, x_0)$$

$$\tau_U: U \hookrightarrow X$$

$$\pi_1(V, x_0) \xrightarrow{\hat{\tau}_V} \pi_1(X, x_0)$$

$$\tau_V: V \hookrightarrow X$$

$$\pi_1(U, x_0) * \pi_1(V, x_0) \longrightarrow \pi_1(X, x_0)$$

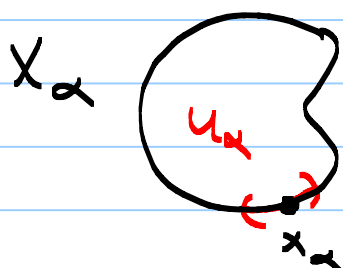
$[\mathbb{R}]$

$[\mathbb{R}]$



Examples: 1)  $X = \bigcup_{\alpha} X_{\alpha}$ , where each  $X_{\alpha}$  is

path connected and  $x_{\alpha} \in X_{\alpha}$  so that there is some open subset  $U_{\alpha} \subseteq X_{\alpha}$  which deformation retracts onto  $\{x_{\alpha}\}$ .



$$X = \bigcup_{\alpha} X_{\alpha} = \bigcup_{\alpha} X_{\alpha} / x_{\alpha} \sim x_{\beta}$$

$U = \bigcup_{\alpha} U_{\alpha}$  deformation retracts onto  $\{x_0\}$ , where  $x_0 = [x_{\alpha}]$ .



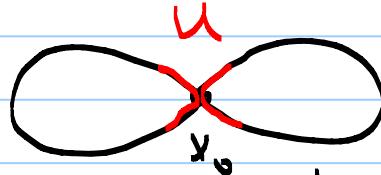
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$$\pi_1(X) \cong \ast_{\alpha} \pi_1(X_{\alpha})$$

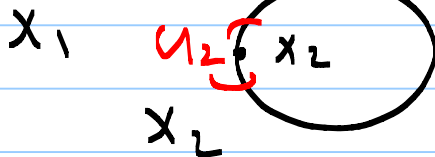
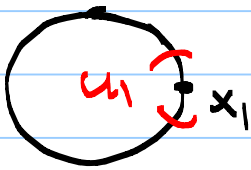
$X'_{\alpha} = X_{\alpha} \cup U$  open subset of  $X$ , which deformation retracts onto  $X_{\alpha}$ .

Example:

$$S^1 \vee S^1$$



$$X_1 = S^1 \quad S^1 = X_2$$



$$X_1' = \text{circle} \cong \text{circle} = X_1$$

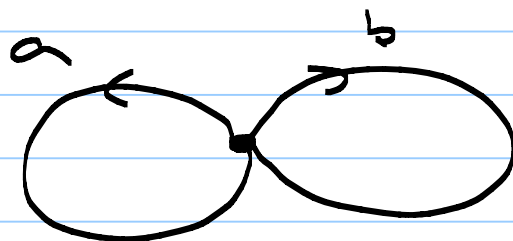
$$X_2' = \text{circle} \cong \text{circle} = X_2$$

$$\pi_1(S^1 \vee S^1) \cong \pi_1(X_1') \ast \pi_1(X_2') \cong \pi_1(S^1) \ast \pi_1(S^1)$$

$$\pi_1(X_i' \cap X_j') = \{e\} \quad \mathbb{Z} \quad \mathbb{Z}$$

$$\cong \mathbb{Z} \ast \mathbb{Z}$$

$$= F_2 = \langle a, b \mid \rightarrow$$



$$a, b, a^2b, a^{-1}ba^3, \dots$$

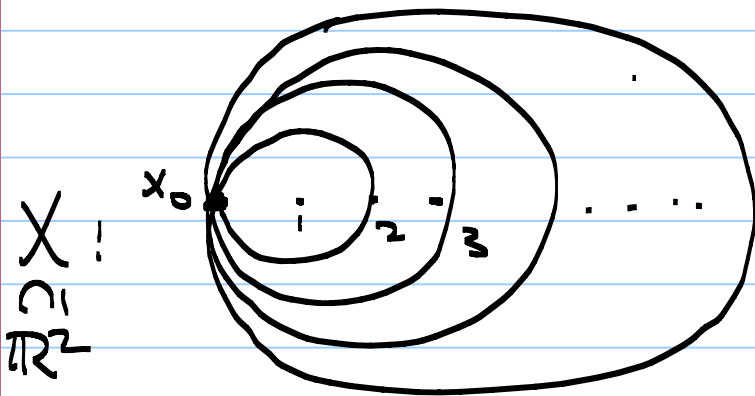
Similarly,  $\pi_1(\bigvee_n S^1) \cong \ast_n \pi_1(S^1) = \ast_n \mathbb{Z} = F_n$ .

In general if  $X = \bigvee_{\alpha \in I} S^1_{\alpha}$ , then,  $\pi_1(X)$  is

free group on the  $\Delta$ .

$$\pi_1(X) = \langle g_\alpha, \alpha \in \Delta \mid \text{---} \rangle.$$

$$\Delta = \mathbb{N} = \{1, 2, 3, \dots\}, \pi_1(\bigvee_n S_n^1) = * \mathbb{Z} = F_\infty$$



Circles of radius  $n$

$$C_n = \{ (x, y) \in \mathbb{R}^2 \mid (x-n)^2 + y^2 = n^2 \}$$

$$\begin{array}{ccc} \coprod_n S_n^1 & \xrightarrow{\varphi} & X \\ \downarrow & \searrow & \uparrow \\ \coprod_n S_n^1 / \sim = \bigvee_n S_n^1 & & \end{array}$$

$\varphi|_{S_n^1} : S_n^1 \rightarrow C_n$   
homeomorphism  
 $\varphi(x_0^n) = x_0$ , where  
 $x_0^n \in S_n^1$  is a point.

$$\pi_1(\bigvee_n S_n^1) \xrightarrow{f_{n_0 \#}} \pi_1(S_{n_0}^1)$$

$$f_{n_0} : \bigvee_n S_n^1 \rightarrow S_{n_0}^1, \quad \tau_{n_0} : S_{n_0}^1 \rightarrow \bigvee_n S_n^1$$

$$f_{n_0} \circ \tau_{n_0} : S_{n_0}^1 \rightarrow S_{n_0}^1, \quad f_{n_0} \circ \tau_{n_0} = \text{id}_{S_{n_0}^1}$$

$$\begin{array}{ccc} \pi_1(S_{n_0}^1) & \xrightarrow{\tau_{n_0 \#}} & \pi_1(\bigvee_n S_n^1) \xrightarrow{f_{n_0 \#}} \pi_1(S_{n_0}^1) \\ \cong & & \cong \\ \mathbb{Z} & \xrightarrow{\text{id}} & \mathbb{Z} \end{array}$$

$f_n \circ \tau_m : S^1 \rightarrow S^1$  is constant,  $\forall m \neq n$ .

The  $(f_n \circ \tau_m)_\# : \mathbb{Z} \rightarrow \mathbb{Z}$  is the trivial homomorphism if  $m \neq n$ .

$$\begin{array}{ccccc} \pi_1(S^1) & \xrightarrow{\tau_{m\#}} & \pi_1(\bigvee_n S^1) & \xrightarrow{f_{n\#}} & \pi_1(S^1) \\ \parallel & & & & \parallel \\ \mathbb{Z} & & & & \mathbb{Z} \end{array}$$

If  $m_1, m_2, \dots, m_k$  are different integers then

$$\pi_1\left(\bigvee_{i=1}^k S^1_{m_i}\right) \xrightarrow{\hat{\tau}_\#} \pi_1\left(\bigvee_n S^1\right) \xrightarrow{P} \pi_1\left(\bigvee_{i=1}^k S^1_{m_i}\right)$$

$\hat{\tau}$  is the inclusion map and  $P$  is the map which is identity on each  $S^1_{m_i}$  and contraction on all other  $S^1_n$ 's.

Since  $P \circ \hat{\tau} : S^1_{m_1} \vee \dots \vee S^1_{m_k} \rightarrow S^1_{m_1} \vee \dots \vee S^1_{m_k}$  is the identity map and thus the homomorphism

$$(P \circ \hat{\tau})_\# : \pi_1\left(\bigvee_{i=1}^k S^1_{m_i}\right) \rightarrow \pi_1\left(\bigvee_{i=1}^k S^1_{m_i}\right)$$

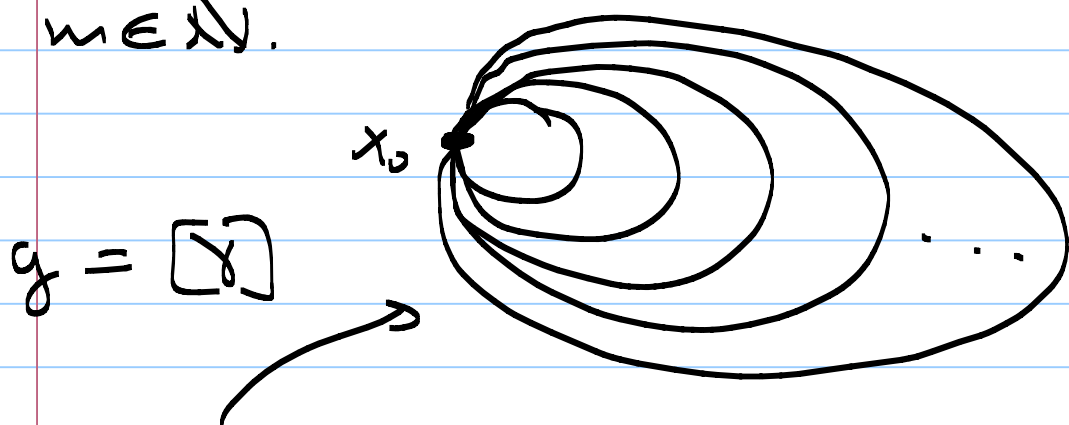
is identity. Hence  $F_k \cong \hat{\tau}_\#(\pi_1(\bigvee_{i=1}^k S^1_{m_i}))$

is a subgroup of  $\pi_1(\bigvee_n S^1_n)$  ( $= F_\infty$ )

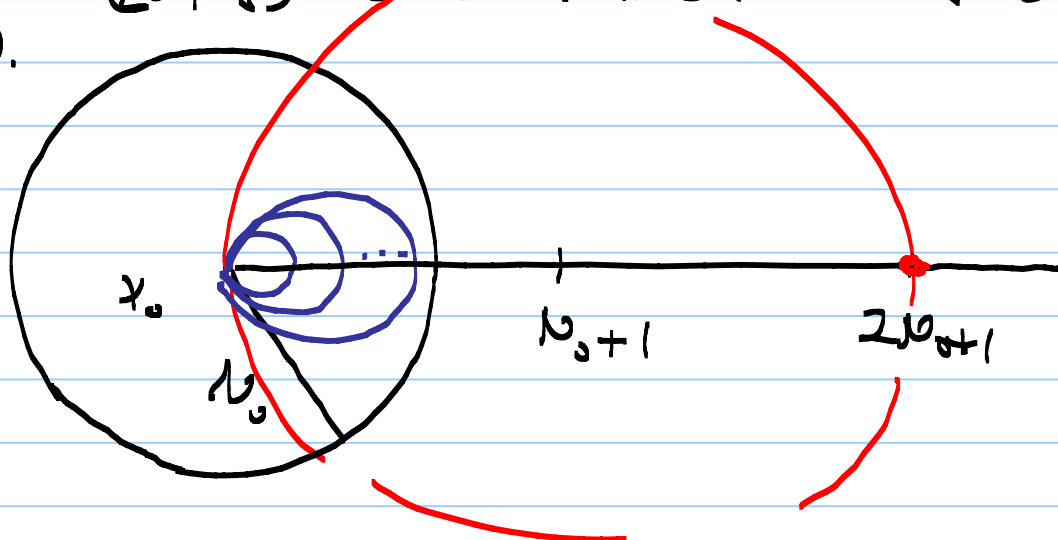
Claim: Any element  $g \in \pi_1(\bigvee_n S'_n)$  maps to only finitely many non-trivial elements under projection:

$$f_{m\#}: \pi_1(\bigvee_n S'_n) \longrightarrow \pi_1(S'_m) \cong \mathbb{Z}$$

$f_{m\#}(g) = 0$  for all but finitely many  $m \in \mathbb{N}$ .



Since  $\gamma: [0,1] \rightarrow \bigvee_n S'_n \subseteq \mathbb{R}^2$  is continuous and  $[0,1]$  is compact  $\gamma([0,1])$  is contained in a ball  $B(0, N_0)$ .



$(\gamma[0,1])$  does not contain  $2n+1$  if  $n \geq N_0$ .

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$[0,1] \xrightarrow{\gamma} \bigvee_n S'_n \rightarrow S'_m$  is not onto if  $m \geq n_0$  and thus on the  $\pi_1$ -level

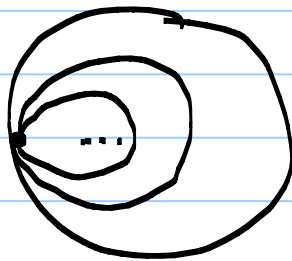
$$\begin{array}{ccc} \pi_1(\bigvee_n S'_n) & \longrightarrow & \pi_1(S'_m) \\ \downarrow & & \downarrow \\ [g] & \longmapsto & 0 \in \mathbb{Z} \end{array}$$

Hence,  $g$  is a word in  $\alpha_1^{\pm}, \dots, \alpha_{n_0}^{\pm}$ .

$$g = \alpha_1^3 \alpha_2^{-5} \alpha_4^2 \alpha_5^7 \dots \alpha_{n_0}^{-4}$$

Conclusion:  $\pi_1(\bigvee_n S'_n)$  is free group on  $\mathbb{N}$ .

Example:  $Y = \left\{ (x,y) \in \mathbb{R}^2 \mid \underbrace{\left( x - \frac{1}{n^2} \right)^2 + y^2 = \frac{1}{n^4}}_{C_n}, n \in \mathbb{N} \right\}$



$$\sum_{n=1}^{\infty} \frac{2\pi}{n^2} = \frac{\pi^2}{6} \cdot 2\pi = \frac{\pi^3}{3}$$

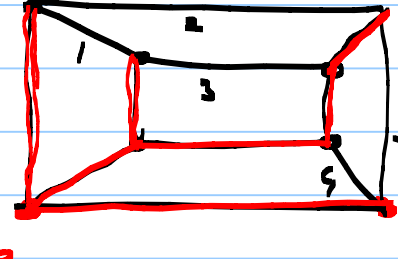
$\pi_1(Y)$  is uncountable!

To show that  $\pi_1(Y)$  is uncountable we find an injective map from  $[0,1]$  to  $\pi_1(Y)$ :

$$x \in [0,1], \quad x = 0.\underset{1.}{3}\underset{2.}{5}\underset{3.}{0}821690032 = 0.a_1 a_2 \dots$$

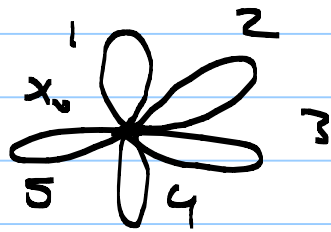


Example:  $A \subseteq X$  is contractible (to the point  $x_0$ )



$X$  1-dim CW-complex  
 $A \subseteq X$  subcomplex

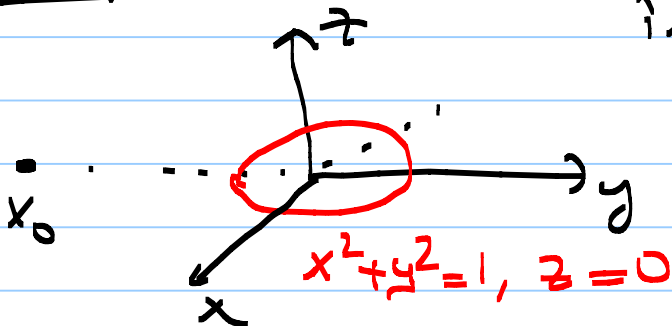
Since  $A$  is contractible  $X$  is homotopy equivalent to  $X/A$ .



Hence,  $X/A$  is homeomorphic to  $\bigvee_5 S^1$ .

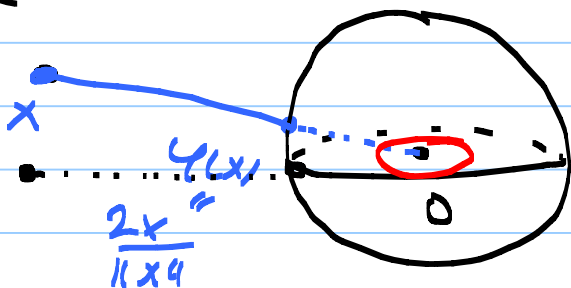
$$\pi_1(X) \cong \pi_1(X/A) \cong \pi_1\left(\bigvee_5 S^1\right) \cong F_5$$

Example:  $\mathbb{R}^3 \setminus S^1$ ,  $S^1 \subseteq \mathbb{R}^3$  the unit circle in  $\mathbb{R}^2 \times \{0\}$ .



$$\pi_1(\mathbb{R}^3 \setminus S^1) = ?$$

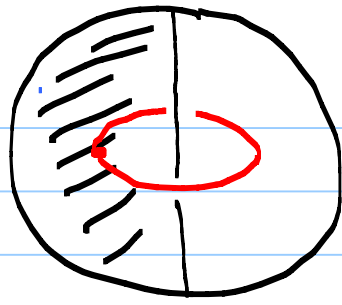
$(\mathbb{R}^3 \setminus S^1)$  deformation retracts onto  $D^3 \setminus S^1$ .



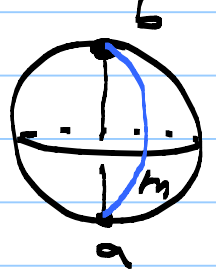
$$D^3 = B[0, 2]$$

$$\pi_1(\mathbb{R}^3 \setminus S^1) \cong \pi_1(D^3 \setminus S^1)$$

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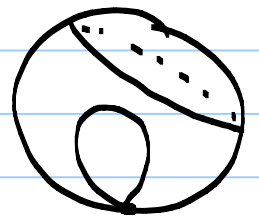
$\cong$



Hence,  $D^3 \setminus S^1$  deformation retracts onto  $S^2 \cup [a, b]$

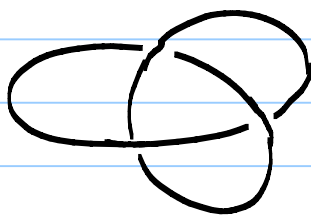
$$\pi_1(D^3 \setminus S^1) \cong \pi_1(S^2 \cup [a, b])$$

$$S^2 \cup [a, b] / \sim = S^2 \vee S^1$$



$$\pi_1(S^2 \vee S^1) = \pi_1(S^2) * \pi_1(S^1) \cong \mathbb{Z}$$

Remark:  $K \subseteq S^3$  knot (embedded circle)



$\pi_1(S^3 \setminus K)$  knot group

Proposition: Let  $(X, x_0)$  be a based topological space and  $\gamma: [0, 1] \rightarrow X$  be a loop representing the element  $[\gamma]$  in  $\pi_1(X, x_0)$ . Let  $Y$  be the attaching space defined by

$$Y \equiv X \cup D^2 / \gamma(t) \sim (\cos 2\pi t, \sin 2\pi t), t \in [0, 1]$$

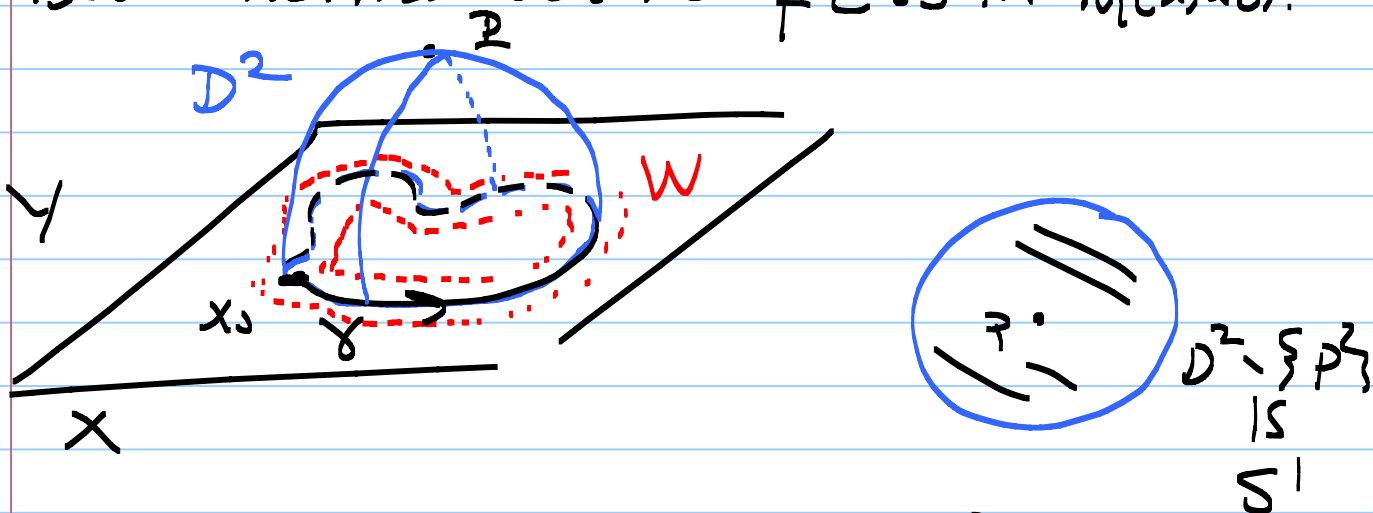
where  $(\cos 2\pi t, \sin 2\pi t)$  parametrizes the



boundary  $S^1 = \partial D^2$ . Then  $\pi_1(Y, x_0)$  is isomorphic to

$$\pi_1(Y, x_0) = \frac{\pi_1(X, x_0)}{N_{[\gamma]}}$$
, where  $N_{[\gamma]} \triangleq \pi_1(X, x_0)$

is the normal closure of  $[\gamma]$  in  $\pi_1(X, x_0)$ .



Proof:  $Y = U \cup V$ ,  $U = Y \setminus \{p\}$  and

$V$  is an open neighborhood of  $D^2$  in  $Y$ .

$V = D^2 \cup W$ . We assume  $W$  deformation retracts onto  $\gamma$ . Hence,  $V$  deformation retracts to the point  $\{p\}$ , so it is contractible.

Note that  $U$  deformation retracts onto  $X$ .

Moreover,  $U \cap V = V \setminus \{p\}$  and it deformation retracts onto  $W$  which deformation retracts onto  $\gamma$ .

Now apply Zariski-van Kampen's Theorem to this setting

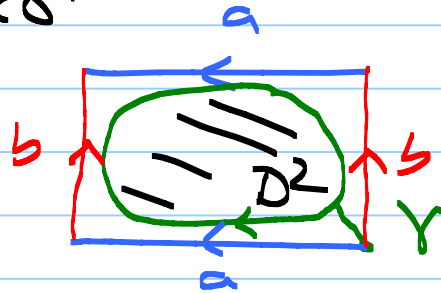
$$\begin{array}{ccccc} & & \pi_1(U) = \pi_1(X) & & \\ & \nearrow & & \searrow & \\ \pi_1(U \cap V) & & & & \pi_1(U \cup V) = \pi_1(Y) \\ \cong & \searrow & & \nearrow & \\ \pi_1(\gamma) & & & & \cong \end{array}$$

$$\text{So } \pi_1(Y) = \frac{\pi_1(X) * \pi_1(V)}{N_{[Y]}} \\ = \pi_1(X) / N_{[Y]} \quad (N_{[Y]} = \bigcap_{N \triangleleft G} N \text{ for } [Y] \in N)$$

$$\pi_1(X) = \langle g_\alpha \mid r_i \rangle$$

$$\pi_1(Y) = \langle g_\alpha \mid r_i, [Y] \rangle$$

Examples 1)  $T^2 = S^1 \times S^1$



$$X: \text{torus} \cong S^1 \vee S^1, \pi_1(X, p) = \langle a, b \mid \rightarrow$$

$$Y = X \cup D^2, \pi_1(Y) = \langle a, b \mid [Y] \rangle$$

$$aba^{-1}b^{-1} = e$$

$$ab = ba$$

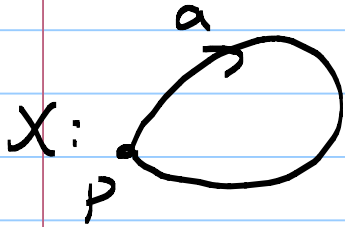
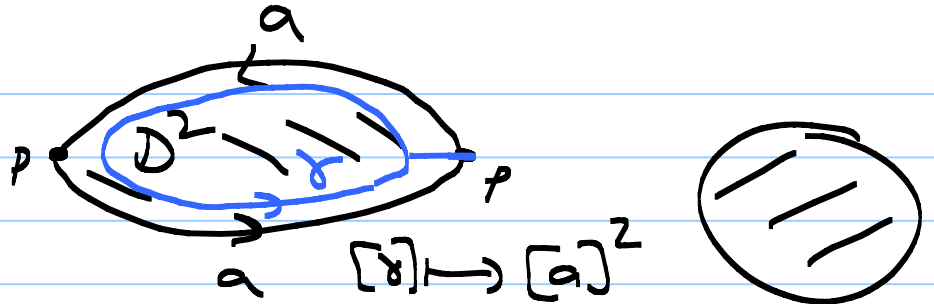
$$= \langle a, b \mid aba^{-1}b^{-1} \rangle$$

= the free abelian group of rank two

$$= \mathbb{Z} \oplus \mathbb{Z}$$

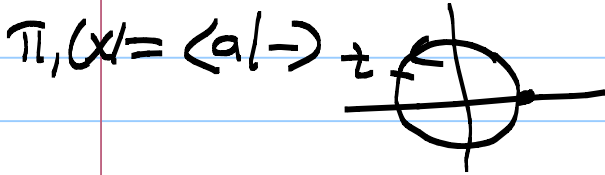
$$\parallel \quad \parallel \\ \langle a \rangle \quad \langle b \rangle$$

5)  $\mathbb{R}P^2$



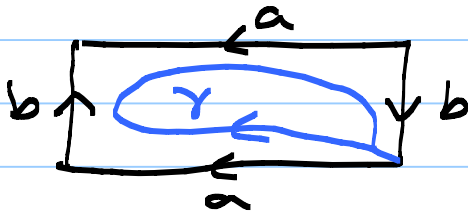
$$\partial D^2 = S^1 \xrightarrow{\partial D^2} S^1, z \mapsto z^2$$

$a \cup \{p\}$



$$\pi, (\mathbb{R}P^2) = \pi, (X \cup D^2) = \langle a | a^2 \rangle \cong \mathbb{Z}_2.$$

6) KB: Klein Bottle

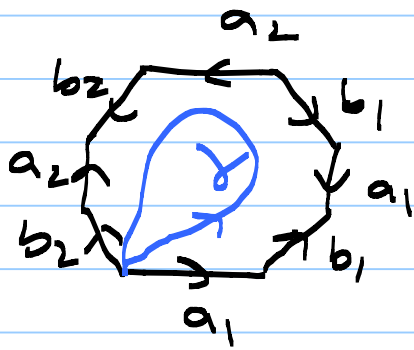


$$\pi, (KB) = \langle a, b | aba^{-1}b \rangle$$

$$= \langle a, b | aba^{-1} = b^{-1} \rangle$$

$\gamma \mapsto aba^{-1}b$

7)  $T^2 \# T^2$



$\gamma \mapsto a_1 b_1 a_1^{-1} b_1^{-1} a_2 b_2 a_2^{-1} b_2^{-1}$

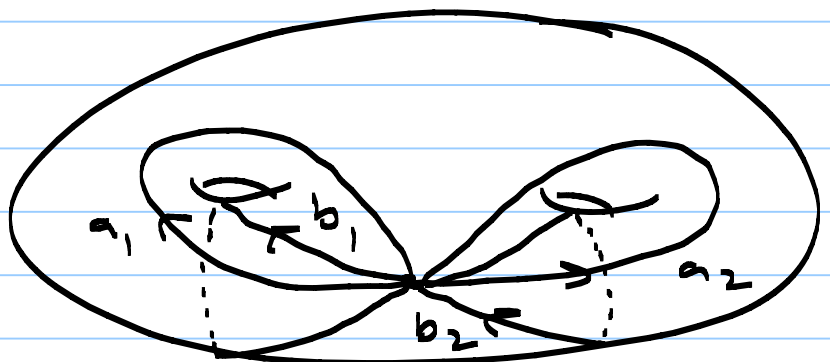
"

$$[a_1, b_1] [a_2, b_2]$$

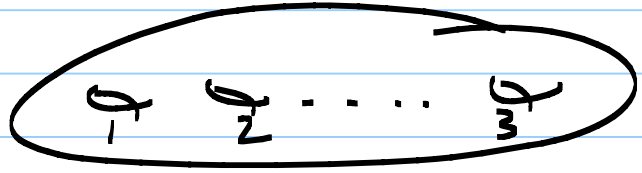
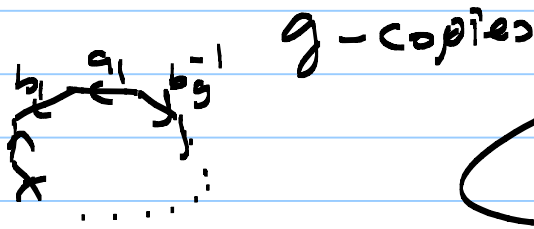
$$\pi, (T^2 \# T^2) = \langle a_1, b_1, a_2, b_2 | [a_1, b_1] [a_2, b_2] \rangle$$

"

$$\mathbb{Z}_2$$



8) Exercise.  $T^2 * T^2 * \dots * T^2 = \Sigma_g$



$$a_1 b_1 a_1^{-1} b_1^{-1} \dots a_g b_g a_g^{-1} b_g^{-1}$$

$$9) \pi_1(\mathbb{R}P^2 \vee \mathbb{R}P^2) = \pi_1(\mathbb{R}P^2) * \pi_1(\mathbb{R}P^2) = \mathbb{Z}_2 * \mathbb{Z}_2$$

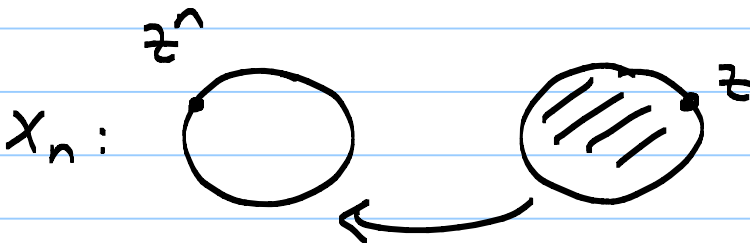
$$10) X_n = e_0 \vee e_1 \vee e_2 \quad S^1 = \partial D^2 \longrightarrow S^1 = e_0 \vee e^1$$

$$z \longmapsto z^n$$

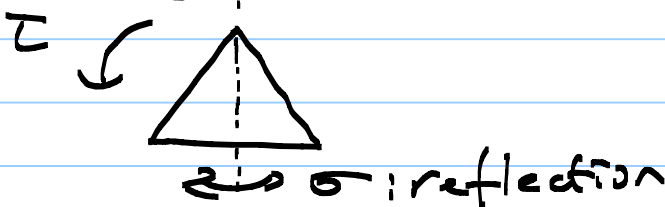
$$\pi_1(X_n) = \langle a \mid a^n \rangle \cong \mathbb{Z} / n\mathbb{Z} \cong \mathbb{Z}_n$$

$$\pi_1(X_2 \vee X_3) = \pi_1(X_2) * \pi_1(X_3) \cong \mathbb{Z}_2 * \mathbb{Z}_3$$

$$\cong \text{PSL}(2, \mathbb{Z})$$

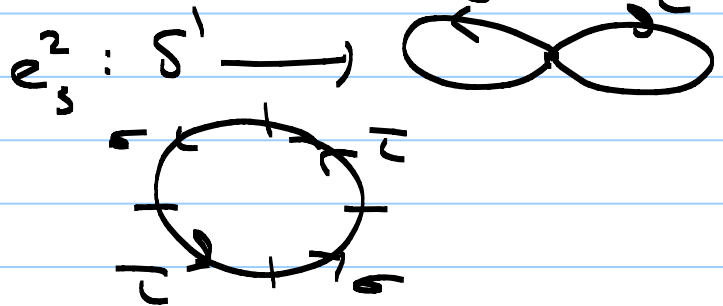
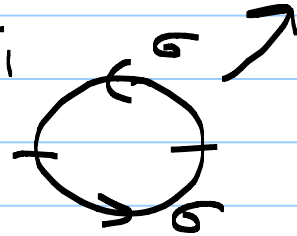
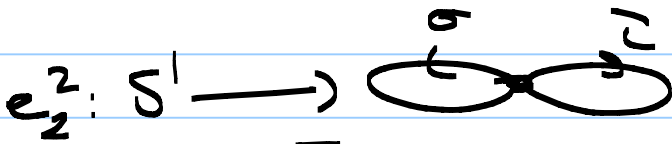
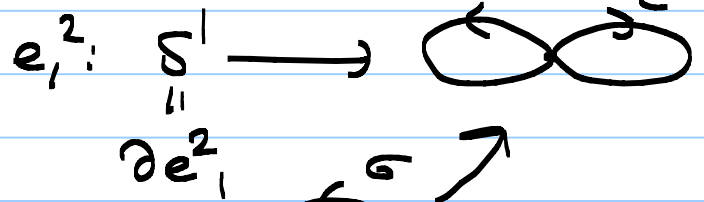
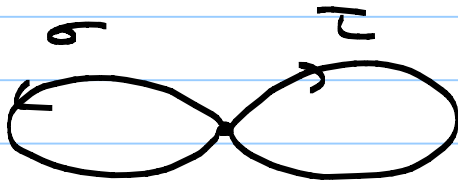


$$11) S_3 = \langle \sigma, \tau \mid \sigma^2, \tau^3, \underline{\sigma \tau \sigma = \tau^{-1}} \rangle$$



$$\tau: \frac{2\pi}{3} \text{ radian rotation}$$

$$X = e^0 \vee e^1 \vee e_2^1 \vee e_1^2 \vee e_2^2 \vee e_3^2$$



Exercise: Find a space  $X$  so that  $\pi_1(X) = (\mathbb{Q}, +)$ .

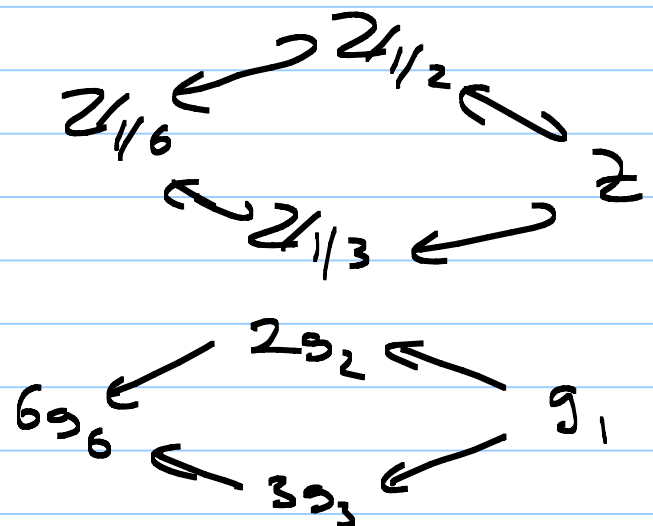
$$\langle 1 \rangle = \mathbb{Z} \rightsquigarrow g_1 = 1 \in \mathbb{Q}$$

$$\langle 1/2 \rangle = \mathbb{Z}_{1/2} = \langle \dots, -1/2, 0, 1/2, 1, 3/2, \dots \rangle \rightsquigarrow g_2 = 1/2$$

$$\langle 1/n \rangle = \mathbb{Z}_{1/n} = \langle \dots, -1/n, 0, 1/n, 2/n, 3/n, \dots \rangle \rightsquigarrow g_n = 1/n$$

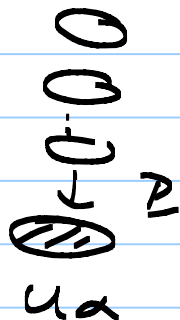
$$g_1 = g_2^2, \quad g_1 g_2 = g_2 g_1, \quad \dots$$

$$\mathbb{Q} = \lim_{n \rightarrow \infty} \mathbb{Z}_{1/n}$$

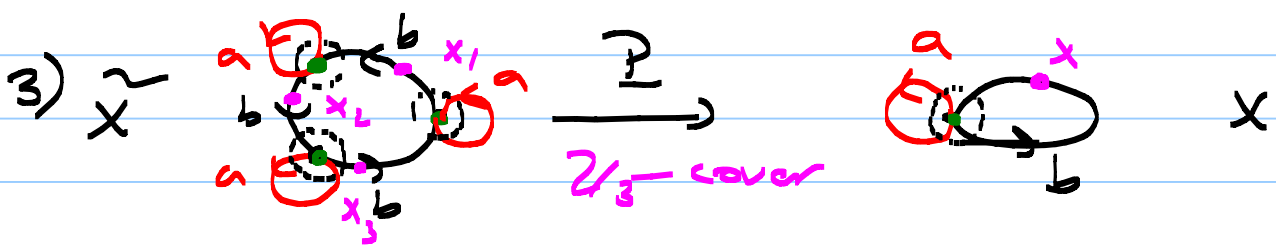
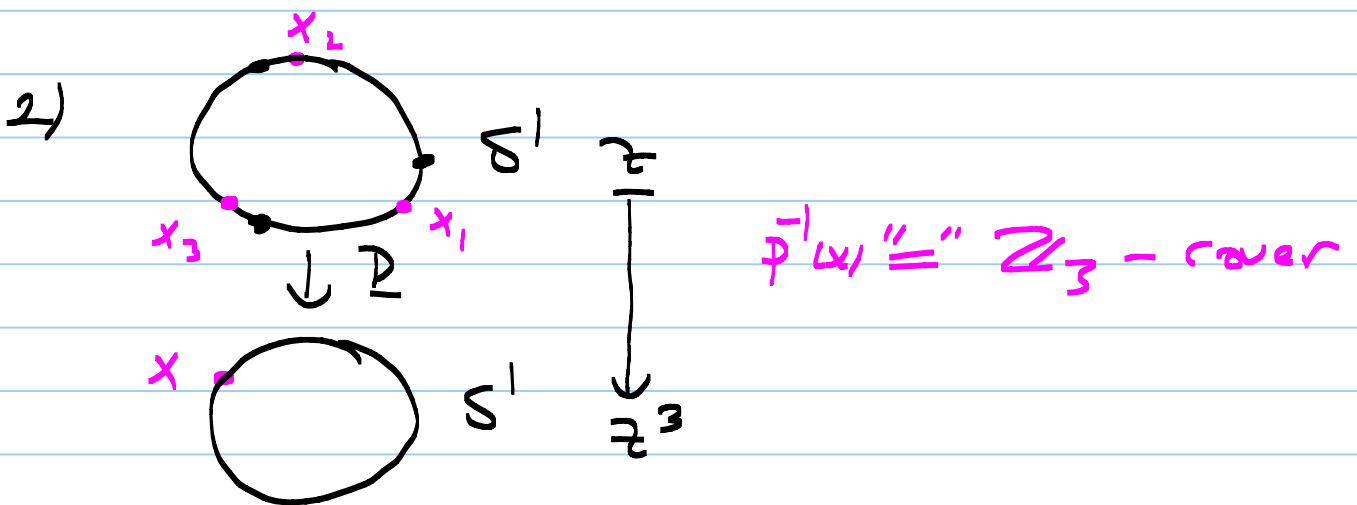
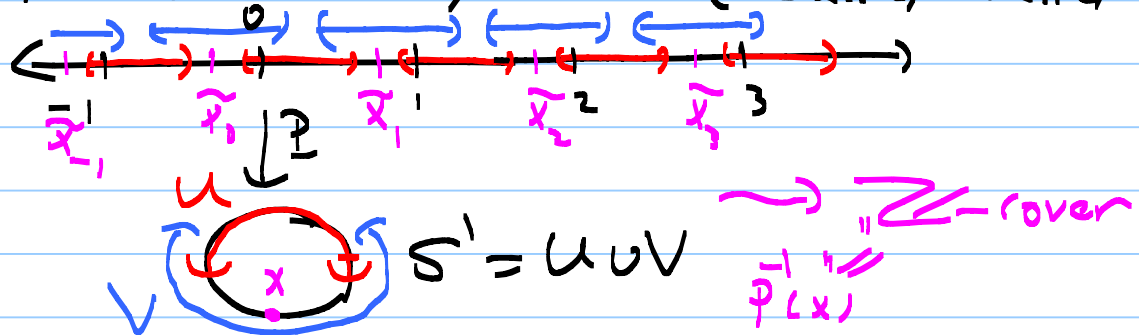


# Covering Spaces:

Definition: A covering space of a space  $X$  is a map  $P: \tilde{X} \rightarrow X$ , where  $\tilde{X}$  is another space so that there is an open cover  $\{U_\alpha\}$  of  $X$ , where each  $P^{-1}(U_\alpha)$  is a disjoint union of open subsets of  $\tilde{X}$  each of which is mapped homeomorphically onto  $U_\alpha$  via  $P$ .



Example 1)  $P: \mathbb{R} \rightarrow S^1, P(t) = (\cos 2\pi t, \sin 2\pi t)$

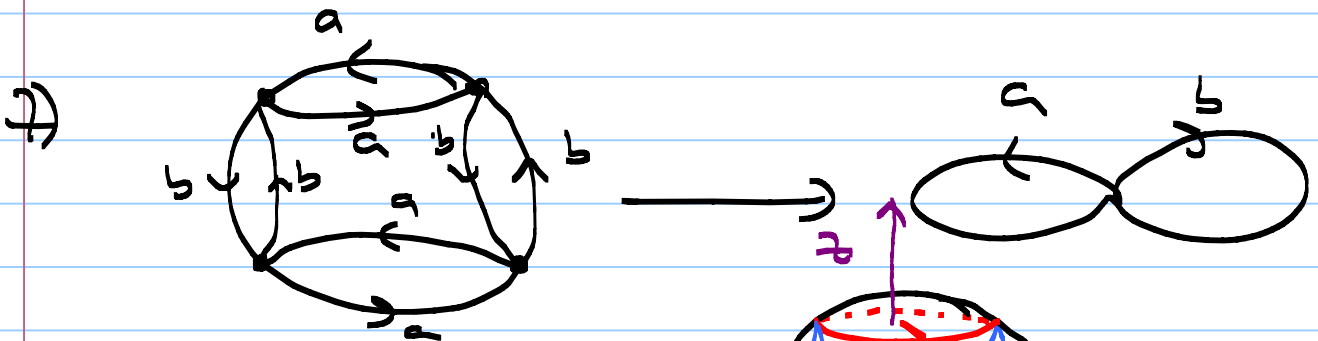
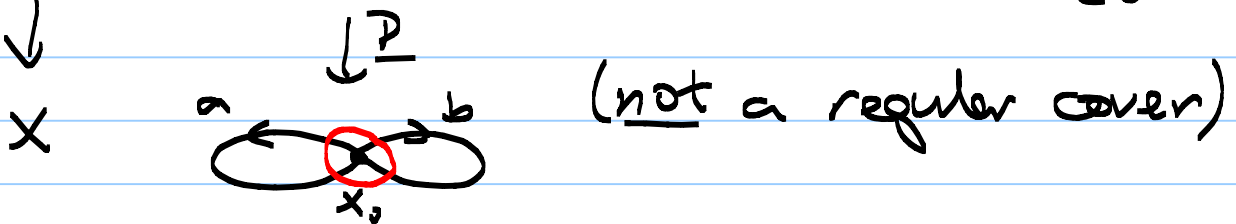
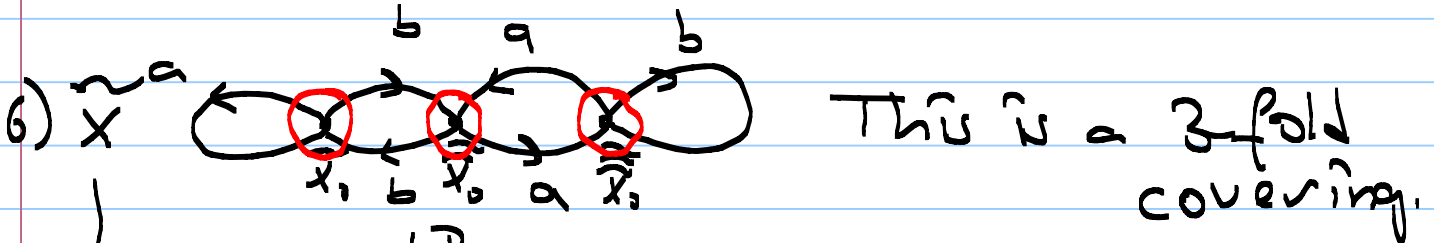
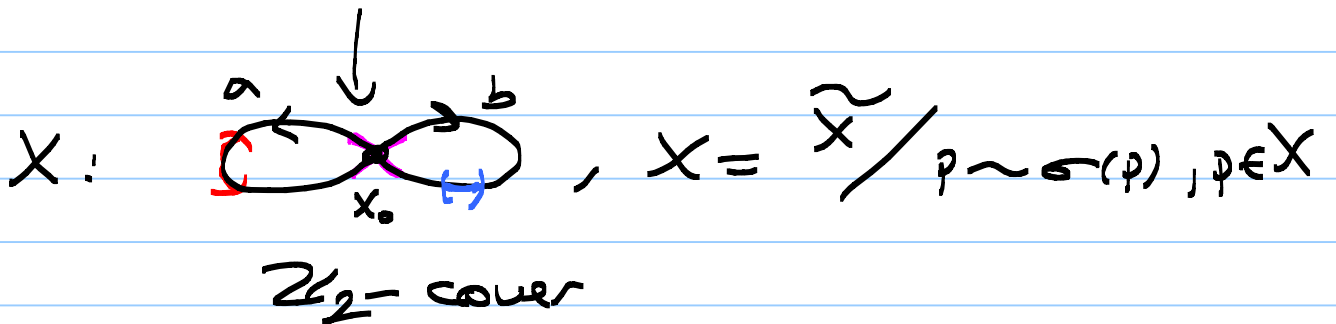
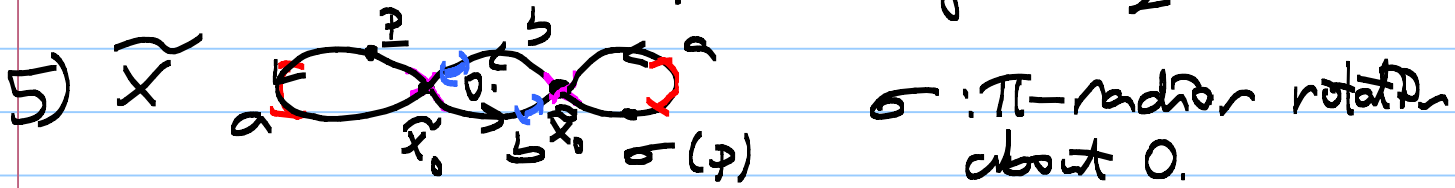


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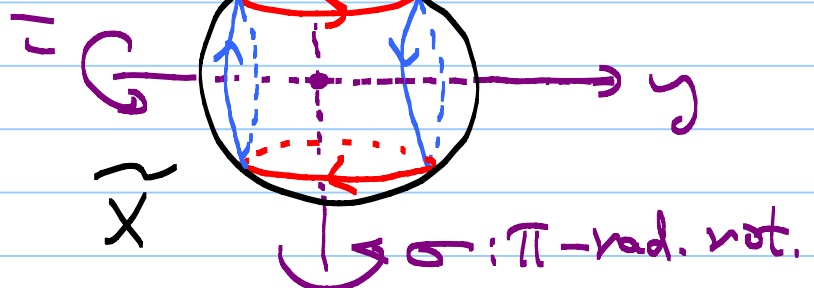
4)  $P: S^n \rightarrow \mathbb{R}P^n = S^n / p \sim -p, p \in S^n$   
 $p = (x_1, \dots, x_{n+1}) \in S^n$

$P$  is 2-1 map.  $P(p) = [p] = [x_1: \dots: x_{n+1}]$ .

Double cover or equivalently a  $\mathbb{Z}_2$ -cover.

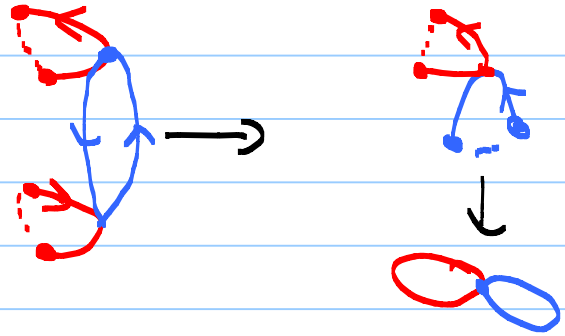


$\mathbb{Z}_2 \times \mathbb{Z}_2$ -cover  
 $\langle \sigma \rangle \langle \tau \rangle$   
 $\sigma \circ \tau = \tau \circ \sigma$

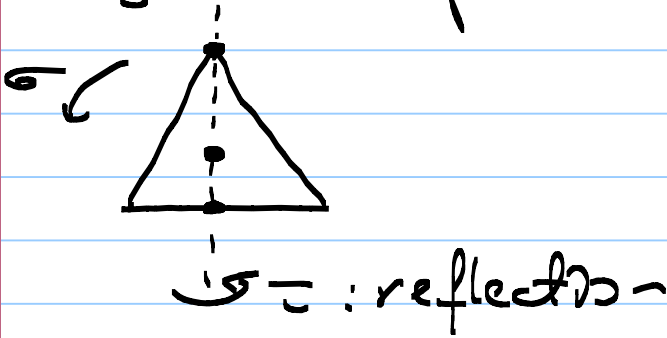


$\sigma(x, y, z) = (-x, -y, z)$  and  $\tau(x, y, z) = (x, y, -z)$   
 for all  $(x, y, z) \in S^2$ .

$\tilde{X} / \mathbb{Z}_2 \times \mathbb{Z}_2 = ?$

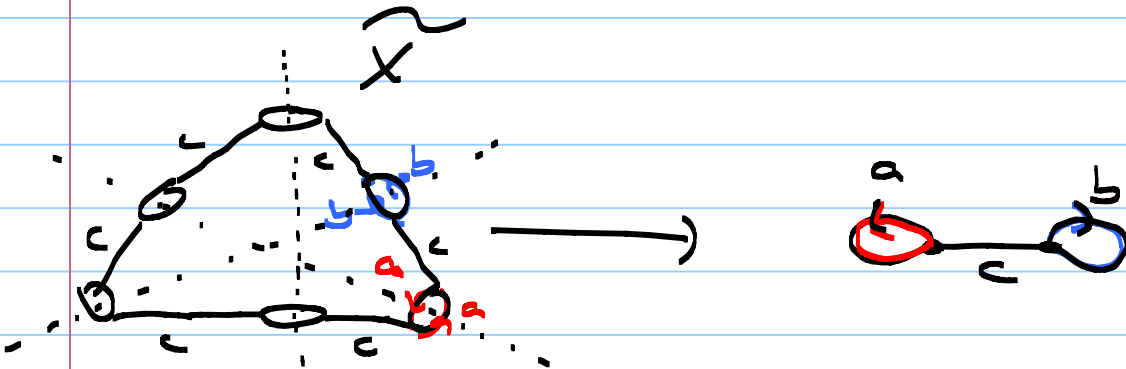


2)  $S_3$ -covering



$S_3 = \langle \sigma, \tau \mid \sigma^3, \tau^2, \tau\sigma\tau\sigma \rangle$

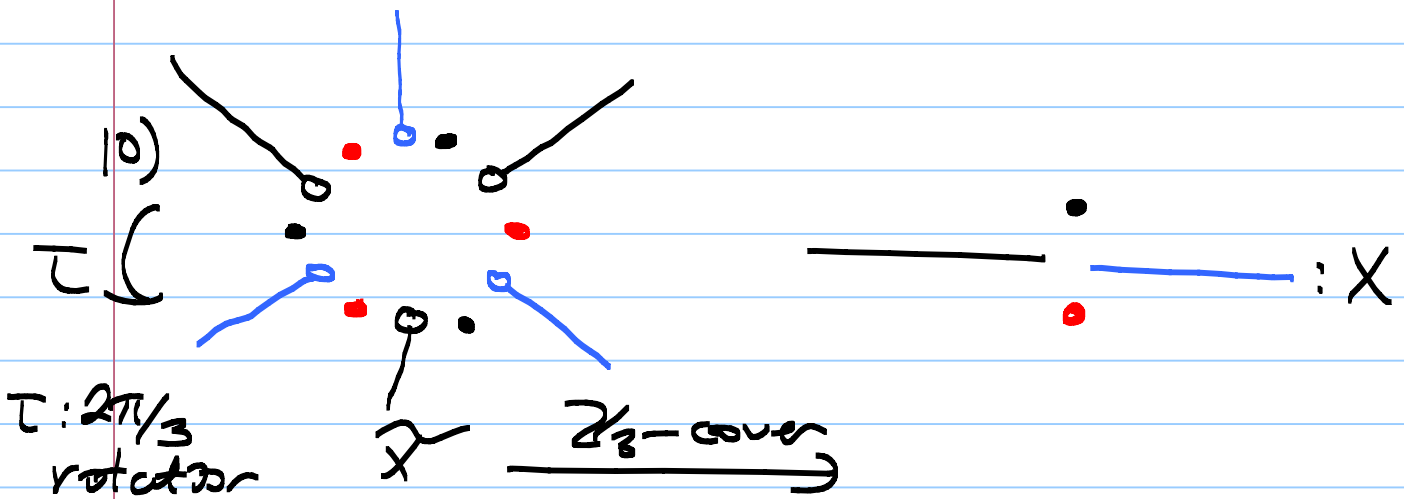
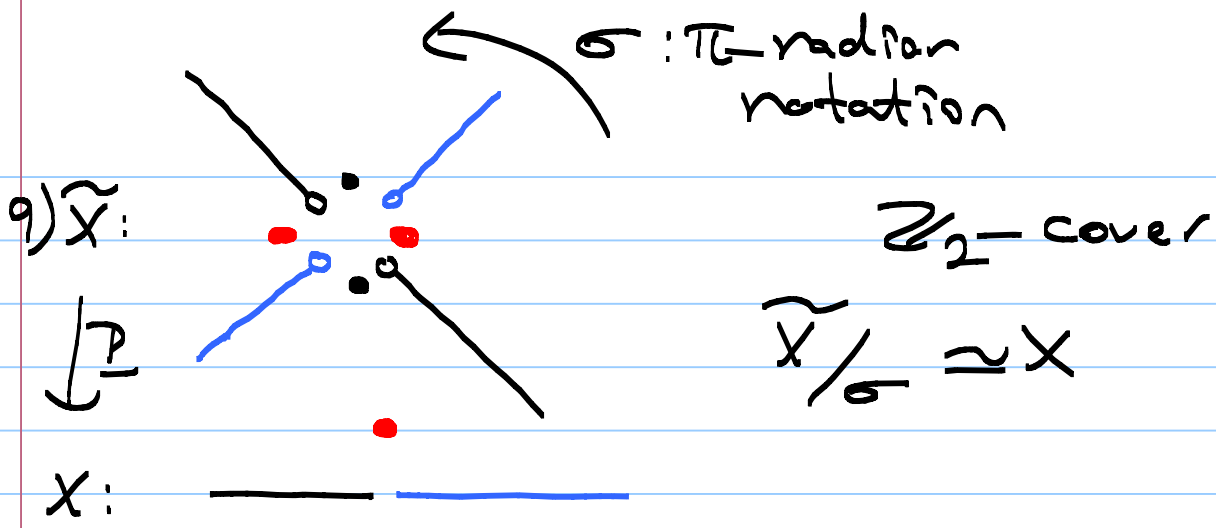
$\sigma: 2\pi/3$  rad. rotation



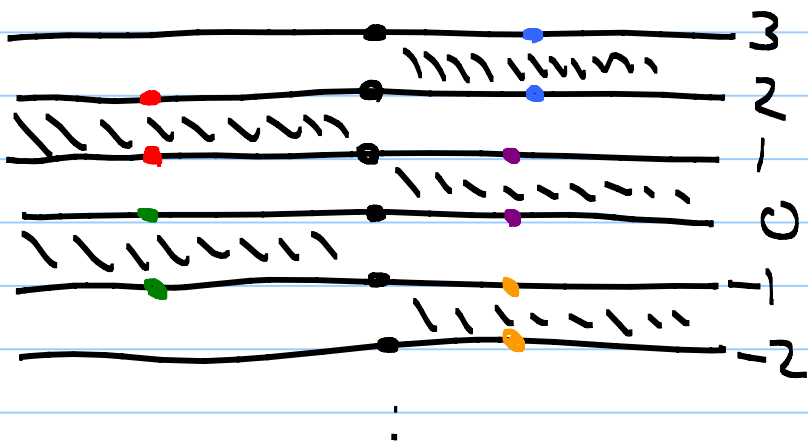
$F_2 \triangleleft F_2$  (normal subgroup)

$\mathcal{G}$  index 6 with  $F_2 / F_7 \cong S_3$ .





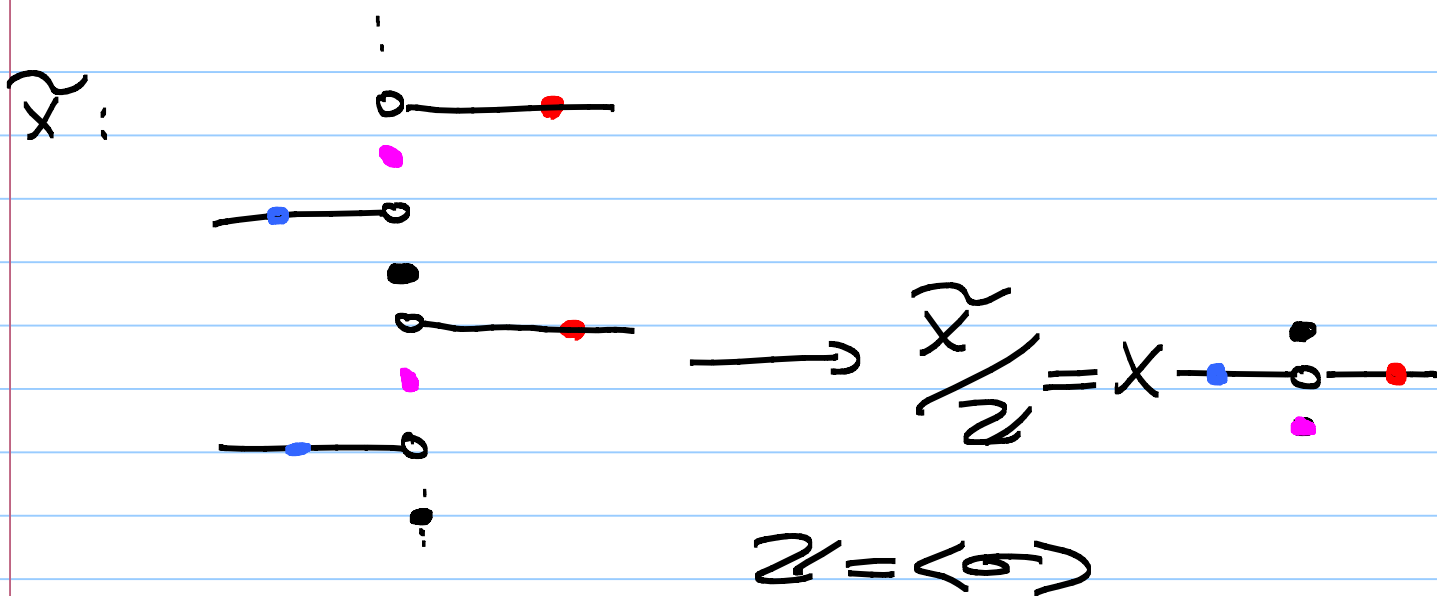
11)  $\tilde{X} = \mathbb{R} \times \mathbb{Z} / \sim$



$(x, n) \sim (x, n+1)$  if and only if either

$(x > 0 \text{ and } n \text{ is even})$  or  $(x < 0 \text{ and } n \text{ is odd})$ .

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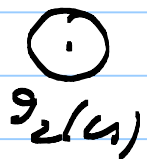


Regular  $Z$ -covering.

Definition: A group  $G$  is said to act properly discontinuous on a space  $X$  if for every  $g \in G$  and  $x \in X$  there is an open set  $x \in U \subseteq X$  so that

$$g_1(U) \cap g_2(U) = \emptyset \text{ whenever } g_1 \neq g_2.$$

$(G \subseteq \text{Homeo}(X))$



Proposition: If a group  $G \subseteq \text{Homeo}(X)$  acts freely and properly discontinuous on  $X$  then the quotient map

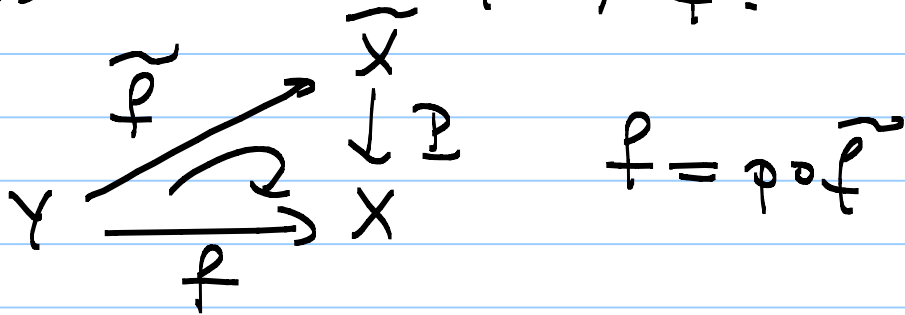
$$p: X \longrightarrow X/G, \quad x \sim gx, \quad g \in G, \quad x \in X$$

is a covering space.

Such covering spaces are called regular.

## Lifting Properties:

Let  $p: \tilde{X} \rightarrow X$  be a covering space and  $f: Y \rightarrow X$  is any map. A lifting of  $f: Y \rightarrow X$  is a map  $\tilde{f}: Y \rightarrow \tilde{X}$  so that  $f = p \circ \tilde{f}$ :



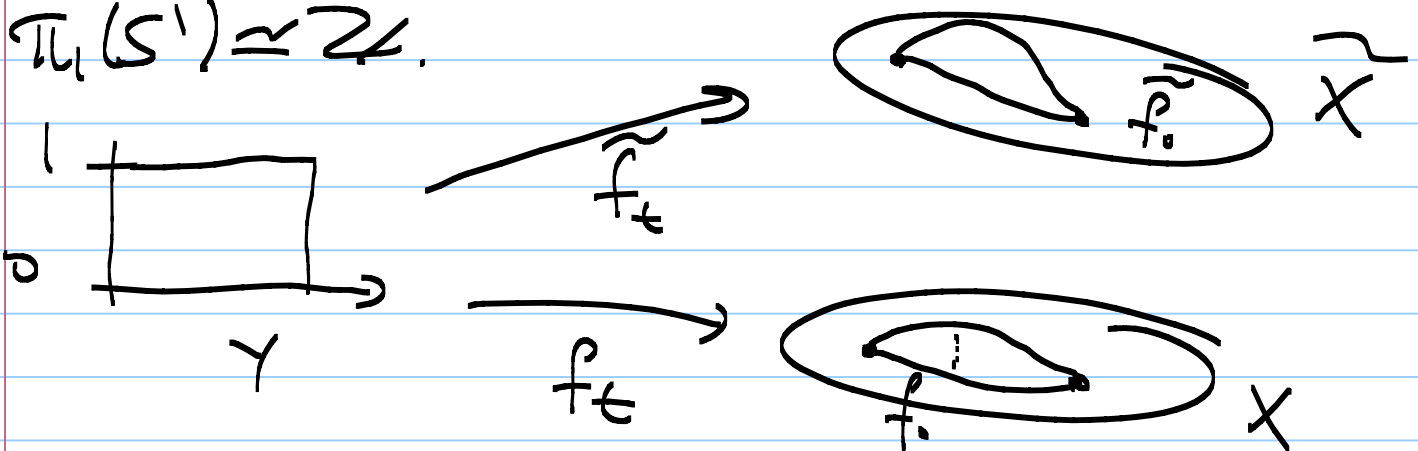
## Proposition: (Homotopy lifting)

Given a covering space  $p: \tilde{X} \rightarrow X$ , a homotopy  $f_t: Y \rightarrow X$  and a map  $f_0: Y \rightarrow \tilde{X}$  lifting  $f_0: Y \rightarrow X$ ,

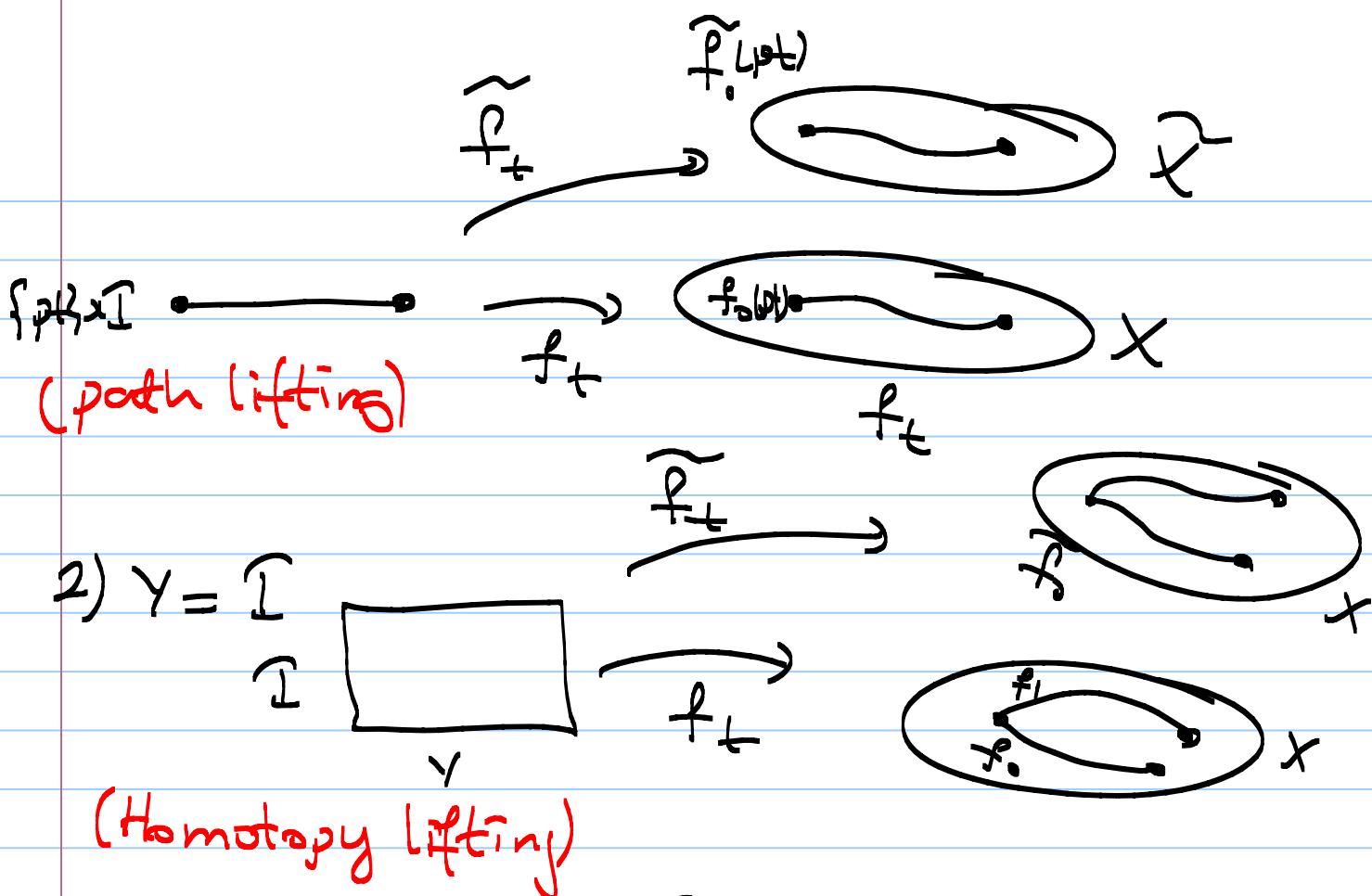
then there is a unique homotopy  $\tilde{f}_t: Y \rightarrow \tilde{X}$  of  $\tilde{f}_0$  that lifts  $f_t$ .

Proof This is Part (c) of the proof that

$$\pi_1(S^1) \cong \mathbb{Z}.$$



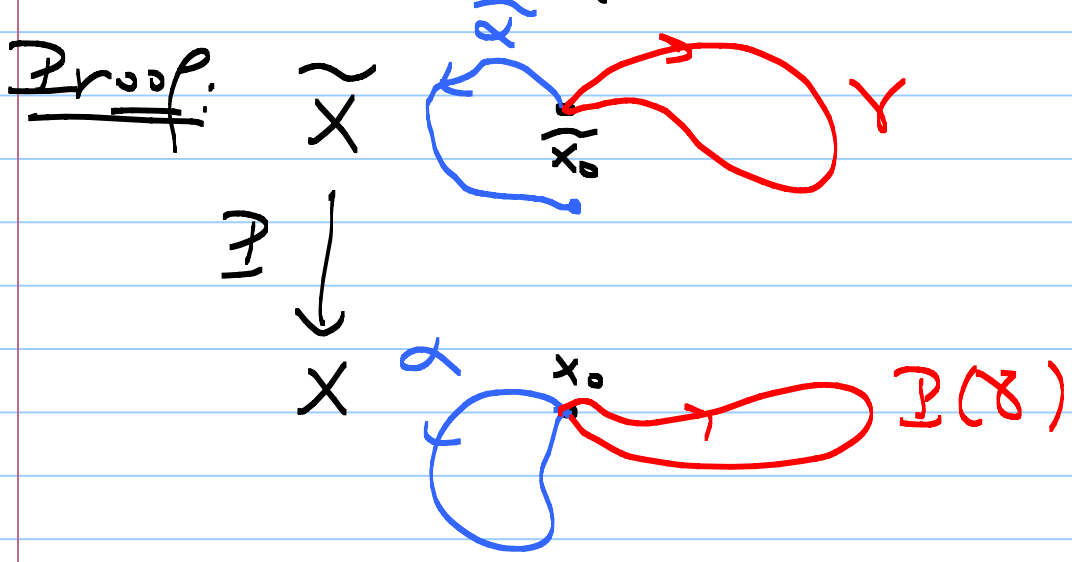
Special Cases: 1)  $Y = \{pt\}$ ,  $Y \times I = I$



Proposition: Let  $P: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  be a covering space. Then the homomorphism

$$P_{\#}: \pi_1(\tilde{X}, \tilde{x}_0) \longrightarrow \pi_1(X, x_0) \text{ induced by } P,$$

is injective. The image subgroup  $P_{\#}(\pi_1(\tilde{X}, \tilde{x}_0))$  consists of loops in  $X$  based at  $x_0$ , whose lifts to  $\tilde{X}$  starting at  $\tilde{x}_0$  are loops.



Proof: Let  $f_0: \mathbb{I} \rightarrow \tilde{X}$  be a loop based at  $\tilde{x}_0$ ,

which represents a class in the kernel of the homomorphism  $P_{\#} : \pi_1(\tilde{X}, x_0) \rightarrow \pi_1(X, x_0)$ .

To show that  $P_{\#}$  is injective we must show  $f_0$  is homotopic to the constant path at  $\tilde{x}_0$ .

The  $\tilde{f}_0$  is the unique lifting of  $f_0 = p \circ \tilde{f}_0 : I \rightarrow X$ , a loop in  $X$  based at  $x_0$ . By assumption,  $f_0$  is homotopic to a constant. Hence, there is a homotopy  $f_t$  joining  $f_0$  to the constant loop at  $x_0$ .

By the previous proposition there is a unique homotopy  $\tilde{f}_t$  of  $\tilde{f}_0$  to some  $\tilde{f}_1$  so that

$$p \circ \tilde{f}_t = f_t \quad \text{for all } t.$$

In particular,  $p \circ \tilde{f}_1(s) = f_1(s) = x_0$ , for all  $s \in [0, 1]$ . Hence,  $\tilde{f}_1(s) \in p^{-1}(x_0)$ , for all  $s \in [0, 1]$ . Since  $p^{-1}(x_0)$  is a discrete set and  $\tilde{f}_1(0) = \tilde{x}_0$  we see that  $\tilde{f}_1(s) = \tilde{x}_0$ , for all  $s \in [0, 1]$ .

In particular,  $\tilde{f}_1$  is a constant loop homotopic to  $\tilde{f}_0$ . This shows that  $P_{\#}$  is an injective group homomorphism.

The proof of the second statement is left as an exercise. •

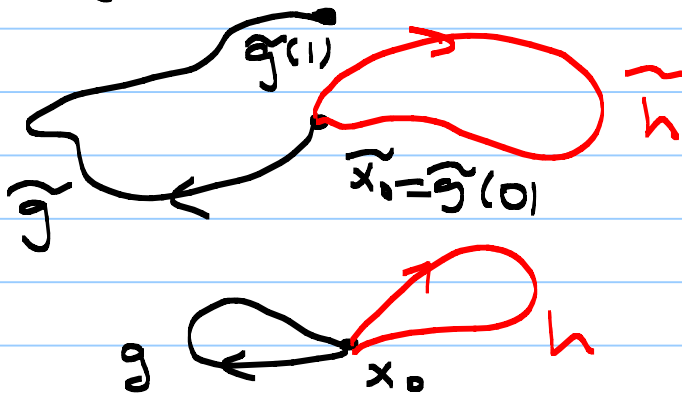
If  $P : \tilde{X} \rightarrow X$  is a covering, then for any  $x \in X$  the cardinality of  $P^{-1}(x)$  is called the "number of sheets" of the covering above  $x$ .

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Proposition: The number of sheets  $|\tilde{p}^{-1}(x_0)|$  of a covering

$p: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  of path connected spaces is equal to the index of the subgroup  $P_{\#}(\pi_1(\tilde{X}, \tilde{x}_0))$  in  $\pi_1(X, x_0)$ .

Proof: Let  $g$  be a loop at  $x_0$  and  $\tilde{g}$  be its lift to  $\tilde{X}$  starting at  $\tilde{x}_0$ . If  $[h] \in \pi_1(X, x_0) = P_{\#}(\pi_1(\tilde{X}, \tilde{x}_0))$  and  $\tilde{h}$  is lift of  $h$  then the lift  $\tilde{h}\tilde{g}$  of  $hg$  starting at  $\tilde{x}_0$  has the same end point with  $\tilde{g}$ .

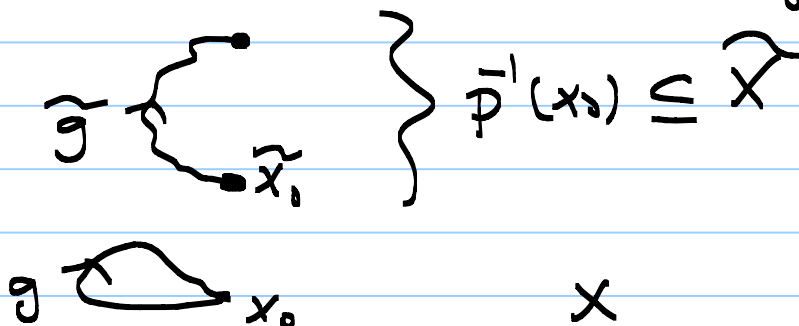


So we get a well defined function

$$\Phi: \{H[g] \mid [g] \in \pi_1(X, x_0)\} \longrightarrow \tilde{p}^{-1}\{x_0\}$$

sending the coset  $H[g]$  to the end point  $\tilde{g}(1)$ .

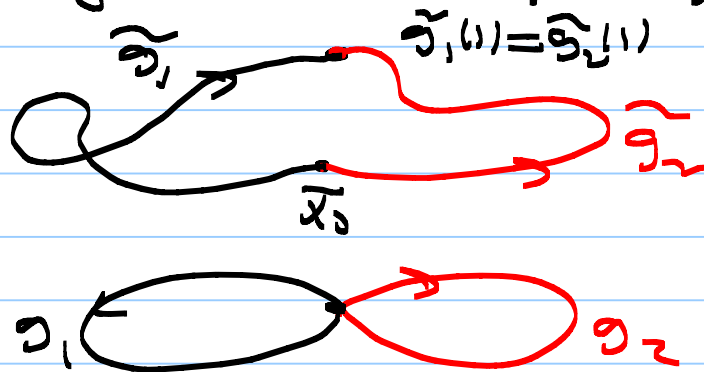
Since  $\tilde{X}$  is connected  $\Phi$  is surjective.



To show that  $\widehat{\Phi}$  is injective assume that

$$\widehat{\Phi}(H[\gamma_1]) = \widehat{\Phi}(H[\gamma_2]), \text{ for some } [\gamma_i] \in \pi_1(Y, x_0), i=1,2.$$

Then if  $\tilde{\gamma}_1$  and  $\tilde{\gamma}_2$  are the lifts of  $\gamma_1$  and  $\gamma_2$  starting at  $\tilde{x}_0$  then  $\tilde{\gamma}_1(1) = \tilde{\gamma}_2(1)$ .



Hence  $\tilde{\gamma}_1 \tilde{\gamma}_2^{-1}$  is a loop at  $\tilde{x}_0$ . Hence,  $[\gamma_1 \gamma_2^{-1}]$

is contained in the subgroup  $H = P_{\#}(\pi_1(\tilde{X}, \tilde{x}_0))$ .

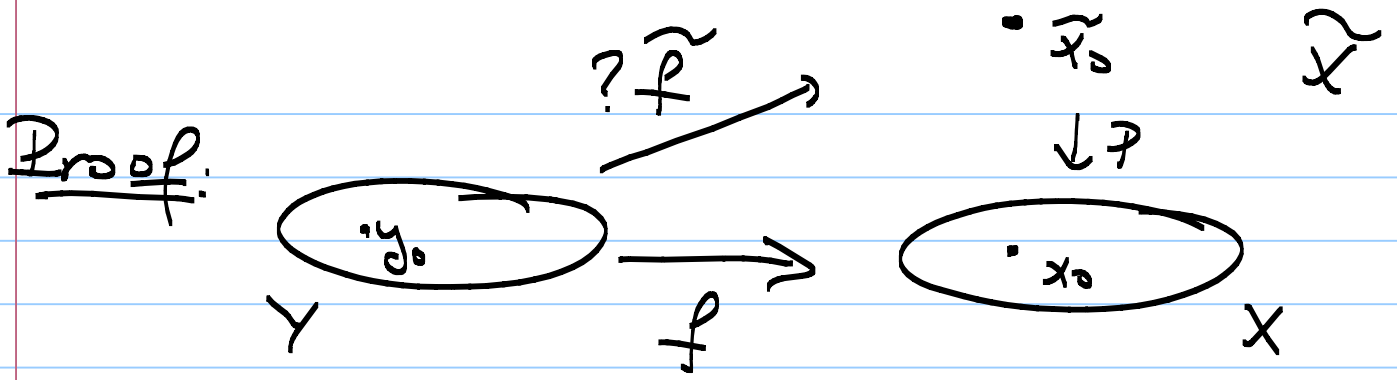
$$[\gamma_1 \gamma_2^{-1}] = [\gamma_1] [\gamma_2^{-1}] \in H \Rightarrow H[\gamma_1] = H[\gamma_2].$$

This finishes the proof.  $\square$

### Proposition: (Lifting Criterion)

Let  $p: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  be a covering space,  $f: (Y, y_0) \rightarrow (X, x_0)$  a map, where  $f(y_0) = x_0$  and  $Y$  is path connected and locally path connected. Then  $f$  has a lift

$$f: (Y, y_0) \rightarrow (\tilde{X}, \tilde{x}_0) \text{ if and only if } f_{\#}(\pi_1(Y, y_0)) \subseteq P_{\#}(\pi_1(\tilde{X}, \tilde{x}_0)).$$

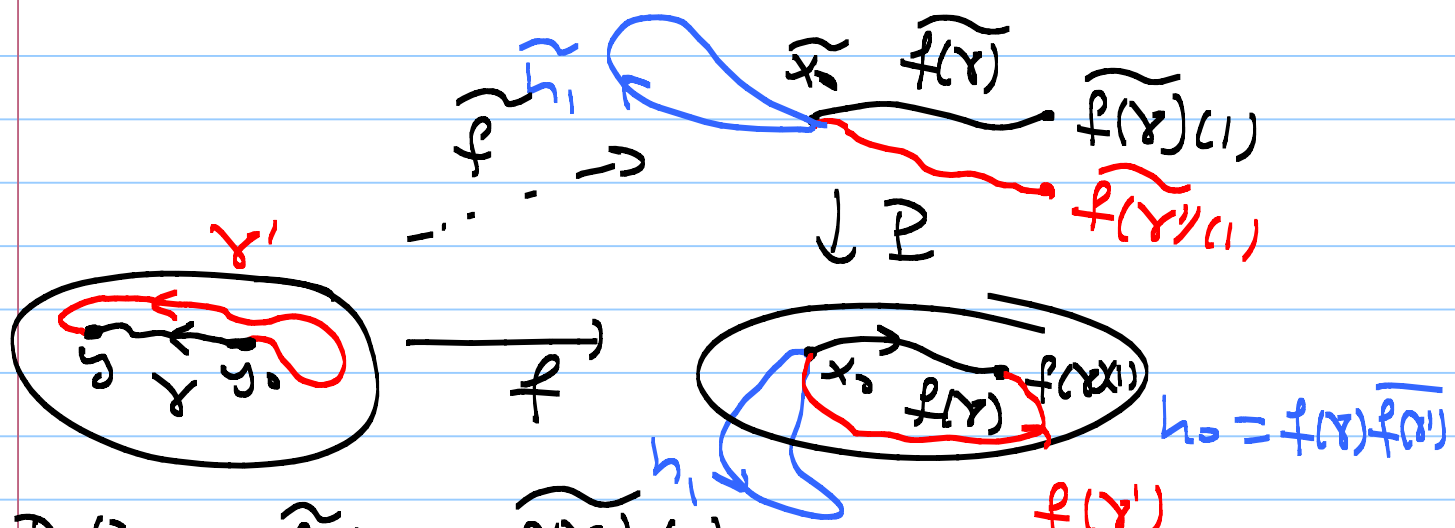


Def  $\tilde{f} = f$

( $\Rightarrow$ ) This direction is clear since if there is a lift  $\tilde{f}$  then  $\tilde{f}_\# \circ f_\# = f_\#$  and thus

$$f_\#(\pi_1(Y, y_0)) = \tilde{f}_\#(\tilde{f}_\#(\pi_1(Y, y_0))) \subseteq \tilde{f}_\#(\pi_1(\tilde{X}, \tilde{x}_0))$$

( $\Leftarrow$ ) Now assume that  $f_\#(\pi_1(Y, y_0)) \subseteq \tilde{f}_\#(\pi_1(\tilde{X}, \tilde{x}_0))$ .



Define  $\tilde{f}(y) = \tilde{f}(\gamma)(1)$ .

Well-definedness of  $\tilde{f}$ : If  $\gamma'$  is another

path from  $y_0$  to  $y$  then we must show that  $\tilde{f}(\gamma')(1) = \tilde{f}(\gamma)(1)$ .

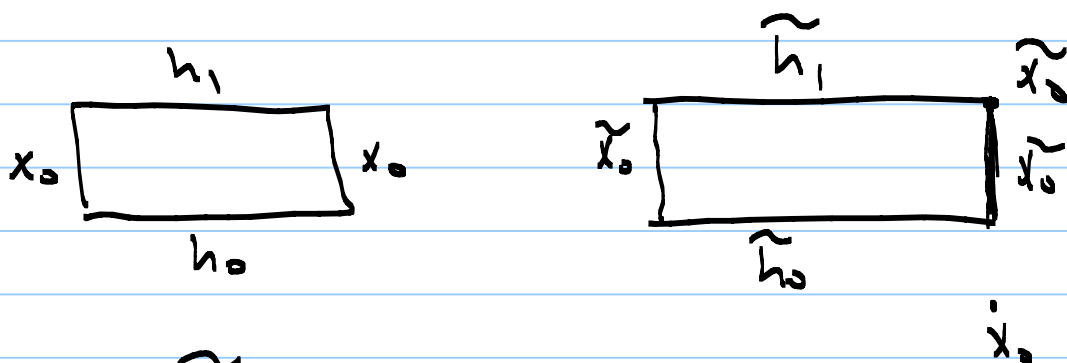


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Now  $f(\gamma)$ .  $f(\gamma)$  is a loop at  $x_0$ , representing a class

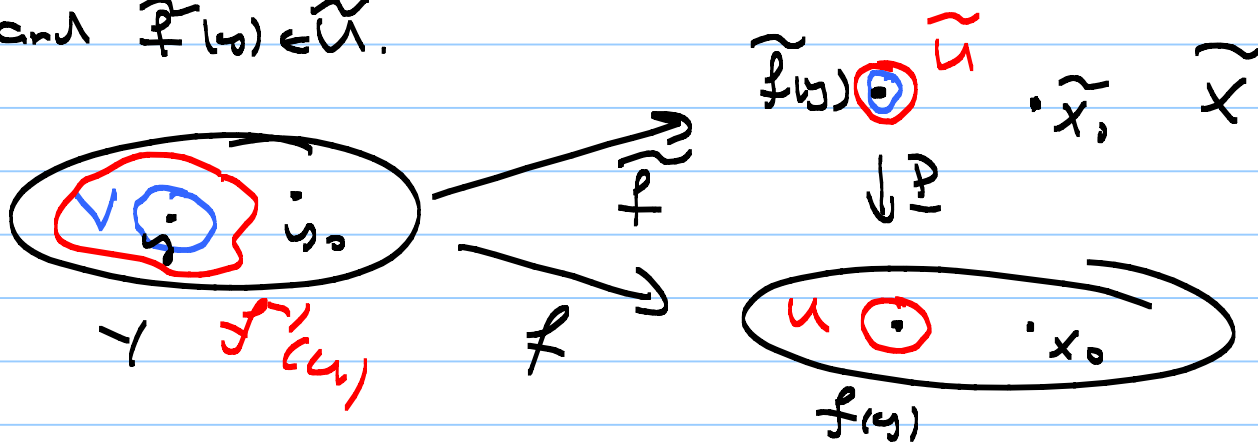
$$[h_0] \in P_{\#}(\pi, (y_0, x_0)) \subseteq P_{\#}(\pi, (\tilde{X}, \tilde{x}_0)).$$

Hence there is a homotopy  $h_t$  of  $h_0$  to a loop  $h_1$  that has a lift  $\tilde{h}_1$  in  $\tilde{X}$  which is a loop based at  $\tilde{x}_0$ .  
By the homotopy lifting property  $h_t$  has a lift  $\tilde{h}_t$ . Since  $h_1$  is a loop at  $x_0$  so is  $h_0$ .



Hence,  $\tilde{f}$  is well defined.

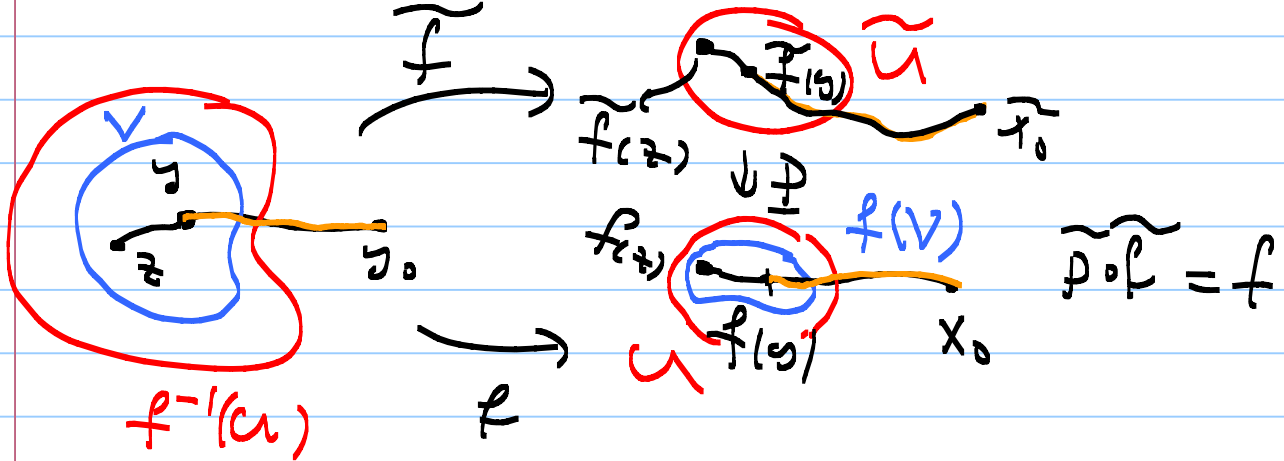
$\tilde{f}$  is continuous: let  $y \in Y$  and  $U \subseteq X$  an open subset containing  $f(y)$  such that there is some  $\tilde{U} \subseteq \tilde{X}$  with  $p: \tilde{U} \rightarrow U$  a homeomorphism and  $\tilde{f}(y) \in \tilde{U}$ .



must find: An open subset  $V$  in  $Y$  with  $y \in V$  and  $\tilde{f}(V) \subseteq \tilde{U}$ .

Now since  $Y$  is locally path connected choose an open subset  $V$  so that  $y \in V$ ,  $V$  is path connected and  $f(V) \subseteq U$ .

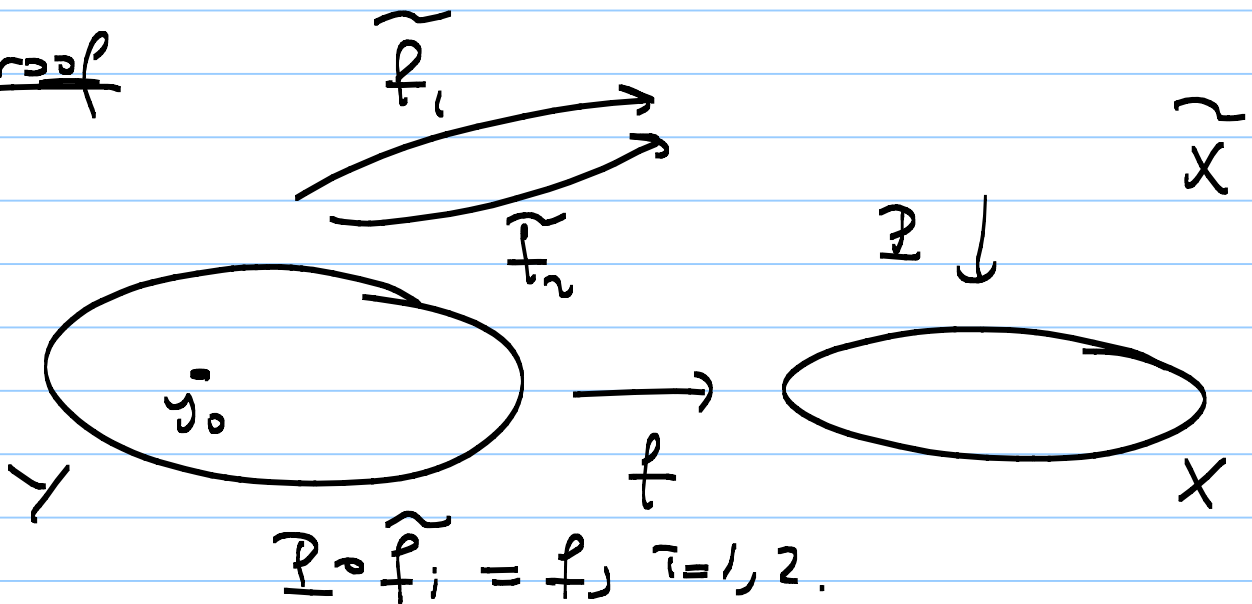
Claim 1:  $\tilde{f}(V) \subseteq \tilde{U}$ .



So,  $\tilde{f}(V) \subseteq \tilde{U}$ . Hence,  $\tilde{f}$  is continuous.

Proposition: Given a covering space  $p: \tilde{X} \rightarrow X$  and a map  $f: Y \rightarrow X$  with two lifts  $\tilde{f}_1$  and  $\tilde{f}_2$  from  $Y$  to  $\tilde{X}$  that agree at one point  $y_0$  of  $Y$ , then if  $Y$  is connected these two lifts agree on all of  $Y$ .

Proof



$f_1(y_0) = f_2(y_0)$  is given.

must show:  $f_1 = f_2$  or  $f_1(y) = f_2(y)$  for all  $y \in Y$ .

Let  $A$  be the set of points in  $Y$  on which the two fcts agree:

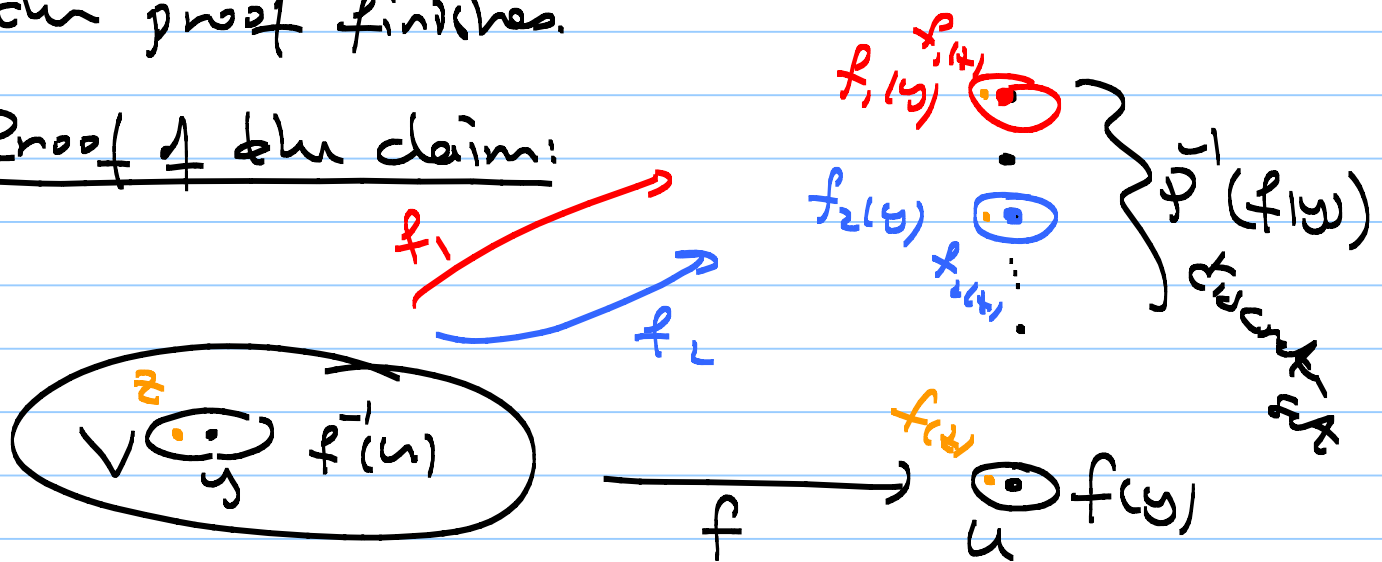
$$A = \{y \in Y \mid f_1(y) = f_2(y)\}$$

Clearly,  $y_0 \in A$  and thus  $A \neq \emptyset$ .

Claim:  $A$  is both open and closed.

Note that the claim implies that  $A = Y$  so that the proof finishes.

Proof of the claim:



If  $f_1(y) \neq f_2(y)$  then there is an open set  $V = f^{-1}(u)$  so that  $f_1(V) \cap f_2(V) = \emptyset$ . In particular  $f_1(z) \neq f_2(z)$  for all  $z \in V$ .

Hence, the set  $Y \setminus A$  is open. Thus  $A$  is closed.

A similar argument shows that  $A$  is also open.

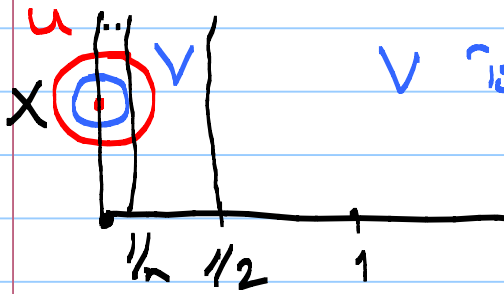
Classification of Covering Spaces:

Let  $X$  be topological space, which is path connected, locally path connected and semilocally simply connected.

i) path connected:



ii) locally path connected:  $x \in X$ ,  $x \in U$  open subset. Then there is another open subset  $V$  s.t.  $x \in V \subseteq U$  and  $V$  is path connected.



$V$  is never path connected.

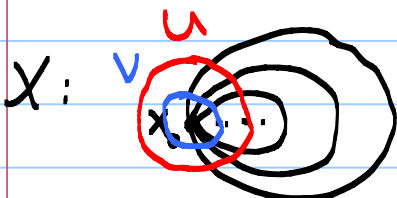
$X$  is path connected but not locally path connected.

iii) Semilocally simply connected: For every  $x \in X$

and open subset  $x \in U$  there is some open subset  $x \in V \subseteq U$  so that the homomorphism

$$\pi_1(V, x) \rightarrow \pi_1(X, x)$$

is trivial.



$$X = \bigvee_{n=1}^{\infty} S_{1/n}^1$$

$$\pi_1(V, x) \rightarrow \pi_1(X, x) \text{ is injection}$$

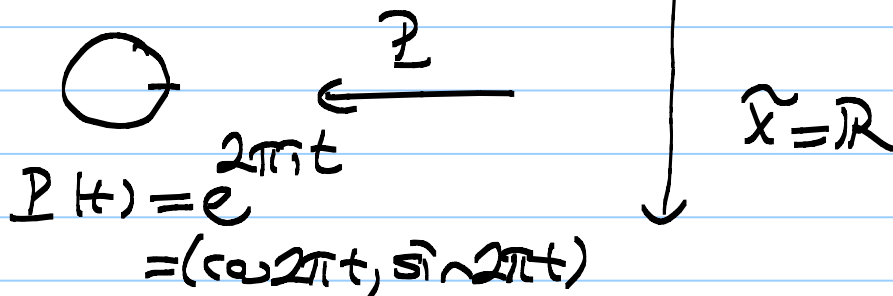
$\downarrow$   
 $F_{\infty}$

Theorem: Let  $X$  be a path connected, locally path connected and semilocally simply connected topological space. Then  $X$  has a universal covering

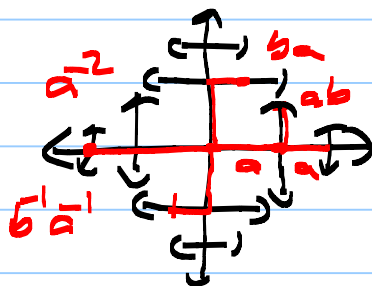
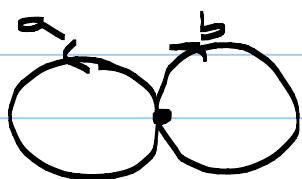
$$P: \tilde{X} \rightarrow X, \text{ i.e., a simply connected}$$

covering space.

Remark: 1)  $X = S^1$



2)  $X = S^1 \vee S^1$



$$\pi_1(X) = F_2 = \langle a, b \mid \rangle \quad a, ab, b, abab, \dots$$

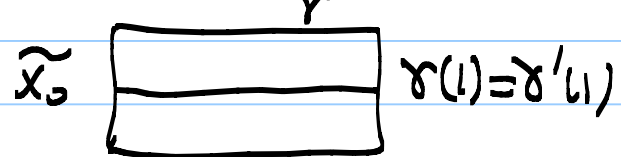
Observation: If  $X$  is a simply connected space then, there is a one to one correspondence between points of  $X$  as homotopy classes of paths starting at fixed point, where homotopies fix the end points.



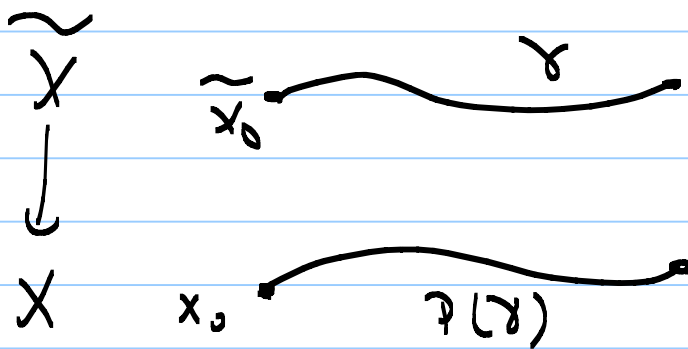
Idea: We may regard a simply connected space as the homotopy classes of paths in  $X$  starting at fixed point.

$$\tilde{X} = \{ [\gamma] \mid \gamma(0) = \tilde{x}_0 \}$$

$\gamma' \in [\gamma]$

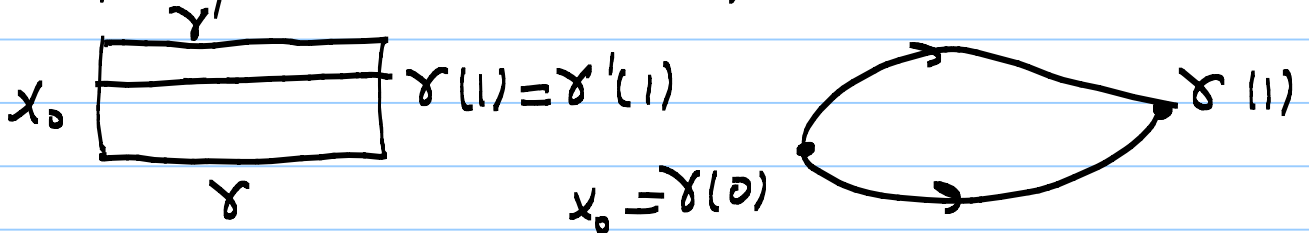


Any path in  $\tilde{X}$  gives a path  $\gamma$  in  $X$ .



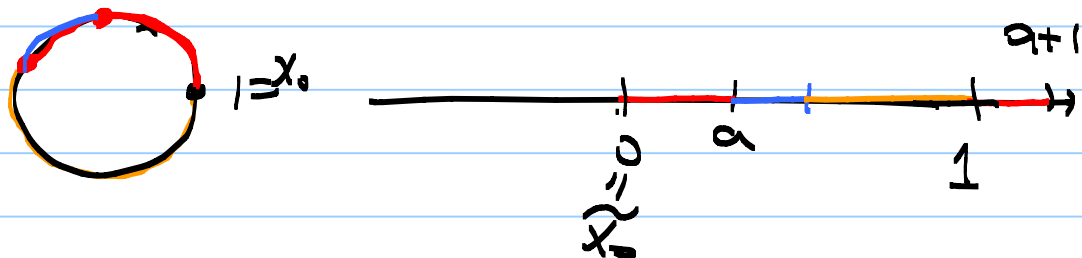
So let  $\tilde{X}$  be the homotopy classes of paths in  $X$  starting at a fixed point  $x_0$ , where homotopies fix the end points of the paths at each level.

$$\tilde{X} = \{ [\gamma] \mid \gamma: [0,1] \rightarrow X, \gamma(0) = x_0 \}$$

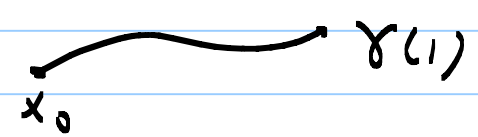


Example 1

$$(X, x_0) = (S^1, 1)$$



How to define  $P: \tilde{X} \rightarrow X$ .

$$[\gamma] \in \tilde{X}, P([\gamma]) = \gamma(1)$$


Clearly,  $P$  is surjective, because  $X$  is path connected and thus for any  $x \in X$  there is a path  $\gamma: [0,1] \rightarrow X$  with  $\gamma(0) = x_0$  and  $\gamma(1) = x$ .

Now we must put a topology on  $\tilde{X}$ :

Let  $\mathcal{U}$  denote the collection of path connected open subsets  $U \subseteq X$  s.t.

$$\pi_1(U) \rightarrow \pi_1(X) \text{ is trivial.}$$

Note that if  $V \subseteq U$  another open subset then

$$\pi_1(V) \rightarrow \pi_1(U) \rightarrow \pi_1(X) \text{ is also trivial.}$$

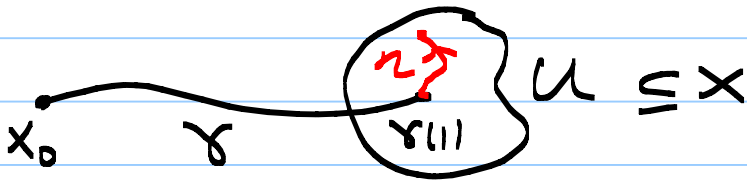
Hence,  $\mathcal{U}$  is a basis for the topology on  $\tilde{X}$ .

(i)  $x \in X$ ,  $x \in W$  open. Then by assumption (s.l.s.c.) there is some  $U \in \mathcal{U}$  s.t.  $x \in U \subseteq W$ .

(ii)  $x \in X$ ,  $x \in U_1 \cap U_2$ ,  $U_i \in \mathcal{U}$ . Then  $U_1 \cap U_2 \in \mathcal{U}$ .

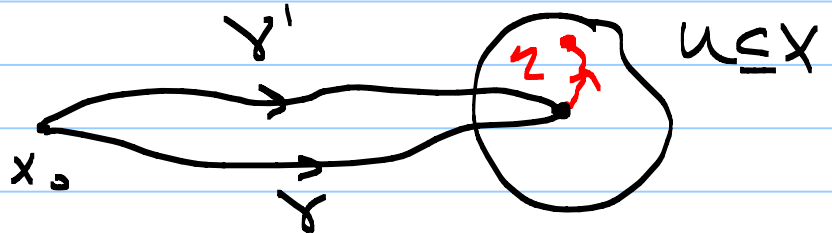
Next we'll describe a basis for a topology on  $\tilde{X}$ . Given  $U \in \mathcal{U}$  and a path  $\gamma$  in  $X$  from  $x_0$  to a point in  $U$ , let

$$U_{[\gamma]} = \{ [\gamma \cdot \eta] \mid \eta \text{ is a path in } U \text{ with } \eta(0) = \gamma(1) \}$$



Observations 1)  $U_{[\gamma]}$  depends only on the homotopy class of  $\gamma$ . In other words, if  $\gamma' \in [\gamma]$  then

$$U_{[\gamma']} = U_{[\gamma]}.$$



$$[\gamma \cdot \eta] = [\gamma' \cdot \eta]$$

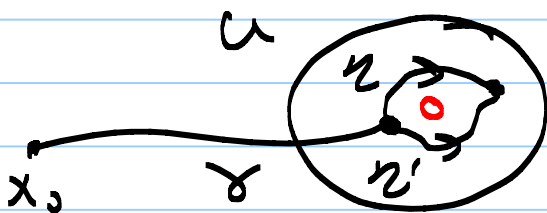
2) Since  $U$  is path connected the map

$$P: U_{[\gamma]} \rightarrow U, [\gamma \cdot \eta] \mapsto \eta(1), \text{ is onto.}$$

3) Moreover,  $P$  is injective.

Assume  $[\gamma \cdot \eta]$  and  $[\gamma \cdot \eta']$  are in  $U_{[\gamma]}$  so

$$\text{that } P([\gamma \cdot \eta]) = \eta(1) = \eta'(1) = P([\gamma \cdot \eta']).$$



Since  $\pi_1(U) \rightarrow \pi_1(X)$  is trivial the paths  $[\eta]$  and  $[\eta']$  are

homotopic in  $X$  and thus  $[\gamma \cdot \eta]$  and  $[\gamma \cdot \eta']$  are homotopic via a homotopy fixing the end points.



Conclusion:  $P: U_{[\gamma]} \rightarrow U$  is a bijection.

Any point  $[\gamma] \in \tilde{X}$  satisfies  $[\gamma] \in U_{[\gamma]}$ .

Now using these we may put a topology on  $\tilde{X}$ .

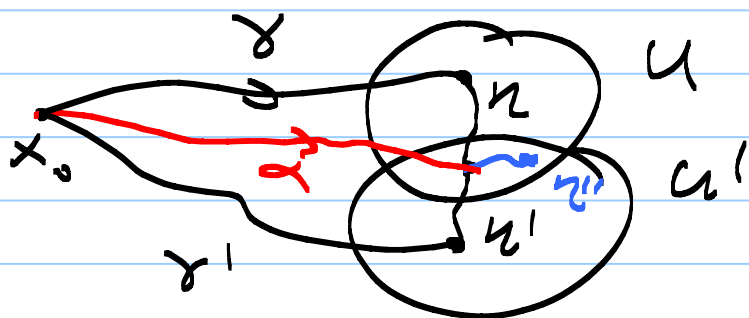
Claim: The collection

$\tilde{U} = \{ U_{[\gamma]} \mid U \in \mathcal{U}, [\gamma] \in \tilde{X} \}$  is a basis for a topology on  $\tilde{X}$ .

In this case each bijection  $P: U_{[\gamma]} \rightarrow U$  becomes a homeomorphism.

We already showed that any  $[\gamma] \in \tilde{X}$  lies in  $U_{[\gamma]}$ . Now let

$$[\alpha] \in U_{[\gamma]} \cap U_{[\gamma']}. \quad U$$



$$[\alpha] = [\gamma \cdot \eta] = [\gamma' \cdot \eta']$$

The  $[\alpha] \in U \cap U' \stackrel{?}{=} U_{[\gamma]} \cap U_{[\gamma']}$  because

$$\underline{[\alpha \cdot \eta'']} = \underline{[\gamma \cdot \eta \cdot \eta'']} = \underline{[\gamma' \cdot \eta' \cdot \eta'']}$$

In particular, each restriction  $P: U_{[\gamma]} \rightarrow U$

is a homeomorphism.

We must show: 1)  $\mathbb{P}$  is continuous

2)  $\mathbb{P}$  is covering map

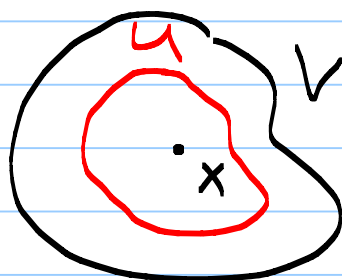
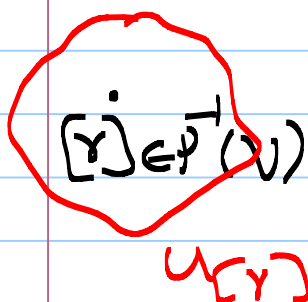
3)  $\tilde{X}$  is simply connected.

$\mathbb{P}$  is continuous: Take any  $x \in X$  and  $x \in V \subseteq X$  an open subset. Then by the s.l.s.c. assumption there is an open subset  $U$  st.  $x \in V \subseteq U \subseteq X$  with  $U \in \tilde{\mathcal{U}}$ . Now if  $[\gamma] \in \tilde{X}$  st.

$\mathbb{P}([\gamma]) = x$ , i.e.,  $\gamma: [0,1] \rightarrow X$ ,  $\gamma(0) = x_0$ ,  $\gamma(1) = x$

$\mathbb{P}: U_{[\gamma]} \rightarrow U$  is a bijection and thus

$\mathbb{P}(U_{[\gamma]}) = U \subseteq V$ . Hence,  $\mathbb{P}$  is continuous.



2)  $\mathbb{P}$  is a covering map: Take any  $x \in X$ . Choose some  $U \subseteq \mathcal{U}$  with  $x \in U$ . Then for any  $[\gamma] \in \tilde{X}$  with  $\mathbb{P}([\gamma]) = \gamma(1) = x$ , the open

## Video 31

Let  $U[\gamma]$  map homeomorphically onto  $U$ .

We must show:

$$a) \mathbb{P}^{-1}(U) = \cup_{\gamma(t) \in U} U[\gamma]$$

$$b) \text{ If } U[\gamma] \cap U[\gamma'] \neq \emptyset \text{ then } U[\gamma] = U[\gamma'].$$

Proof of a) Let  $[\gamma] \in \mathbb{P}^{-1}(U)$ . Then  $\mathbb{P}([\gamma]) = \gamma(U) \in U$ .

In particular,  $[\gamma] \in U[\gamma]$  and  $\mathbb{P}(U[\gamma]) = U$ .

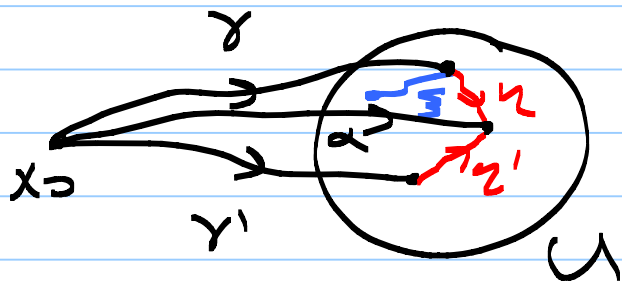


$$\text{Hence, } \mathbb{P}^{-1}(U) = \cup_{\gamma(t) \in U} U[\gamma].$$

Proof of b) Assume that  $U[\gamma] \cap U[\gamma'] \neq \emptyset$  and

$\alpha \in U[\gamma] \cap U[\gamma']$ . Then there are paths  $\eta$  and  $\eta'$  in  $U$  so that

$$\gamma(1) = \eta(0), \gamma'(1) = \eta'(0) \text{ and } [\gamma \cdot \eta] = [\alpha] = [\gamma' \cdot \eta'].$$



$$\text{So } [\gamma \cdot \eta \cdot \bar{\eta}' \cdot \bar{\gamma}'] = e \text{ in } \pi_1(X, x_0).$$

Hence,  $[\gamma] = [\gamma' \cdot \eta' \cdot \bar{\eta}]$ . Thus,  $[\gamma \cdot \xi] = [\gamma' \cdot \eta' \cdot \bar{\eta} \cdot \xi]$  for any path  $\xi$  in  $U$  with  $\gamma(1) = \xi(0)$ .

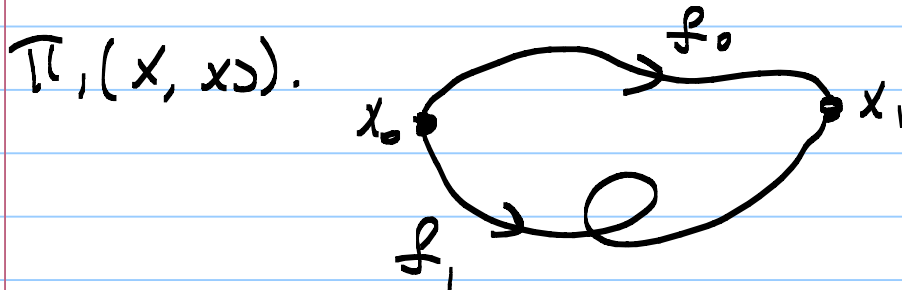
However,  $[\gamma \cdot \xi]$  is a typical element of  $U[\gamma]$  and  $[\gamma' \cdot (\eta' \cdot \bar{\eta} \cdot \xi)]$  is in  $U[\gamma']$ . Thus,  $U[\gamma] \subseteq U[\gamma']$ .

Similarly,  $U_{[y]} \subseteq U_{[x]}$  and thus  $U_{[x]} = U_{[y]}$ .

In the above proof we used the following fact:

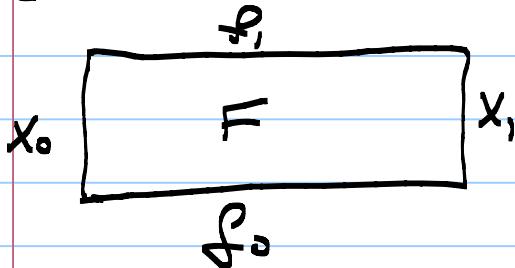
Fact: For any two paths  $f_0$  and  $f_1$  in any space from  $x_0$  to  $x_1$ , we have

$f_0 \sim f_1$  (rel  $\{0,1\}$ ) if and only if  $[f_0 \cdot \bar{f}_1] = e$  in



Proof of the fact:

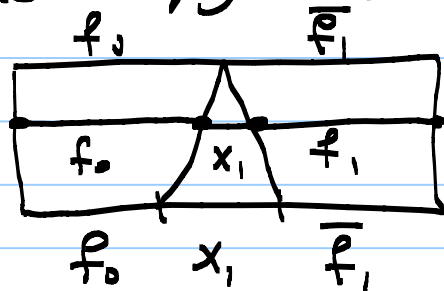
( $\Rightarrow$ ) Assume that  $f_0 \sim f_1$ , rel  $\{0,1\}$ .



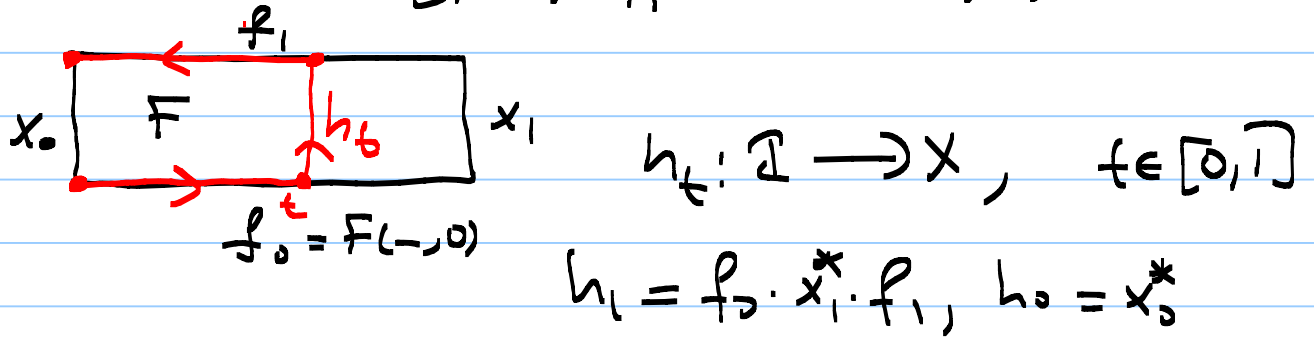
must show  $[f_0 \cdot \bar{f}_1] = e$

in  $\pi_1(X, x_0)$ .

First note that  $[f_0 \cdot x_1^* \cdot \bar{f}_1] = [f_0 \cdot \bar{f}_1]$  in  $\pi_1(X, x_0)$ , where  $x_1^*$  is the constant path at  $x_1$ . To see this just consider the homotopy described by the diagram below:



Now we show  $[f_0 \cdot x_1^* \cdot \bar{f}_1] = e$  in  $\mathbb{T}_1(X, x_0)$ .

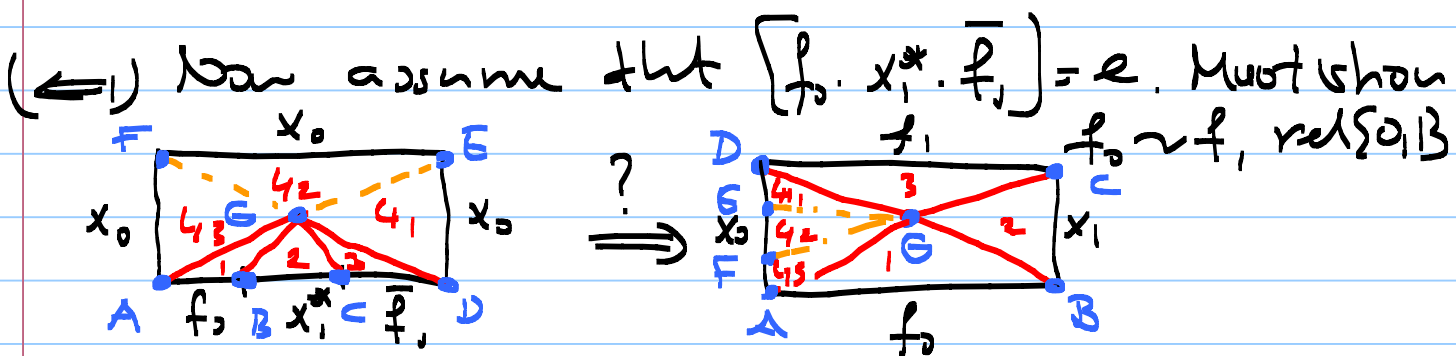
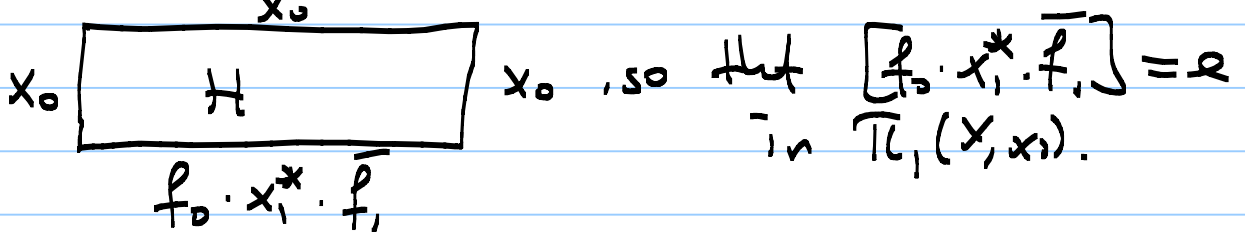


$$h_t(s) = \begin{cases} F(4s, 0), & 0 \leq s \leq t/4 \\ F(t, \frac{4-t-4s}{4-2t}), & t/4 \leq s \leq 1-t/4 \\ F(4-t-4s, 1), & 1-t/4 \leq s \leq 1. \end{cases}$$

$$h_1(s) = \begin{cases} F(4s, 0), & 0 \leq s \leq 1/4 \\ F(1, \frac{3-4s}{2}), & 1/4 \leq s \leq 3/4 \\ F(4-4s, 1), & 3/4 \leq s \leq 1 \end{cases}$$

$$= \begin{cases} f_0(4s), & 0 \leq s \leq 1/4 \\ x_1, & 1/4 \leq s \leq 3/4 \sim f_0 \cdot x_1^* \cdot \bar{f}_1 \\ f_1(4-4s), & 3/4 \leq s \leq 1. \end{cases}$$

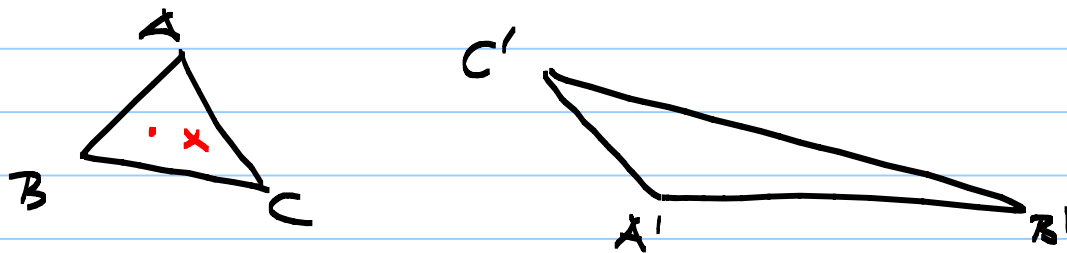
Also,  $h_0(s) = x_0$ , for all  $s$ .



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Now we may write a homeomorphism  $\varphi$  which is linear on each triangle mapping every vertex in the first rectangle to the vertex in the second rectangle with the same name.

$$F: I \times I \rightarrow X \Rightarrow F \circ \varphi^{-1}: I \times I \rightarrow X$$



$$x = t_1 A + t_2 B + t_3 C \longmapsto \varphi(x) = t_1 A' + t_2 B' + t_3 C'$$

$$t_1, t_2, t_3 \geq 0, \sum t_i = 1$$

$(t_1, t_2, t_3)$  is called the barycentric coordinates of  $x$ .

This finishes the proof of that  $P: \tilde{X} \rightarrow X$  is a covering map.

Now we need to prove that  $\tilde{X}$  is simply connected.

$$\tilde{X} = \{[\gamma] \mid \gamma: [0,1] \rightarrow X, \gamma(0) = x_0\}$$

$x_0^* : [0,1] \rightarrow X$  the constant path at  $x_0$ .

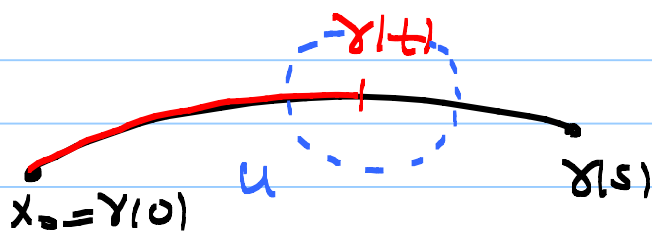
$[x_0^*] \in \tilde{X}$  can be considered as a base point.

$\tilde{X}$  is connected: Take any point  $[\gamma] \in \tilde{X}$ .

So,  $\gamma: [0,1] \rightarrow X$  is s.t. that  $\gamma(0) = x_0$ .

For any  $t \in [0,1]$  define the path

$$\gamma_t : [0,1] \rightarrow X, \quad \gamma_t(s) = \begin{cases} \gamma(s), & 0 \leq s \leq t \\ \gamma(t), & t \leq s \leq 1 \end{cases}$$



Then we get a path in  $\tilde{X} : t \mapsto [\gamma_t]$

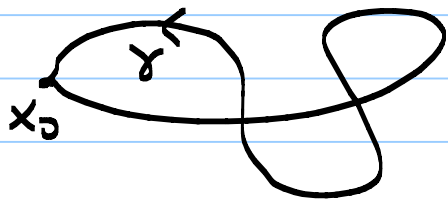
$\gamma_0 = \text{constant path at } x_0$

$\gamma_1 = \gamma$

So the path  $t \mapsto [\gamma_t]$  in  $\tilde{X}$  joins  $[x_0^*]$  to  $[\gamma]$ . This path is continuous by the local description of topology on  $\tilde{X}$  and thus  $\tilde{X}$  is path connected.

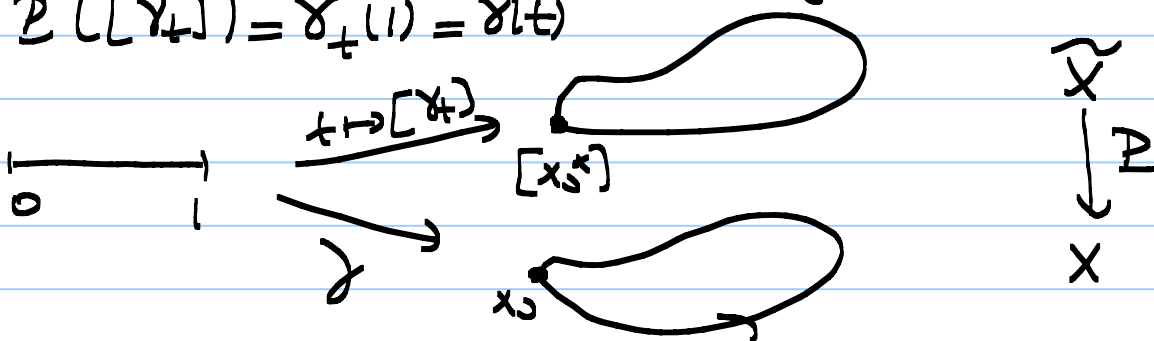
$\tilde{X}$  is simply connected: Since the homomorphism

$P_{\#} : \pi_1(\tilde{X}, [x_0^*]) \rightarrow \pi_1(X, x_0)$  is injective, it is enough to show that  $\text{Im } P_{\#}$  is trivial. Let  $[\gamma]$  be in the image of  $P_{\#}$ . So  $\gamma$  is a loop at  $x_0$ .



Then the path  $t \mapsto [\gamma_t]$  is a lift of  $\gamma$  to the covering  $\tilde{X} \rightarrow X$ , because

$$P([\gamma_t]) = \gamma_t(1) = \gamma(t)$$



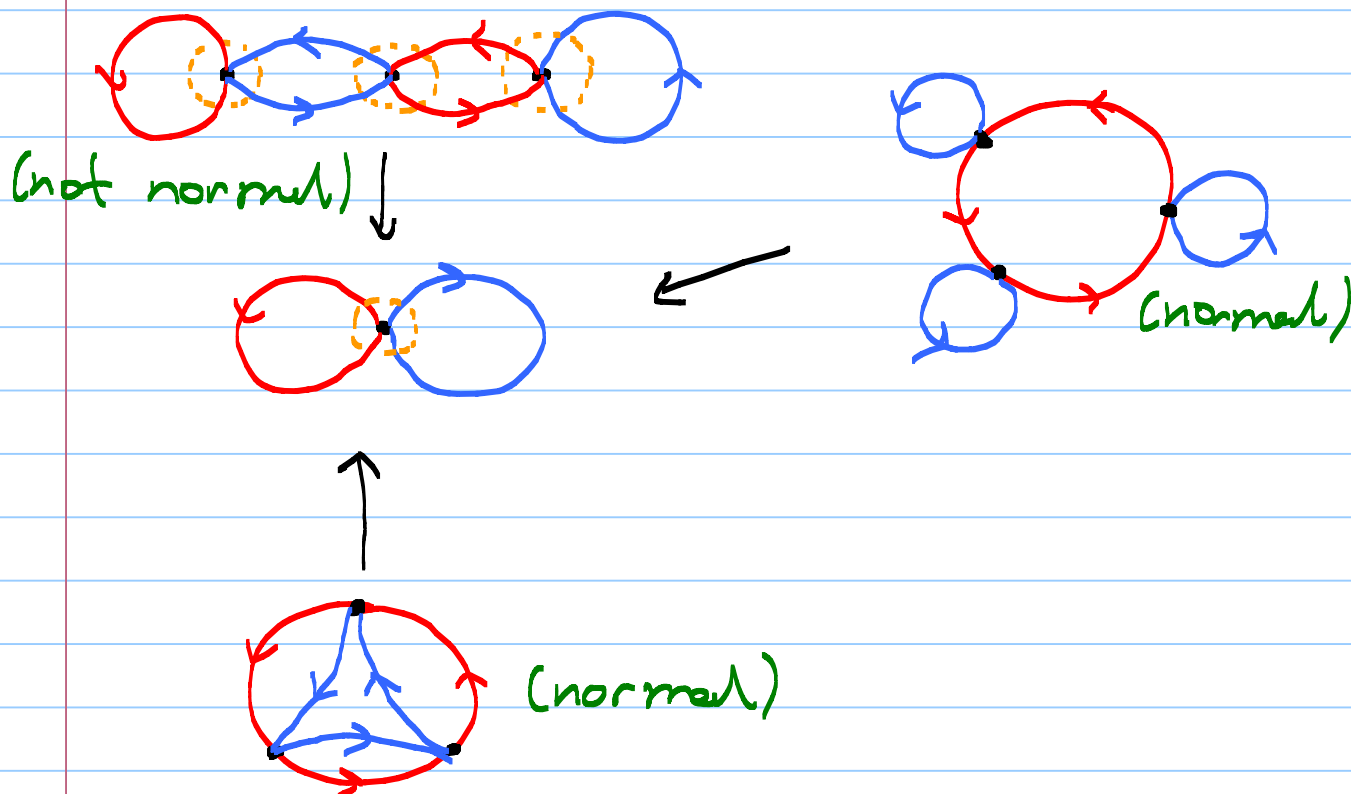
Since  $t \mapsto [\gamma_t]$  is a loop at  $[x_0^*]$  the end points of this path must be the same.

$$t=0 \Rightarrow x_0^*, \quad t=1 \Rightarrow [\gamma]$$

$$\Rightarrow [x_0^*] = [\gamma] \Rightarrow [\gamma] = e \in \pi_1(X, x_0).$$

So we've proved that any topological space (path connected, l.c.p., s.l.s.c) has a universal covering space.

Remark: In practice we construct covering spaces as follows: Note that for a simply connected space any connected covering of it is itself because its fundamental group is trivial and thus any covering is 1-fold.



Proposition: Suppose  $X$  is path connected, locally path connected, and semilocally simply connected. Then for



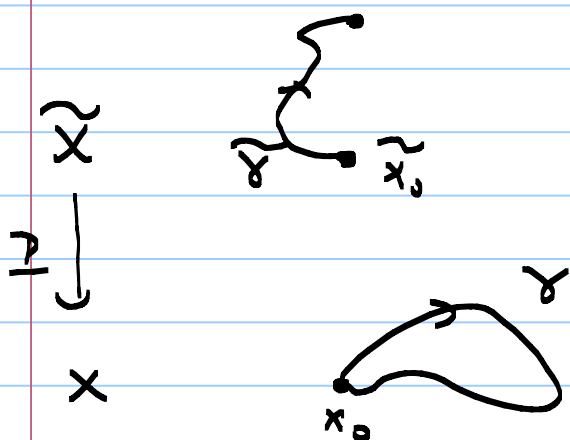
# Video 33

every subgroup  $H \leq \pi_1(X, x_0)$  there is a covering space  $\tilde{X}_H \rightarrow X$  such that

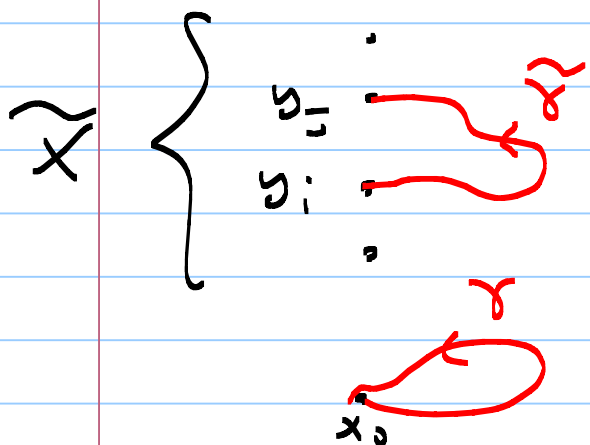
$$P_{\#}(\pi_1(\tilde{X}_H, \tilde{x}_0)) = H, \text{ for a suitable chosen base point } \tilde{x}_0 \in \tilde{X}_H.$$

Proof: The main observation is the following. A loop  $\gamma$  lifts to a loop in the covering space if and only if  $[\gamma] \in H = P_{\#}(\pi_1(\tilde{X}, \tilde{x}_0))$ .

In particular, if  $\tilde{X}$  is simply connected then no lift of a loop  $\gamma$  with  $[\gamma] \neq e$  is a loop in  $\tilde{X}$ .



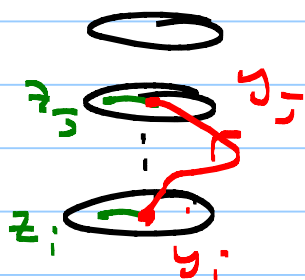
$X_H$  will be constructed as a quotient of  $\tilde{X}$ :

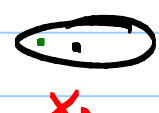


$\tilde{X} \rightarrow X$  universal cover

if  $y_i, y_j \in P^{-1}(x_0)$  then we say  $y_i \sim y_j$  if and only if the image of  $\gamma$  in  $\pi_1(X, x_0)$  is in  $H$ .

$$y_i \sim y_j \iff [\gamma] \in H \subseteq \pi_1(X, x_0).$$



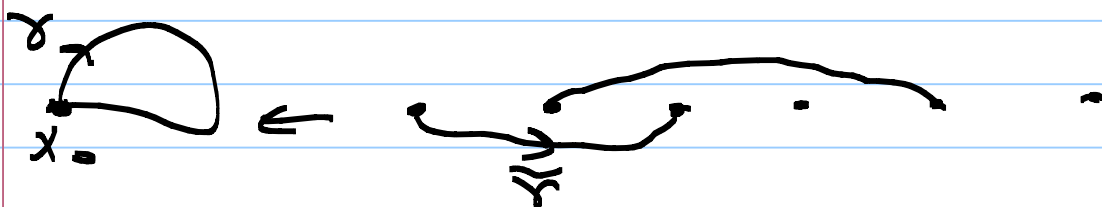
$z$    $U \subseteq X$  basis neighborhood for the universal cover.

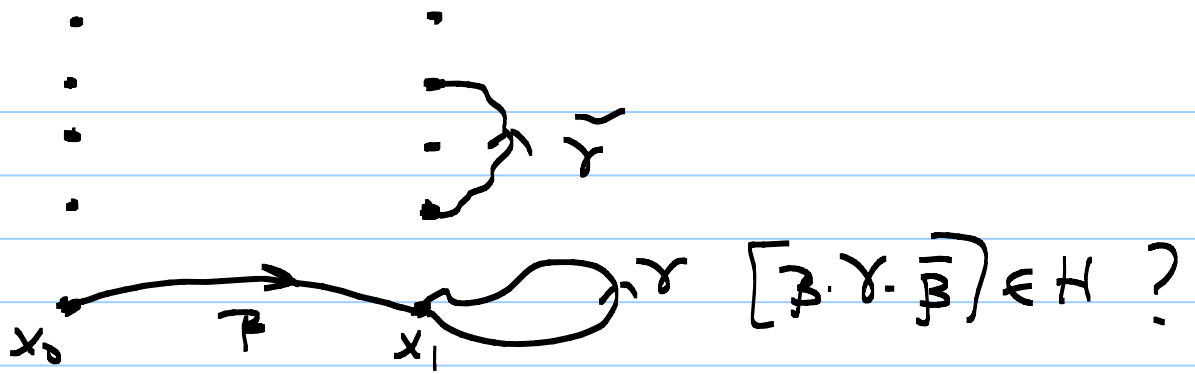
So let  $X_H$  be the quotient space  $\tilde{X}/\sim$ , where  $\sim$  is as defined above. Note that for any basis neighborhood  $U$  in  $X$  two components  $\tilde{U}_i$  and  $\tilde{U}_j$  of  $P^{-1}(U)$  are either identified by a homeomorphism or no points of  $\tilde{U}_i$  and  $\tilde{U}_j$  are identified.

$$\begin{array}{ccc} \tilde{U}_i & \xrightarrow{P|_{\tilde{U}_i}} & U \\ \tilde{U}_j & \xrightarrow{P|_{\tilde{U}_j}} & U \\ \text{---} & \searrow & \text{---} \\ & P^{-1} \circ P & \text{---} \\ & \tilde{U}_i & \end{array}$$

Note that  $X_H \rightarrow X$  is still a covering space.

$P(\pi_1(X_H, y_0)) = H$  because we identified the end points of any lift of  $[\gamma] \in \pi_1(X, x_0)$  if and only if  $[\gamma] \in H$ .



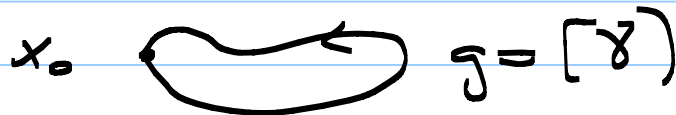
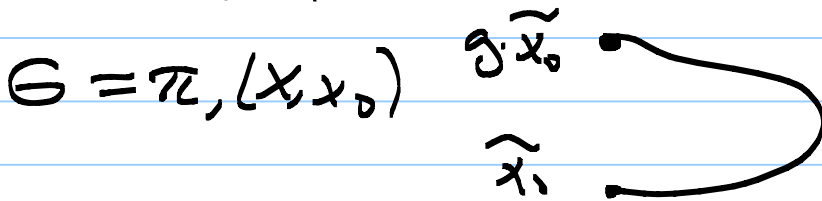


This finishes the proof. =

Remark:  $G = \pi_1(X, x_0)$ ,  $\tilde{X} \rightarrow X$  universal cover.

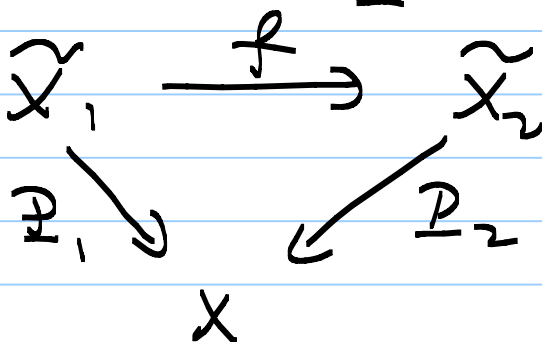
Then  $G$  acts on  $\tilde{X}$  and  $\tilde{X}/G = X$ .

Moreover, if  $H \leq G$ , then  $X_H = \tilde{X}/H$ .



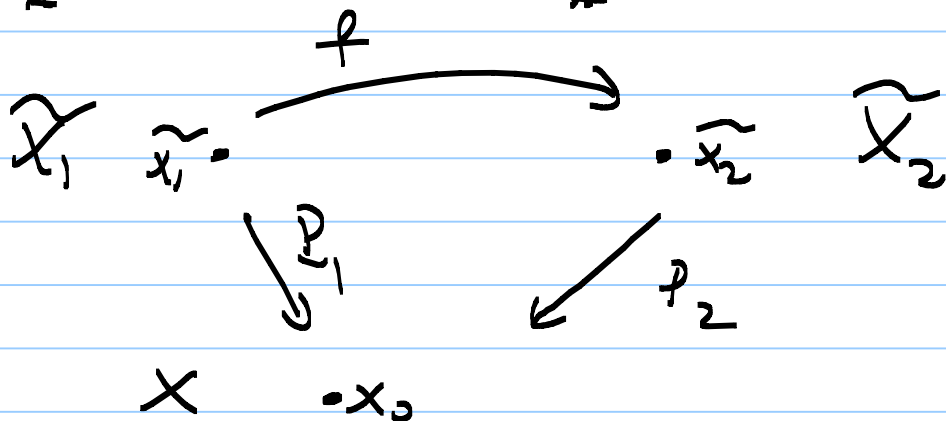
Definition: let  $p_1: \tilde{X}_1 \rightarrow X$  and  $p_2: \tilde{X}_2 \rightarrow X$  be two covering spaces. We'll say that they are isomorphic if there is a homeomorphism  $f: \tilde{X}_1 \rightarrow \tilde{X}_2$  so that  $p_1 = p_2 \circ f$ .

$$(\Rightarrow f^{-1} \circ p_1 = p_2)$$



Proposition 21 If  $X$  is path connected and locally path connected, then two path connected covering spaces  $P_1: \tilde{X}_1 \rightarrow X$  and  $P_2: \tilde{X}_2 \rightarrow X$  are isomorphic via an isomorphism  $f: \tilde{X}_1 \rightarrow \tilde{X}_2$  taking a base point  $\tilde{x}_1 \in P_1^{-1}(x_0)$  to a base point  $\tilde{x}_2 \in P_2^{-1}(x_0)$  if and only if

$$P_{1\#}(\pi_1(\tilde{X}_1, \tilde{x}_1)) = P_{2\#}(\pi_1(\tilde{X}_2, \tilde{x}_2)).$$



Proof: ( $\Rightarrow$ ) Suppose there is an isomorphism

$f: (\tilde{X}_1, \tilde{x}_1) \rightarrow (\tilde{X}_2, \tilde{x}_2)$  so that  $P_1 = P_2 \circ f$ .

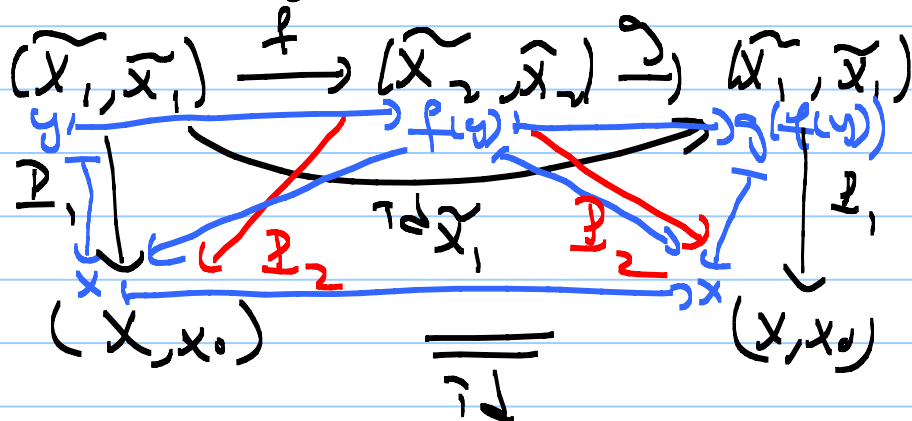
$$\begin{aligned} \text{Hence, } P_{1\#}(\pi_1(\tilde{X}_1, \tilde{x}_1)) &= (P_{2\#} \circ f_{\#})(\pi_1(\tilde{X}_1, \tilde{x}_1)) \\ &= P_{2\#}(\pi_1(\tilde{X}_2, \tilde{x}_2)) \end{aligned}$$

( $\Leftarrow$ ) Conversely if  $P_{1\#}(\pi_1(\tilde{X}_1, \tilde{x}_1)) = P_{2\#}(\pi_1(\tilde{X}_2, \tilde{x}_2))$  then

by the lifting criterion there is a unique  $f: (\tilde{X}_1, \tilde{x}_1) \rightarrow (\tilde{X}_2, \tilde{x}_2)$  such that  $P_2 \circ f = P_1$ .

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Similarly, there is unique  $g: (\tilde{X}_2, \tilde{x}_2) \rightarrow (\tilde{X}_1, \tilde{x}_1)$  such that  $\mathbb{P}_1 \circ g = \mathbb{P}_2$ .



$\Rightarrow f \circ g = \text{id}_{\tilde{X}_1}$ . Similarly,  $g \circ f = \text{id}_{\tilde{X}_2}$ . Hence,  $f$  and  $g$  are homeomorphisms.

Now we are ready to state the classification theorem.

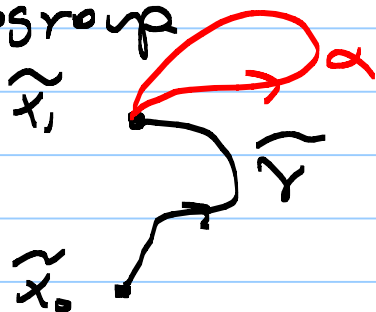
Theorem: Let  $X$  be path connected, locally path connected and semilocally simply connected. Then there is a bijection between the set of base point-preserving isomorphism classes of path-connected covering spaces

$$p: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$$

and the set of subgroups of  $\pi_1(X, x_0)$ , obtained by associating the subgroup  $p_*(\pi_1(\tilde{X}, \tilde{x}_0))$  to the covering space  $(\tilde{X}, \tilde{x}_0)$ . If the base points are ignored, this correspondence gives a bijection between isomorphism classes of path-connected covering spaces  $p: \tilde{X} \rightarrow X$  and conjugacy classes of subgroups of  $\pi_1(X, x_0)$ .

Proof: Note that we just need to prove the last statement. For a covering space

$p: (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  changing the basepoint within  $p^{-1}(x_0)$  corresponds exactly to changing the  $p_{\#}(\pi_1(\tilde{X}, \tilde{x}_0))$  to a conjugate subgroup



$$H = p_{\#}(\pi_1(X, x_0))$$

$$[\alpha] \in \pi_1(\tilde{X}, \tilde{x}_1)$$



$$H_1 = p_{\#}(\pi_1(X, x_1))$$

$$\pi_1(X, x_0) = \{ [\tilde{\gamma} \cdot \alpha \cdot \tilde{\gamma}^{-1}] \mid [\alpha] \in \pi_1(\tilde{X}, \tilde{x}_1) \}$$

$$p_{\#} \downarrow$$

$$\downarrow$$

$$H = \{ [\gamma] \cdot p_{\#}([\alpha]) [\gamma]^{-1} \mid [\alpha] \in \pi_1(\tilde{X}, \tilde{x}_1) \}$$

$$[\gamma] H_1 [\gamma]^{-1}$$

$$\Rightarrow H = [\gamma] H_1 [\gamma]^{-1}$$

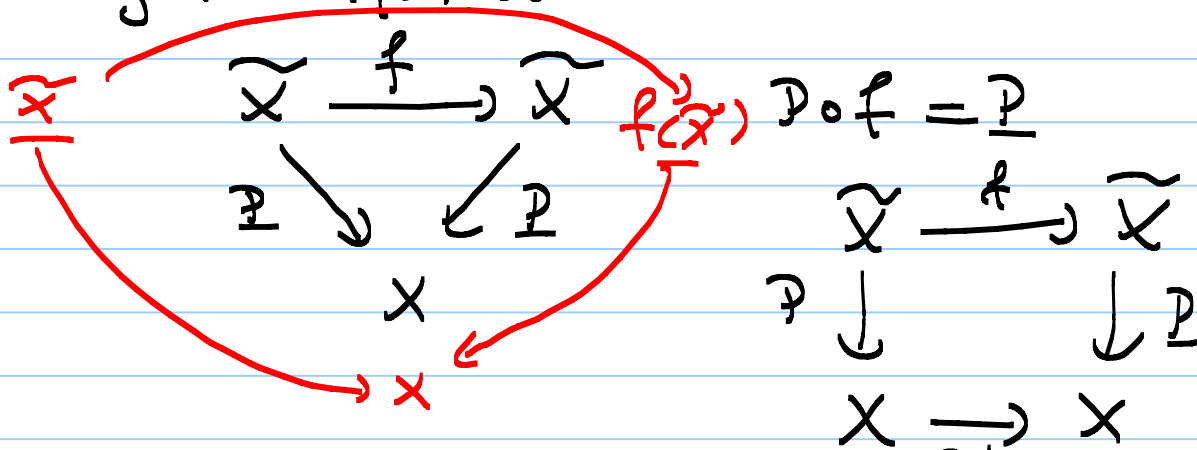
The converse is left as an exercise!

Remark: Let  $H = (e) \subseteq \pi_1(X, x_0)$ . Any conjugate of  $H$  is itself. Thus the simply connected covering space we constructed earlier is unique upto

Isomorphism. Hence, we may call the universal covering space.

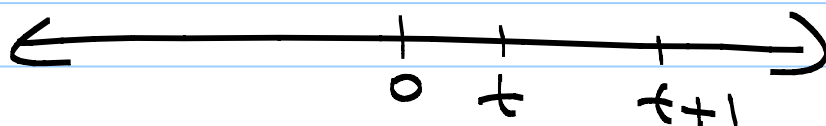
### Deck Transformations and Group Actions:

For a covering space  $p: \tilde{X} \rightarrow X$  the isomorphisms  $\tilde{X} \rightarrow \tilde{X}$  are called deck transformations or covering transformations.

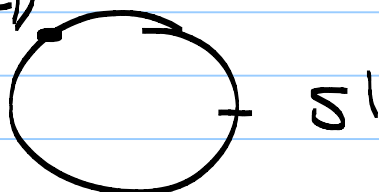


Hence, deck transformations are just the lifts of the  $\text{id}: X \rightarrow X$  to  $\tilde{X}$ .

Example:  $\mathbb{R} \rightarrow S^1$ ,  $p(t) = (\cos 2\pi t, \sin 2\pi t)$



$$p(t) = p(t+1)$$



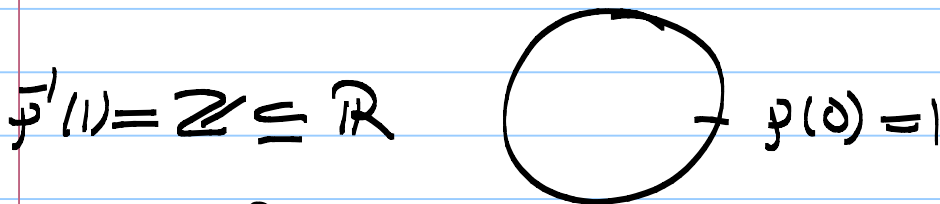
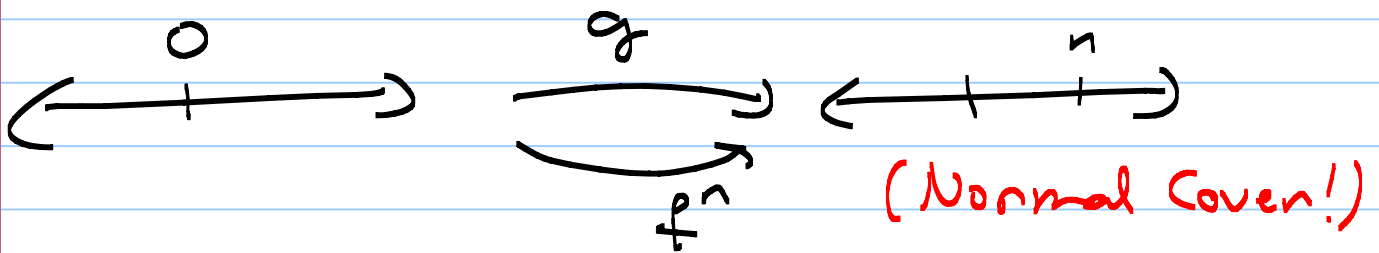
Hence,  $f(t) = t+1, t \in \mathbb{R}$ ,  $f: \mathbb{R} \rightarrow \mathbb{R}$  is a covering transformation.

$$f^n: \mathbb{R} \rightarrow \mathbb{R}, f^n(t) = f(f(\dots(f(t))\dots)) = t+n$$

is a covering transformation for all  $n$ .

Let  $G(\tilde{X})$  denote the group of deck transformations.

Then for the above covering  $G(\mathbb{R}) \cong \mathbb{Z}$ .



$$g = f^n \Rightarrow G(\mathbb{R}) \cong \mathbb{Z}$$

$$G(\mathbb{R} \xrightarrow{p} S^1) \cong \mathbb{Z}$$

Definition: A covering space  $p: \tilde{X} \rightarrow X$  is called normal if for each  $x \in X$  and each pair of points  $\tilde{x}, \tilde{x}'$  over  $x$  there is a deck transformation taking  $\tilde{x}$  to  $\tilde{x}'$ .

- $\tilde{x}'$
- $\tilde{x}$
- $x$

$$f(\tilde{x}') = \tilde{x}'$$



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Proposition: Let  $\tilde{p}: (\tilde{X}, \tilde{x}_i) \rightarrow (X, x)$  be a path connected covering space of path connected, locally path connected space  $X$ , and let  $H$  be the subgroup  $P_{\#}(\pi_1, (\tilde{X}, \tilde{x}_i)) \subseteq \pi_1(X, x)$ . Then:

a) This covering space is normal if and only if  $H$  is a normal subgroup of  $\pi_1(X, x)$ .

b)  $\mathcal{E}(\tilde{X})$  is isomorphic to the quotient  $N(H)/H$  where  $N(H)$  is the normalizer of  $H$  in  $\pi_1(X, x)$ .

In particular,  $\mathcal{E}(\tilde{X})$  is isomorphic to  $\pi_1(X, x)/H$  if  $\tilde{X}_0$  is a normal covering then for the universal cover  $\tilde{X} \rightarrow X$  we have  $\mathcal{E}(\tilde{X}) \cong \pi_1(X, x)$ .

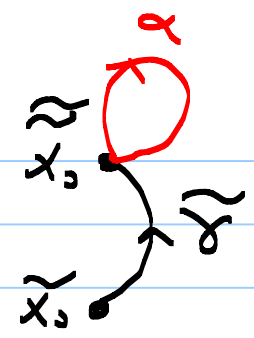
Corollary Assume the same set up. Then the cover  $\tilde{p}: \tilde{X} \rightarrow X$  is normal if and only if for any  $[\gamma] \in \pi_1(X, x)$  we have either all lifts of  $\gamma$  are loops or all lifts of  $\gamma$  are non-loops.

Proof of the Proposition:

a) First assume that the covering is normal. So we must prove that  $H = P_{\#}(\pi_1, (\tilde{X}, \tilde{x}_i))$  is a normal subgroup of  $\pi_1(X, x)$ . It is enough to prove that

$$[\gamma] H [\gamma]^{-1} = H \quad \text{for any } [\gamma] \in \pi_1(X, x).$$

Let  $\tilde{\gamma}$  be the unique lift of  $\gamma$  starting at  $\tilde{x}_0$ .

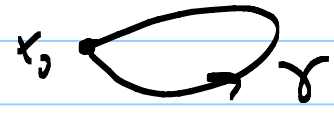


$$\tilde{\gamma} \cdot \pi_1(\tilde{X}, \tilde{x}_0) \xrightarrow{\tilde{\gamma}} \pi_1(\tilde{X}, \tilde{x}_0)$$

$$\downarrow P_{\#}$$

$$P \downarrow$$

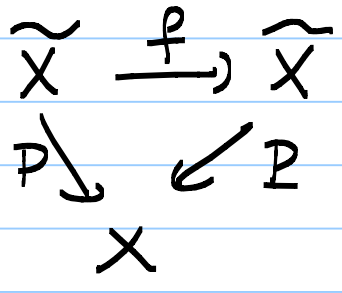
$$[\gamma] \cdot H_1 \cdot [\gamma]^{-1} = H, \text{ where}$$



$$H_1 = P_{\#}(\pi_1(\tilde{X}, \tilde{x}_0))$$

By assumption there is a deck transformation  $f \in G(\tilde{X})$  so that  $f(\tilde{x}_0) = \tilde{x}_1$ .

In particular,  $P_{\#}(\pi_1(\tilde{X}, \tilde{x}_1)) = \pi_1(\tilde{X}, \tilde{x}_0)$ , since  $f$  is a homeomorphism. Since  $\underline{P} = \underline{P} \circ f$  we

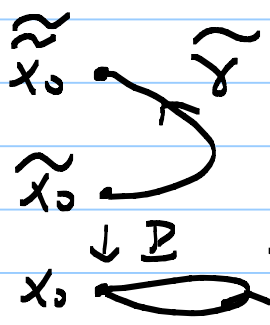


get

$$\begin{aligned} H &= P_{\#}(\pi_1(\tilde{X}, \tilde{x}_1)) = P_{\#}(f_{\#}(\pi_1(\tilde{X}, \tilde{x}_0))) \\ &= P_{\#}(\pi_1(\tilde{X}, \tilde{x}_0)) \\ &= H_1. \end{aligned}$$

Hence,  $H = H_1 = [\gamma] H [\gamma]^{-1}$ , where  $[\gamma] \in \pi_1(X, x_0)$  is any element. Thus  $H \triangleleft \pi_1(X, x_0)$ .

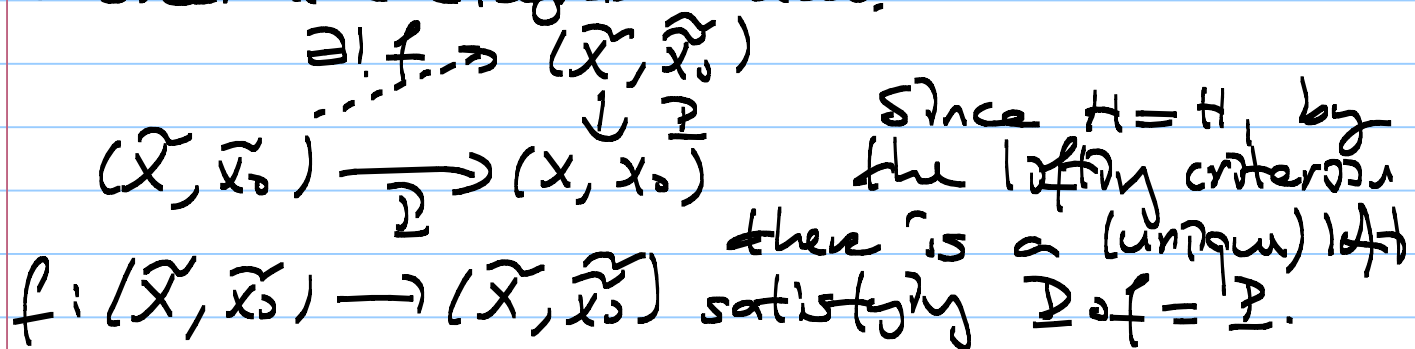
Now assume that  $H$  is normal in  $\pi_1(X, x_0)$ . Let  $\tilde{x}_0$  and  $\tilde{x}_1$  lie above  $x_0$  and choose a path  $\tilde{\gamma}$  joining  $\tilde{x}_1$  to  $\tilde{x}_0$ . Let  $\gamma = P(\tilde{\gamma})$ .



Let  $H_1 = P_{\#}(\pi_1(\tilde{X}, \tilde{x}_0))$  as above.

$$\text{Then } H_1 = [\gamma]^{-1} H [\gamma] = H$$

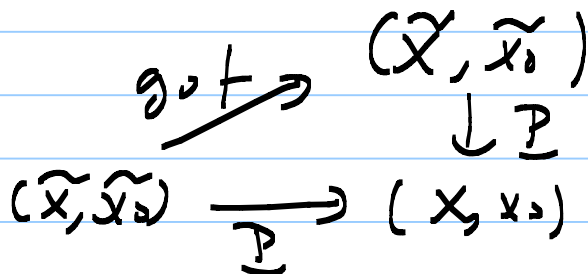
Consider the diagram below:



Similarly, there is a unique  $g: (\tilde{X}, \tilde{x}_0) \rightarrow (\tilde{X}, \tilde{x}_1)$  so that  $\underline{P} \circ g = \underline{P}$ .

Now,  $g \circ f: (\tilde{X}, \tilde{x}_0) \rightarrow (\tilde{X}, \tilde{x}_1)$  satisfies

$\underline{P} \circ (g \circ f) = (\underline{P} \circ g) \circ f = \underline{P} \circ f = \underline{P}$  and thus  $g \circ f$  is the unique lift of



However,  $\tau_{d\tilde{x}_1}$  is also a lift of  $\underline{P}: (\tilde{X}, \tilde{x}_1) \rightarrow (X, x_0)$  taking  $\tilde{x}_1$  to  $\tilde{x}_0$ . Now by the uniqueness of the lift we see that

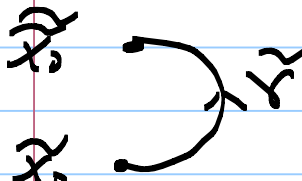
$$g \circ f = \tau_{d\tilde{x}_1}.$$

Similarly,  $f \circ g = \tau_{d\tilde{x}_0}$ , so that  $f$  is a homeomorphism and thus a deck transformation. Therefore,  $P: \tilde{X} \rightarrow X$  is a normal cover.

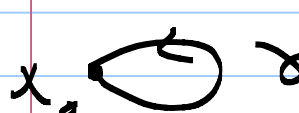
This finishes the proof of (a).

b) To prove that  $N(H)/H \cong G(\tilde{X})$  we construct a group homomorphism  $\varphi: N(H) \rightarrow G(\tilde{X})$  which is onto and  $\ker \varphi = H$ .

Indeed, we will use the above arguments: Namely, if  $[\gamma] \in N(H)$  then  $[\gamma]^{-1}H[\gamma] = H$ . Let  $\tilde{\gamma}$  be the unique lift of  $\gamma$  starting at  $\tilde{x}_0$ .



$H = \mathcal{P}_{\#}(\pi, (\tilde{X}, \tilde{x}_0))$   
 $H_1 = \mathcal{P}_{\#}(\pi, (\tilde{X}, \tilde{x}_0))$



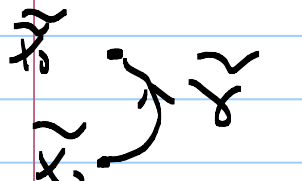
$H = [\gamma] H_1 [\gamma]^{-1}$

Hence, there is a unique deck transformation  $f: \tilde{X} \rightarrow \tilde{X}$  taking  $\tilde{x}_0$  to  $\tilde{x}_0$ .

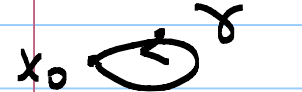
Now we define  $\varphi: N(H) \rightarrow G(\tilde{X})$  as

$\varphi([\gamma]) = f$ .

$\varphi$  is onto: let  $f \in G(\tilde{X})$ , and set  $\tilde{x}_0 = f(\tilde{x}_0)$ .



Choose a path  $\tilde{\gamma}$  joining  $\tilde{x}_0$  to  $\tilde{x}_0$ . The  $\gamma = \mathcal{P}_0 \tilde{\gamma}$  is a loop at  $x_0$  and by the definition of  $\varphi$



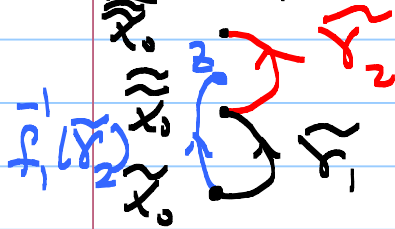
$\varphi([\gamma]) = f$ .

$\varphi$  is a group homomorphism: let  $[\gamma_1]$  and  $[\gamma_2]$

be two elements in  $N(H)$ . Let  $\gamma_1$  be the unique

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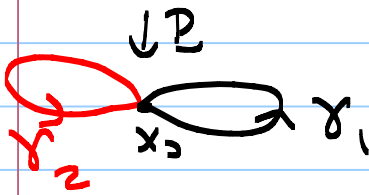
left of  $\gamma_1$  starting at  $\tilde{x}_0$  and  $\tilde{\gamma}_2$  be the left of  $\gamma_2$  starting at  $\tilde{x}_0 = \tilde{\gamma}_1(\tilde{x}_0)$ . Then  $\tilde{\gamma}_1 \cdot \tilde{\gamma}_2$  is the left of  $\gamma_1 \cdot \gamma_2$  starting at  $\tilde{x}_0$ .



but  $\psi([\gamma_1]) = f_1$  and

$\psi([\gamma_1][\gamma_2]) = f$ . So,  $f_1(\tilde{x}_0) = \tilde{x}_1$   
and  $f_1(\tilde{x}_0) = \tilde{x}_0$ .

Also note that  $f_1^{-1}(\tilde{x}_2)$  is the left of  $\gamma_2$  starting at  $\tilde{x}_0$ .



Let  $\psi([\gamma_2]) = f_2$ , then  $f_2(\tilde{x}_0) = z$ . Note that  $f_1^{-1}(\tilde{x}_0) = z$ .

$$\begin{aligned} \text{Now, } (\psi([\gamma_1]) \circ \psi([\gamma_2]))(\tilde{x}_0) &= (f_1 \circ f_2)(\tilde{x}_0) \\ &= f_1(f_2(\tilde{x}_0)) \\ &= f_1(z) \\ &= f_1(f_1^{-1}(\tilde{x}_0)) \\ &= \tilde{x}_0 \\ &= \psi([\gamma_1] \cdot [\gamma_2])(\tilde{x}_0). \end{aligned}$$

Since a deck transformation is uniquely determined by its image at a single point we see that

$$\psi([\gamma_1] \cdot [\gamma_2]) = \psi([\gamma_1]) \circ \psi([\gamma_2]).$$

Hence,  $\psi$  is a homomorphism.

ker  $\varphi = H$ : let  $\varphi([\gamma]) = \gamma_d \tilde{x}$ . s. 1, of

$f = \varphi([\gamma])$  then  $f(\tilde{x}_0) = \tilde{x}_0$ . Then the unique lift  $\tilde{\gamma}$  of  $\gamma$  starting at  $\tilde{x}_0$  is a loop. Hence,  $[\tilde{\gamma}] \in \pi_1(\tilde{X}, \tilde{x}_0)$  so that

$$[\gamma] = p([\tilde{\gamma}]) \in p_{\#}(\pi_1(\tilde{X}, \tilde{x}_0)) = H.$$

Hence,  $\ker \varphi \subseteq H$ . The other direction  $H \subseteq \ker \varphi$  can be similarly.

For the last statement if the cover is normal then  $N(H) = \pi_1(X, x_0)$  and thus

$$G(\tilde{X}) \cong N(H)/H = \pi_1(X, x_0)/H.$$

Finally, if  $\tilde{X}$  is the universal covering then  $H = \{e\}$  so that

$$G(\tilde{X}) \cong \pi_1(X, x_0).$$

### Proof of the Corollary:

let  $p: \tilde{X} \rightarrow X$  be a normal covering and  $[\gamma] \in \pi_1(X, x_0)$ .  
let  $\tilde{\gamma}$  be the lift of  $\gamma$  starting at  $\tilde{x}_0$ .

If  $\tilde{x}_0 \in p^{-1}(x_0)$  is another point above  $x_0$  then  $g(\tilde{\gamma})$  is the lift of  $\gamma$  starting at  $\tilde{x}_0$ , where  $g \in G(\tilde{X})$  with  $g(\tilde{x}_0) = \tilde{x}_0$ .

$\tilde{x}_0 \xrightarrow{g} \tilde{x}_0$  Since the two lifts  $\tilde{\gamma}$  and  $g(\tilde{\gamma})$  are homeomorphic (via  $g$ ) they are both loop or both non loop.

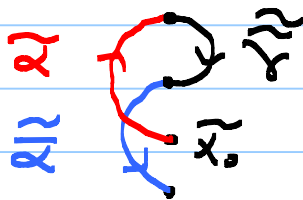
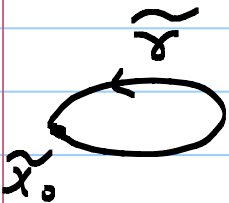
$x_0 \xrightarrow{\gamma} x_0$

This finishes the proof of one direction.

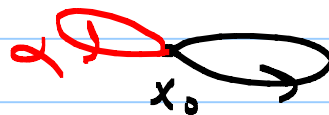
Now assume the covering and thus the subgroup  $H$  is not normal. Then there is some  $[\gamma] \in H$  and  $[\alpha] \in \pi_1(X, x_0)$  so that

$$[\alpha \gamma \bar{\alpha}] \notin H.$$

Hence, the lift  $\tilde{\gamma}$  of  $\gamma$  starting at  $\tilde{x}_0$  is a loop, whereas the lift  $\tilde{\alpha} \cdot \tilde{\gamma} \cdot \bar{\tilde{\alpha}}$  is not a loop at  $\tilde{x}_0$ .



This implies that the lift  $\tilde{\gamma}$  of  $\gamma$  starting at  $\tilde{\alpha}(1)$  cannot be a loop, since in that case the lift of  $\bar{\alpha}$  at  $\tilde{\alpha}(1)$ , would



take the point  $\tilde{\alpha}(1)$  back to point  $\tilde{\alpha}(0) = \tilde{x}_0$ , which would imply that the lift of  $\alpha \gamma \bar{\alpha}$  at  $\tilde{x}_0$  is a loop, a contradiction. This finishes the proof. ■

## Group Actions of Spaces:

Let  $G$  be a group and  $Y$  a topological space. We say that  $G$  acts on  $Y$  via homeomorphisms if there is a homomorphism

$\varphi: G \rightarrow \text{Homeo}(Y)$ , the group of homeomorphisms of  $Y$ .

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Note that if  $\tilde{X} \rightarrow X$  is a covering then  $G(\tilde{X})$  is a subgroup of  $\text{Homeo}(\tilde{X})$ .

If  $\varphi: G \rightarrow \text{Homeo}(Y)$  is injective then we say that the action is faithful.

Property (\*): Each point  $y \in Y$  has a neighborhood  $U$  such that all the images  $g(U)$  for varying  $g \in G$  are disjoint. In other words,

$$g_1(U) \cap g_2(U) \neq \emptyset \text{ implies } g_1 = g_2.$$

Let  $G$  acts on a space  $Y$  and the action set off to the property (\*).

$$y \in Y, y \in U \text{ (*), } g_1(U) \cap g_2(U) \neq \emptyset \Rightarrow g_1 = g_2.$$

Two if  $g_1 \neq g_2$  then  $g_1(U) \cap g_2(U) = \emptyset$ .

$$\text{Let } X = Y/G = Y/\sim \quad y_1 \sim y_2 \Leftrightarrow y_1 = g(y_2) \\ \text{for some } g \in G.$$

$X$  is the space of  $G$ -orbits of  $Y$ .

Proposition: Assume the above setup. Then,

a) The quotient map  $p: Y \rightarrow Y/G = X$ ,  $p(y) = G(y)$  ( $G(y) = \{g(y) \mid g \in G\}$ ) is a normal covering space.



b)  $G$  is the Deck transformation group of the covering space if  $Y$  is path connected.

c)  $G$  is isomorphic to  $\pi_1(Y/G) / p_{\#}(\pi_1(Y))$  if  $Y$  is path connected and locally path connected.

Proof:  $p: Y \longrightarrow Y/G = X$

Let  $x = G(y)$ . Choose an open subset  $U \subseteq Y$  of  $y \in U$  and  $U$  satisfies the property (1).

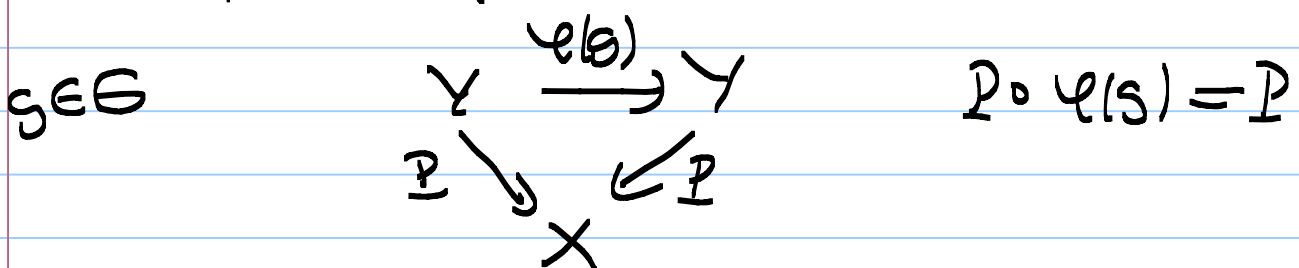
If  $V = p(U)$  then  $p^{-1}(V) = \bigcup_{g \in G} g(U)$ , which is a disjoint union of open subsets. In particular,  $p^{-1}(V)$  is open and  $p|_{g(U)}: g(U) \rightarrow V$  is a homeomorphism.

Hence,  $p: Y \rightarrow Y/G = X$  is a covering space.

Note also that (assuming the action is faithful) the cover  $p: Y \rightarrow X$  is a  $|G|$ -fold cover.

Hence, the group isomorphisms of  $p: Y \rightarrow X$ ,  $G(Y)$  has cardinality at most  $|G|$ .

On the other hand, every  $g \in G$  defines an isomorphism of this cover:



- $y$
- $g(y)$
- 

$$\dot{G} = G(y) = \{g(y) \mid g \in G\}$$

By definition  $G$  acts transitively on each orbit. Hence, the covering is normal.

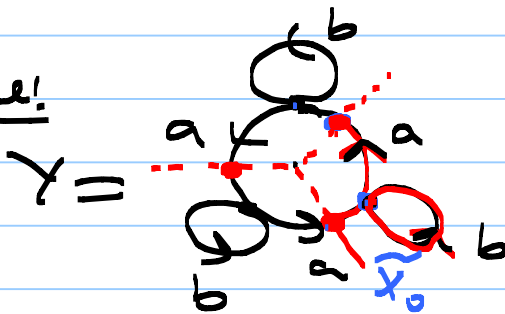
In particular,  $G \cong G(y)$  the group Deck transformations.

For part (c),  $G(y) \cong N(H)/H$ , where

$H = p_{\#}(\pi_1(Y, \bar{x}_0))$ . Since the cover is normal

$N(H) = \pi_1(X, x_0)$ . So,  $G(y) \cong \pi_1(X, x_0) / p_{\#}(\pi_1(Y, \bar{x}_0))$ .

Example!

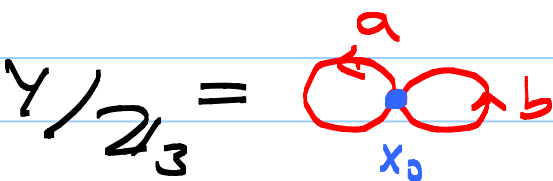


$$\mathbb{Z}_3 = \langle \sigma \rangle$$

$$\sigma: \mathbb{R}^2 \rightarrow \mathbb{R}^2$$

$\sigma$ :  $2\pi/3$  radian counterclockwise rotation.

$$\sigma = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}, \quad \theta = 2\pi/3.$$



$Y \rightarrow Y/\mathbb{Z}_3$  regular  $\mathbb{Z}_3$  covering.

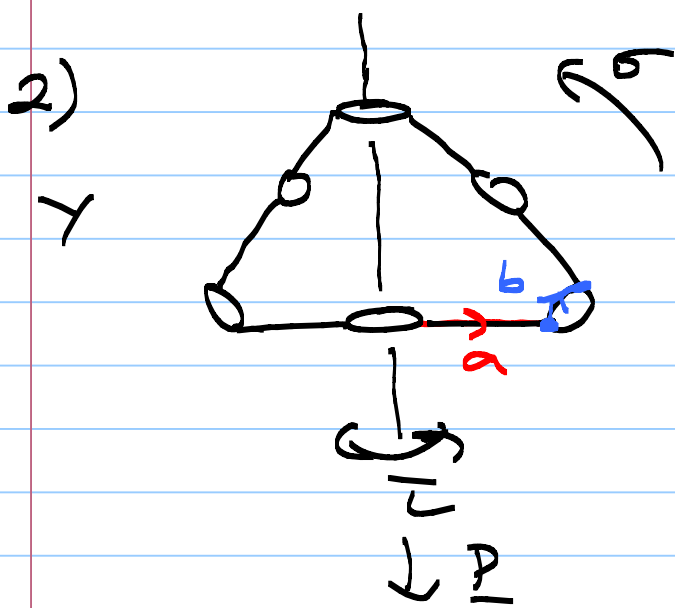
$$\pi_1(Y/\mathbb{Z}_3) = F_2 = \langle a, b \rangle$$

$Y$  is homotopy equivalent to  $\bigvee_4 S^1$  and thus  $\pi_1(Y) \cong F_4$ .

$$P_{\#}(\pi_1(Y)) = \langle a, b, a b \bar{a}^{-1}, a^2 b \bar{a}^{-2} \rangle$$

$P_{\#}(\pi_1(Y))$  is a normal subgroup of  $F_2$  with

$$F_2 / P_{\#}(\pi_1(Y)) \cong \mathbb{Z}_3.$$



$$G = S_3 = \langle \sigma, \tau \mid \sigma^3, \tau^2, \tau \sigma \tau \sigma \rangle$$

$\sigma$ :  $2\pi/3$  rotation  
 $\tau$ : reflection w.r.t.  $y$ -axis.

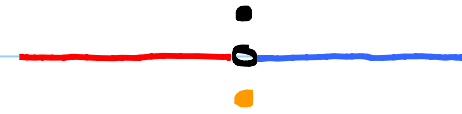
$$Y/S_3 =$$

$$\pi_1(Y) \cong F_4$$

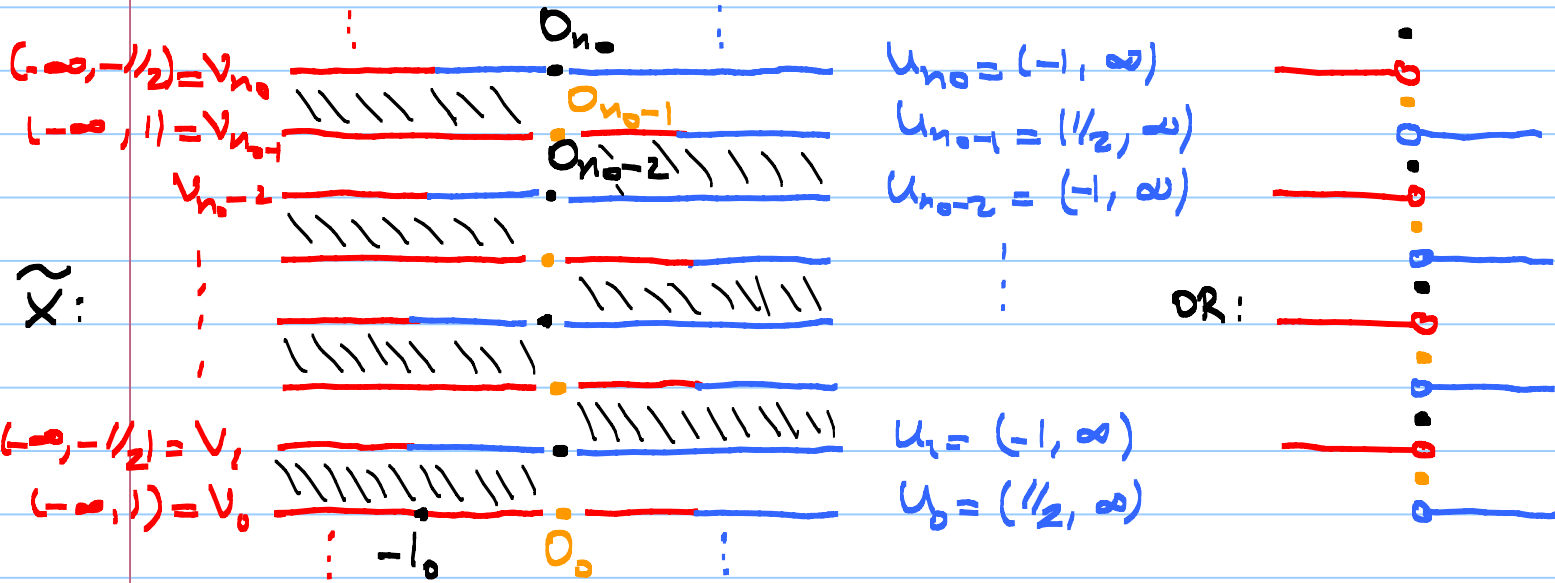
$$\pi_1(X) = F_2 = \langle a, b \mid \rightarrow$$

$F_2 \cong P_{\#}(\pi_1(Y)) \triangleleft \pi_1(X) \cong F_2$  and the normal quotient is isomorphic to  $S_3$ .

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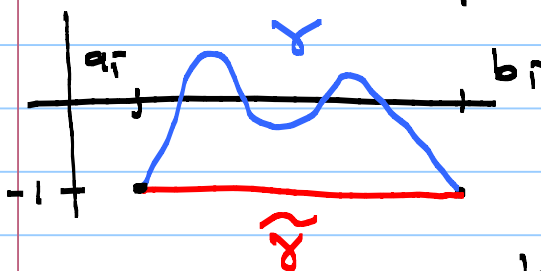
3)  $X$ :  the real line with double origin.

The universal cover  $\tilde{X}$  of  $X$  is the following space  $\tilde{X} = \mathbb{R} \times \mathbb{Z} / \sim$ , where  $(x, n) \sim (x, n+1)$  if and only if  $(x < 0$  and  $n$  is even) or  $(x > 0$  and  $n$  is odd).



Let  $\gamma: [0, 1] \rightarrow \tilde{X}$  be a loop at  $-1_0 = \{-1\} \times \{0\}$ . Each  $\mathbb{R} \times \{n\}$  is open and they cover  $\tilde{X}$ . Since  $\gamma([0, 1])$  is compact it is contained in the union of finitely many of them, say  $\gamma([0, 1]) \subseteq \mathbb{R} \times \{0, 1, \dots, n_0\}$ .

$\gamma^{-1}(U_{n_0})$  is a disjoint of open intervals (if  $n_0 \geq 1$ ), whose union contain the compact subset  $\gamma^{-1}(0_{n_0})$ . Thus only finitely many of these intervals, say  $(a_1, b_1), \dots, (a_k, b_k)$  satisfy  $0_{n_0} \in \gamma^{-1}((a_i, b_i))$ ,  $i=1, \dots, k$ . Clearly,  $\gamma(a_i) = \gamma(b_i) = -1$ , for each  $\gamma^{-1}([a_i, b_i])$  with  $\gamma^{-1}([a_i, b_i])$ .



Now the new loop never takes the value  $0_{n_0}$ , i.e., it takes only negative values of  $\mathbb{R} \times \{n_0\}$ . In particular, we have homotoped  $\gamma$  to a loop, whose image lying in  $\mathbb{R} \times \{0, 1, \dots, n_0-1\}$ .

By induction we see that we can homotope  $\gamma$  to a loop lying in  $\mathbb{R} \times \{0\}$ . Clearly, we can homotope  $\gamma$  further to constant loop at  $\{-1\} \times \{0\} = -1_0$ .

Let  $\sigma: \tilde{X} \rightarrow \tilde{X}$ ,  $\sigma(x, n) = (x, n+2)$ . The deck transformation group  $G(\tilde{X})$  of  $p: \tilde{X} \rightarrow X$  is the infinite cyclic group generated by  $\sigma$ .

In particular,  $\pi_1(X)$  is infinite cyclic.

Proposition: Let  $X, Y$  be Hausdorff space, where  $X$  is compact and  $Y$  is connected. If  $f: X \rightarrow Y$  is a map, which is locally a homeomorphism near each point, then  $f$  is a finite sheeted covering space.

Proof is again left as an exercise.

## CHAPTER 2: Homology

### Simplicial Homology:

$\Delta$ -complex:  $\Delta^n = \{(t_0, \dots, t_n) \in \mathbb{R}^{n+1} \mid t_i \geq 0, \sum t_i = 1\}$

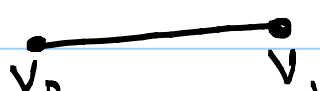
the standard  $n$ -simplex in  $\mathbb{R}^{n+1}$ .

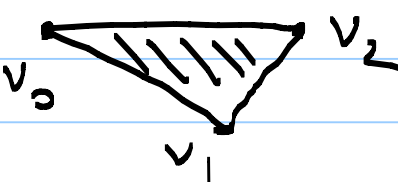
More generally,  $\{v_0, v_1, \dots, v_n\}$  is a set of vectors in  $\mathbb{R}^m$  such that

$\{v_1 - v_0, v_2 - v_0, \dots, v_n - v_0\}$  is linearly independent

then the  $n$ -simplex determined by  $\{v_0, v_1, \dots, v_n\}$  is defined by

$$[v_0, v_1, \dots, v_n] = \left\{ \sum_{i=0}^n t_i v_i \mid t_i \geq 0, \sum_{i=0}^n t_i = 1 \right\}$$

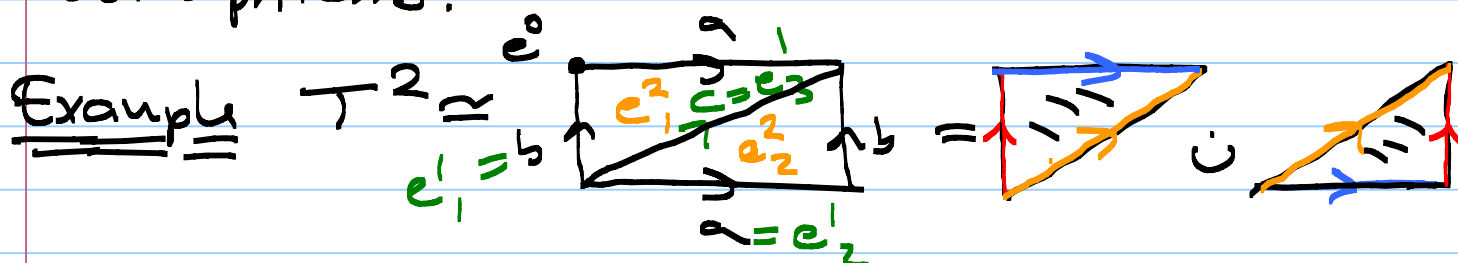
$[v_0, v_1]$  

$[v_0, v_1, v_2]$  

Note that  $[v_0, v_1, \dots, v_n]$  is homeomorphic to  $\Delta^n$  by the map

$$\Delta^n \rightarrow [v_0, v_1, \dots, v_n], (t_0, \dots, t_n) \mapsto \sum_{i=0}^n t_i v_i.$$

A delta complex is a quotient space of some disjoint union of simplices, where certain faces of simplices are identified by linear isomorphisms.



A face of  $[v_0, v_1, \dots, v_n]$  is  $[v_0, \dots, v_{i-1}, v_{i+1}, \dots, v_n]$

Simplicial Homology: Let  $X$  be a  $\Delta$ -complex.

Define  $\Delta_n(X)$  as the free abelian group with basis the open  $n$ -simplices  $e_\alpha^n \in X$ .

Remark  $\Delta^n \cong D^n$  and thus each  $\Delta$ -complex is a CW-complex.

Example For the  $\Delta$ -complex structure for  $T^2$

$$\Delta_0(T^2) = \langle e^0 \rangle \cong \mathbb{Z}$$

$$\Delta_1(T^2) = \langle e^1_1, e^1_2, e^1_3 \rangle \cong \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$$

$$\Delta_2(T^2) = \langle e^2_1, e^2_2 \rangle \cong \mathbb{Z} \oplus \mathbb{Z}$$

Note that elements of  $\Delta_n(X)$  have the form

$$\sum_{\alpha} n_{\alpha} e_{\alpha}^n, \text{ where } n_{\alpha} = 0 \text{ for all}$$

but finitely many  $\alpha$ .

$i^{\text{th}}$  element deleted

Boundary of Simplex:

$$\partial([v_0, v_1, \dots, v_n]) = \sum_{i=0}^n (-1)^i [v_0, v_1, \dots, \hat{v}_i, \dots, v_n]$$

Any element of  $\Delta_n(X)$  is called an  $n$ -chain.

Hence boundary of an  $n$ -chain is an  $(n-1)$ -chain.

If  $\sigma \in \Delta_n(X)$ , say  $\sigma = \sum n_\alpha e_\alpha^n$ , then we define

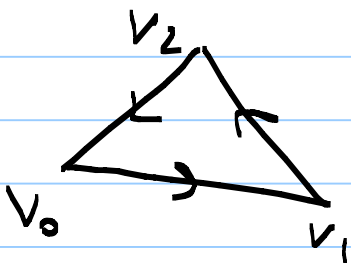
$$\partial(\sigma) = \sum n_\alpha \partial e_\alpha^n.$$

Example:  $\partial[v_0] = 0$ .

$$\begin{aligned} \partial[v_0, v_1] &= (-1)^0 [v_1] + (-1)^1 [v_0] \\ &= [v_1] - [v_0] \end{aligned}$$

$$\partial\left(\begin{array}{c} \xrightarrow{\quad} \\ v_0 \quad v_1 \end{array}\right) = \begin{array}{c} \bullet \\ -v_0 \end{array} \quad \begin{array}{c} \bullet \\ v_1 \end{array}$$

$$\partial[v_0, v_1, v_2] = [v_1, v_2] - [v_0, v_2] + [v_0, v_1]$$





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lemma: If  $\partial_n: \Delta_n(X) \rightarrow \Delta_{n-1}(X)$  denotes the boundary homomorphism, then  $\partial_{n-1} \circ \partial_n = 0$ , for all  $n \geq 1$ .

Note that this implies  $\text{Im}(\partial_n) \subseteq \ker(\partial_{n-1})$

Definition: The  $n$ th  $\Delta$ -homology group of a  $\Delta$ -complex  $X$  is defined as the quotient group

$$H_n^\Delta(X) = \frac{\ker(\partial_{n-1})}{\text{Im}(\partial_n)}$$

Proof: It is enough to prove that

$$\partial_{n-1} \circ \partial_n([v_0, \dots, v_n]) = 0 \text{ for any } n\text{-simplex.}$$

$$\partial_{n-1}(\partial_n[v_0, \dots, v_n]) = \partial_{n-1}\left(\sum_{\hat{i}=0}^n (-1)^{\hat{i}} [v_0, \dots, \hat{v}_i, \dots, v_n]\right)$$

$$= \sum_{\hat{i}=0}^n (-1)^{\hat{i}} \partial_{n-1}([v_0, \dots, \hat{v}_i, \dots, v_n])$$

$$= \sum_{\hat{i}=0}^n \sum_{\hat{j}=0}^{\hat{i}-1} (-1)^{\hat{i}} (-1)^{\hat{j}} [v_0, \dots, \hat{v}_j, \dots, \hat{v}_i, \dots, v_n]$$

$$+ \sum_{\hat{i}=0}^n \sum_{\hat{j}=\hat{i}+1}^n (-1)^{\hat{i}} (-1)^{\hat{j}-1} [v_0, \dots, \hat{v}_i, \dots, \hat{v}_j, \dots, v_n]$$

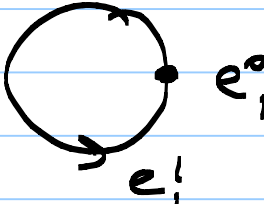
$$= \sum_{j < i} (-1)^{\hat{T}+j} [v_0, \dots, \hat{v}_j, \dots, \hat{v}_i, \dots, v_n]$$

$$+ \sum_{\hat{T} < j} (-1)^{\hat{T}+j-1} [v_0, \dots, \hat{v}_j, \dots, \hat{v}_i, \dots, v_n]$$

$$= \sum_{j < \hat{T}} (-1)^{\hat{T}+j} [v_0, \dots, \hat{v}_j, \dots, \hat{v}_i, \dots, v_n]$$

$$+ \sum_{j < \hat{T}} (-1)^{\hat{T}+j-1} [v_0, \dots, \hat{v}_j, \dots, \hat{v}_i, \dots, v_n]$$

$$= 0.$$

Examples: 1)  $X = \delta^1 =$    $e^0,$   
 $e^0 = [v_0]$   $\Delta^0$

$$e^1 = [v_0, v_1] \quad v_0 \xrightarrow{\Delta^1} v_1$$

$$C_0(X) = \mathbb{Z} [e^0] \cong \mathbb{Z}$$

$$C_1(X) = \mathbb{Z} [e^1] \cong \mathbb{Z}$$

$$\partial e^1 = \partial [v_0, v_1] = [v_1] - [v_0]$$

$$0 = C_2(X) \rightarrow C_1(X) \rightarrow C_0(X) \rightarrow 0$$

$$\begin{array}{ccc} \cong & & \cong \\ \mathbb{Z} & & \mathbb{Z} \\ \cong & & \cong \\ \langle e^1 \rangle & & \langle e^0 \rangle \end{array}$$

$$[v_0, v_1] \mapsto [v_1] - [v_0] = [v_0] - [0] = 0$$

$$0 \xrightarrow{\partial_2} \mathbb{Z} \xrightarrow{\partial_1} \mathbb{Z} \xrightarrow{\partial_0} 0$$

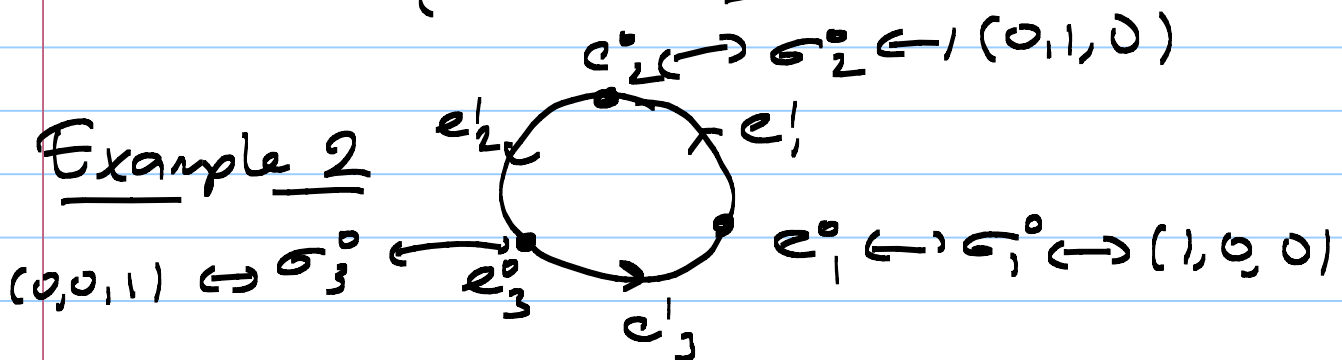
$C_1$                        $C_2$   
 "                      "  
 $\partial_1 = 0$                       "  
 "                      "  
 $\partial_0 = 0$

$$H_1(S^1) = \frac{\ker \partial_1}{\text{Im } \partial_2} = \frac{\mathbb{Z}}{(0)} \cong \mathbb{Z}$$

$$H_0(S^1) = \frac{\ker \partial_0}{\text{Im } \partial_1} = \frac{\mathbb{Z}}{(0)} \cong \mathbb{Z}$$

Since  $C_k(S^1) = 0$  for  $k \geq 2$ ,  $H_k(S^1) = 0$ .

$$H_k(S^1) \cong \begin{cases} \mathbb{Z} & k=0,1 \\ 0 & k \geq 2. \end{cases}$$



$$\sigma_i^0: [v_0] \rightarrow S^1 \quad i=1,2,3$$

$$\sigma_i^1: [v_0, v_1] \rightarrow S^1 \quad i=1,2,3$$

$$C_k = 0 \text{ for } k \geq 2, \quad C_1 = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$$

$\langle \sigma_1^1 \rangle \quad \langle \sigma_2^1 \rangle \quad \langle \sigma_3^1 \rangle$

$$C_0 = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$$

$\langle \sigma_1^0 \rangle \quad \langle \sigma_2^0 \rangle \quad \langle \sigma_3^0 \rangle$

$$0 = \mathbb{Z} \xrightarrow{\partial_2} \mathbb{Z} \xrightarrow{\partial_1} \mathbb{Z} \xrightarrow{\partial_0} 0$$

$\begin{array}{ccc} & & \mathbb{Z}^3 \\ & \parallel & \\ & \mathbb{Z}^3 & \\ & \parallel & \\ & \mathbb{Z}^3 & \end{array}$

$$\sigma_1' = (1, 0, 0), \quad \sigma_2' = (0, 1, 0), \quad \sigma_3' = (0, 0, 1)$$

$$\partial_1 \sigma_1' = (0, 1, 0) - (1, 0, 0)$$

$$\partial_1 \sigma_2' = (0, 0, 1) - (0, 1, 0)$$

$$\partial_1 \sigma_3' = (1, 0, 0) - (0, 0, 1)$$

$$0 \rightarrow \mathbb{Z}^3 \xrightarrow{\partial_1} \mathbb{Z}^3 \xrightarrow{\partial_0} 0$$

$$\partial_1 = \begin{bmatrix} -1 & 0 & 1 \\ 1 & -1 & 0 \\ 0 & 1 & -1 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & -1 \\ 0 & -1 & 1 \\ 0 & 1 & -1 \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & -1 \\ 0 & -1 & 1 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\ker \partial_1 \cong \mathbb{Z} = \langle \sigma_1' + \sigma_2' + \sigma_3' \rangle$$

$$\text{Im } \partial_1 \cong \langle (-1, 1, 0), (0, -1, 1), (1, 0, -1) \rangle$$

$$H_k(S^1) = 0 \quad \forall k \geq 2.$$

$$H_1(S^1) = \frac{\ker \partial_1}{\text{Im } \partial_2} \cong \frac{\mathbb{Z}}{(0)} \cong \mathbb{Z} \cong \langle \sigma_1' + \sigma_2' + \sigma_3' \rangle.$$

$$H_0(S^1) = \frac{\ker \partial_0}{\text{Im } \partial_1} = \frac{\mathbb{Z}^3}{\text{Im } \partial_1} = \frac{\langle \cancel{(-1, 1, 0)}, \cancel{(0, -1, 1)}, (0, 0, 1) \rangle}{\langle \cancel{(-1, 1, 0)}, \cancel{(0, -1, 1)} \rangle}$$

$$\cong \langle \overline{(0, 0, 1)} \rangle = \langle \overline{(1, 0, 0)} \rangle = \langle \overline{(1, 0, 0)} \rangle$$

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Definition: The group  $\ker \partial_k: C_k(X) \rightarrow C_{k-1}(X)$  is called the group of  $k$ -cycles of  $X$ .

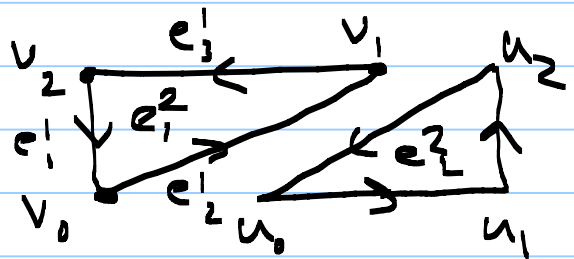
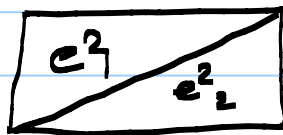
Similarly, the group  $\text{Im}(\partial_{k+1}: C_{k+1}(X) \rightarrow C_k(X))$  is called the group of  $k$ -boundaries of  $X$ .

Notation:  $Z_k(X) = \ker \partial_k \subseteq C_k(X)$

$B_k(X) = \text{Im} \partial_{k+1} \subseteq C_k(X)$

$B_k(X) \subseteq Z_k(X)$  and  $H_k(X) = \frac{Z_k(X)}{B_k(X)}$ .

3)  $T^2: X$



$$\partial e_1^2 = \partial [v_0, v_1, v_2] = [v_1, v_2] - [v_0, v_2] + [v_0, v_1]$$

$$\partial e_2^2 = \partial [u_0, u_1, u_2] = [u_1, u_2] - [u_0, u_2] + [u_0, u_1]$$

$$\partial(e_1^2 - e_2^2) = 0.$$

For any 1-simplex  $e_i^1$ ,  $\partial e_i^1 = 0$  because there is only one 0-simplex.

$$0 = C_3(X) \xrightarrow{\partial_3} C_2(X) \xrightarrow{\partial_2} C_1(X) \xrightarrow{\partial_1=0} C_0(X) \xrightarrow{\partial_0} 0$$

$\downarrow$   
 $\mathbb{Z}$

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$$0 \rightarrow C_2(X) \xrightarrow{\partial_2} C_1(X) \xrightarrow{\partial_1=0} C_0(X) \rightarrow 0$$

$$\underset{\cong}{\mathbb{Z} \oplus \mathbb{Z}} \quad \underset{\cong}{\mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}}$$

$$\langle \sigma_1^2, \sigma_2^2 \rangle$$

$$\partial_2 \sigma_1^2 = \sigma_1^1 + \sigma_2^1 + \sigma_3^1 = \partial_2 \sigma_2^2$$

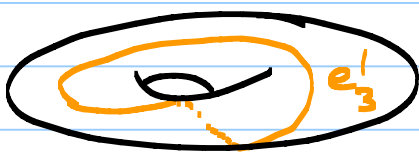
$$\sigma_2 = \begin{bmatrix} | & | \\ | & | \\ | & | \end{bmatrix} \quad \ker \sigma_2 = \langle \sigma_1^2 - \sigma_2^2 \rangle = \langle (1, -1) \rangle \cong \mathbb{Z}$$

$$\text{Im } \sigma_2 = \langle (1, 1, 1) \rangle$$

$$H_2(T^2) = \frac{\ker \sigma_2}{\text{Im } \sigma_3} = \frac{\mathbb{Z}}{0} = \mathbb{Z} = \langle \sigma_1^2 - \sigma_2^2 \rangle$$

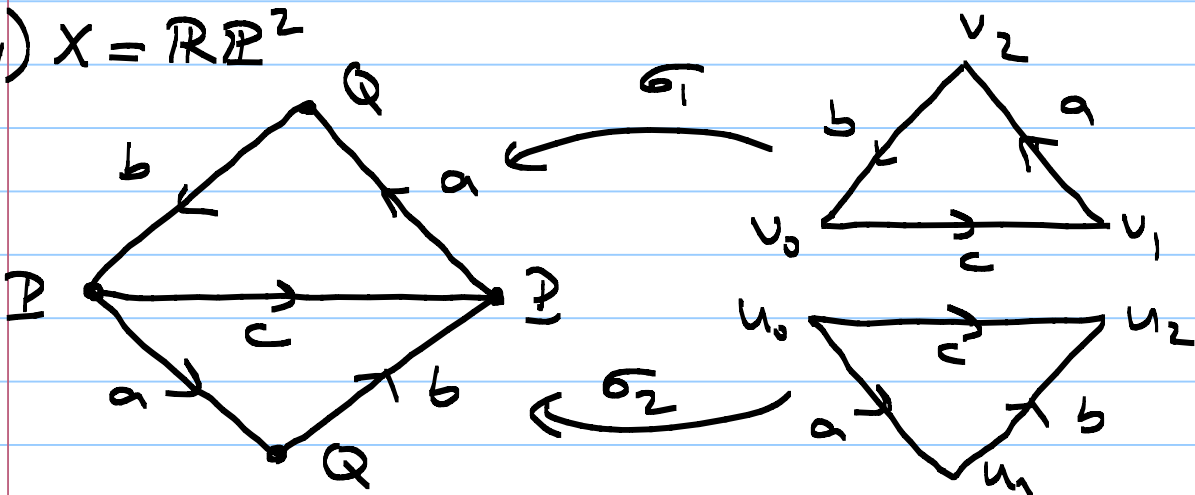
$$H_1(T^2) = \frac{\ker \sigma_1}{\text{Im } \sigma_2} = \frac{\mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}}{\langle (1, 1, 1) \rangle} = \frac{\langle (1, 0, 0), (0, 1, 0), (1, 1, 1) \rangle}{\langle (1, 1, 1) \rangle}$$

$$\cong \mathbb{Z} \oplus \mathbb{Z}$$



$$H_0(T^2) = \frac{\ker \partial_0}{\text{Im } \partial_1} = \frac{\mathbb{Z}}{(0)} \cong \mathbb{Z}$$

4)  $X = \mathbb{R}P^2$



$$\sigma_1 = [v_0, v_1, v_2], \quad \partial \sigma_1 = [v_1, v_2] - [v_0, v_2] + [v_0, v_1] \\ = a + b + c$$

$$\sigma_2 = [u_0, u_1, u_2], \quad \sigma_2 = [u_1, u_2] - [u_0, u_2] + [u_0, u_1] \\ = b - c + a$$

$$\partial a = Q - P, \quad \partial b = P - Q, \quad \partial c = P - Q = 0.$$

$$\begin{array}{ccccccc} 0 & \xrightarrow{\partial_3} & C_2(X) & \xrightarrow{\partial_2} & C_1(X) & \xrightarrow{\partial_1} & C_0(X) \xrightarrow{\partial_0} 0 \\ & & \parallel & & \parallel & & \parallel \\ & & \mathbb{Z} \oplus \mathbb{Z} & & \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z} & & \mathbb{Z} \oplus \mathbb{Z} \\ & & \parallel & \parallel & \parallel & \parallel & \parallel \\ & & \langle \sigma_1 \rangle & \langle \sigma_2 \rangle & \langle a \rangle \langle b \rangle \langle c \rangle & \langle \tau \rangle \langle \rho \rangle & \\ & & \parallel & \parallel & \parallel & \parallel & \parallel \\ & & \begin{pmatrix} 1 \\ 0 \end{pmatrix} & \begin{pmatrix} 0 \\ 1 \end{pmatrix} & \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} & \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} & \end{array}$$

$$\partial_2 \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \quad \partial_2 \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ -1 \end{pmatrix} \Rightarrow \partial_2 = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

$$\partial_1 \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} -1 \\ 1 \end{pmatrix}, \quad \partial_1 \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ -1 \end{pmatrix}, \quad \partial_1 \begin{pmatrix} 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

$$\partial_1 = \begin{pmatrix} -1 & 1 & 0 \\ 1 & -1 & 0 \end{pmatrix}.$$

rank  $\partial_2 = 2$  and hence  $\ker \partial_2 = 0$ .

$$\text{Im } \partial_2 = \left\langle \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ -1 \end{pmatrix} \right\rangle$$

$$\ker \partial_1 = \left\langle \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\rangle, \quad \text{Im } \partial_1 = \left\langle \begin{pmatrix} -1 \\ 1 \end{pmatrix} \right\rangle.$$

$$H_2(\mathbb{R}P^2) = \frac{\ker \partial_2}{\text{Im } \partial_2} = \frac{(0)}{(0)} = (0)$$

$$H_1(\mathbb{R}P^2) = \frac{\ker \partial_1}{\text{Im } \partial_2} = \frac{\langle \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \rangle}{\langle \begin{pmatrix} 1 \\ 1 \\ -1 \end{pmatrix} \rangle} = \frac{\langle \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \rangle}{\langle \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 2 \end{pmatrix} \rangle} \approx \frac{\mathbb{Z}}{2\mathbb{Z}} \approx \mathbb{Z}_2$$

$$H_0(\mathbb{R}P^2) = \frac{\ker \partial_0}{\text{Im } \partial_1} = \frac{\langle \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \rangle}{\langle \begin{pmatrix} -1 \\ 1 \end{pmatrix} \rangle} = \frac{\langle \begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \rangle}{\langle \begin{pmatrix} 1 \\ 1 \end{pmatrix} \rangle} \approx \mathbb{Z}$$

$$H_k(\mathbb{R}P^2) = \begin{cases} \mathbb{Z}_2, & k=1 \\ \mathbb{Z}, & k=0 \\ 0, & k \geq 2 \end{cases}$$

Remark: Although delta (or simplicial) homology is relatively easy to compute they are not functorial. It is functorial only under simplicial maps.

Therefore, we'll define a more universal homology theory for topological spaces.



## Singular Homology:

A singular  $n$ -simplex in a space  $X$  is just a map  $\sigma: \Delta^n \rightarrow X$ . Let  $C_n(X)$  denote the free abelian group with basis the set of all singular  $n$ -simplices in  $X$ . Elements of  $C_n(X)$  will be called  $n$ -chains in  $X$ . Hence,  $n$ -chains in  $X$  are just formal sums of the form

$$\sum_i n_i \sigma_i, \quad n_i \in \mathbb{Z}, \quad \sigma_i: \Delta^n \rightarrow X \text{ maps,}$$

where all but finitely many  $n_i$  are zero.

For a simplex  $\sigma: \Delta^n \rightarrow X$  its boundary is defined as

$$\partial\sigma = \sum (-1)^i \sigma|_{[v_0, \dots, \hat{v}_i, \dots, v_n]}, \text{ where}$$

$$\Delta^n = [v_0, \dots, v_i, \dots, v_n].$$

We've seen that  $\partial^2[v_0, \dots, v_n] = 0$  and this implies  $\partial^2\sigma = 0$  for all  $\sigma \in C_n(X)$ .

Hence  $\text{Im}(\partial_{n+1}: C_{n+1}(X) \rightarrow C_n(X))$

$$\subseteq \ker(\partial_n: C_n(X) \rightarrow C_{n-1}(X)).$$

So, we may define the  $n^{\text{th}}$  singular homology of  $X$  as the quotient group

$$H_n(X) = \frac{\ker \partial_n}{\text{Im} \partial_{n+1}}.$$

As before elements of  $\ker \partial_n$  are called singular  $n$ -cycles in  $X$  and denoted as

$$Z_n(X) = \ker \partial_n, \text{ and elements of } \text{Im } \partial_{n+1}$$

are called singular  $n$ -boundaries in  $X$  and denoted as

$$B_n(X) = \text{Im } \partial_{n+1}.$$

$$\text{So, } H_n(X) = \frac{Z_n(X)}{B_n(X)}$$

Remark: Let  $\alpha = \sum n_i \sigma_i$  be an  $n$ -cycle. So

$\partial \alpha = 0$ . Writing  $\sigma_i$ 's more than once we may assume that each nonzero  $n_i$  equals  $\pm 1$ .

$$\text{So, } \alpha = \sum_{\text{finite}} \epsilon_i \sigma_i \quad \epsilon_i = \pm 1.$$

$$0 = \partial \alpha = \sum \epsilon_i \underbrace{\partial \sigma_i}$$

By gluing  $\sigma_i$ 's as dictated by the relation

$$\sum_i \epsilon_i \partial \sigma_i = 0 \text{ along the boundaries of the}$$

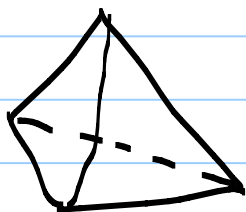
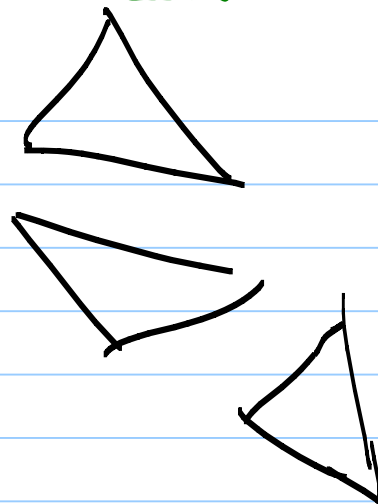
simplices  $\sigma_i$ 's we obtain a continuous map from a topological  $n$ -manifold into  $X$  whose restriction to each simplex is  $\sigma_i$ .

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$$\sigma_1 : [v_0, \dots, v_n] \rightarrow X$$

$$\sigma_2 : [u_0, \dots, u_n] \rightarrow X$$

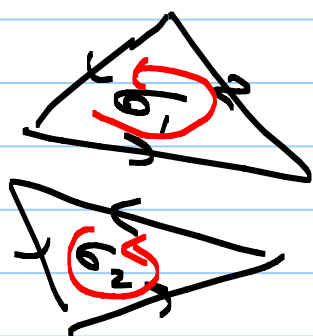
$$\sigma_3 : [w_0, \dots, w_n] \rightarrow X$$



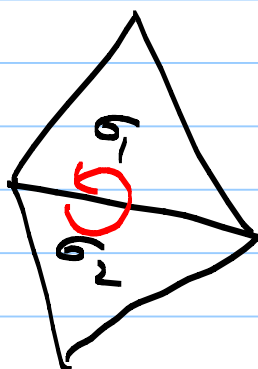
The resulting topological  $n$ -manifold is denoted  $\sim K_n$ .

In particular, any 1-cycle is represented by a map from  $S^1$ , the only 1-dim compact manifold.

Similarly, any 2-cycle is represented by a map from an closed orientable surface without boundary.



$$\sigma_1 + \sigma_2$$



So  $K_n$  cannot be  $\mathbb{R}P^2$ ,  $KB$  or any other closed non-orientable surface.

Proposition: Corresponding to the decomposition of a space  $X$  into its path components  $X_\alpha$  there is an isomorphism of  $H_n(X)$  with the direct sum  $\bigoplus_{\alpha} H_n(X_\alpha)$ .

Proof:  $X = \bigcup_{\alpha} X_\alpha$ ,  $X_\alpha$  path connected.

$\Delta^n$  is path connected and thus any singular simple  $\sigma: \Delta^n \rightarrow X$  has image in exactly one  $X_\alpha$ .

So  $C_n(X) \cong \bigoplus_{\alpha} C_n(X_\alpha)$ . Moreover,  $\partial_n$  respects

this decomposition:  $\sigma: \Delta_n \rightarrow X_\alpha \subseteq X \Rightarrow$

$\partial\sigma: \partial\Delta_n \rightarrow X_\alpha$  and thus

$$\begin{array}{ccc} C_n(X) \cong \bigoplus_{\alpha} C_n(X_\alpha) & & \\ \downarrow \partial_n & \downarrow \bigoplus \partial_n & \downarrow \partial_n \\ C_{n-1}(X) \cong \bigoplus_{\alpha} C_{n-1}(X_\alpha) & & \end{array}$$

Hence,  $Z_n(X) \cong \bigoplus_{\alpha} Z_n(X_\alpha)$  and  $B_n(X) \cong \bigoplus_{\alpha} B_n(X_\alpha)$

$$\text{so that } H_n(X) = \frac{Z_n(X)}{B_n(X)} = \frac{\bigoplus_{\alpha} Z_n(X_\alpha)}{\bigoplus_{\alpha} B_n(X_\alpha)} \cong \bigoplus_{\alpha} \left( \frac{Z_n(X_\alpha)}{B_n(X_\alpha)} \right)$$

$$\Rightarrow H_n(X) \cong \bigoplus_{\alpha} H_n(X_\alpha). \quad \bullet$$

Proposition: If  $X$  is nonempty and path-connected then  $H_0(X) \cong \mathbb{Z}$ . Hence, for any space  $X$ ,  $H_0(X)$  is a direct sum of  $\mathbb{Z}$ 's, one for each path connected component of  $X$ .

Proof: By definition  $H_0(X) = \frac{C_0(X)}{\text{Im } \partial_1}$ .

Define a homomorphism

$$\epsilon : C_0(X) \rightarrow \mathbb{Z} \text{ by } \epsilon\left(\sum_i n_i \sigma_i\right) = \sum_i n_i.$$

$\epsilon$  is onto since  $\epsilon(\sigma) = 1$ , for any 0-simplex

$$\sigma : [v_0] \rightarrow X.$$

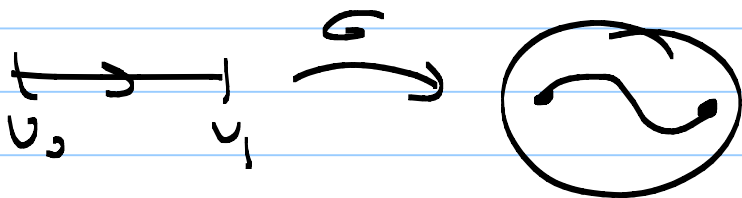
Claim:  $\ker \epsilon = \text{Im } \partial_1$ .

Note that the above claim proves the proposition:

$$H_0(X) = \frac{C_0(X)}{\text{Im } \partial_1} = \frac{C_0(X)}{\ker \epsilon} \cong \text{Im } \epsilon = \mathbb{Z}.$$

Proof of the claim:  $\text{Im } \partial_1 \subseteq \ker \epsilon$

$$\sigma : [v_0, v_1] \rightarrow X, \quad \partial_1 \sigma = \sigma|_{[v_1]} - \sigma|_{[v_0]}$$



$$\epsilon(\partial_1(\sigma)) = \epsilon(\sigma|_{[v_1]} - \sigma|_{[v_0]}) = 1 - 1 = 0.$$

Hence,  $\epsilon \circ \partial_1 = 0$  on  $C_0(X)$ , because it is zero at each basis element.

For the other direction, suppose that

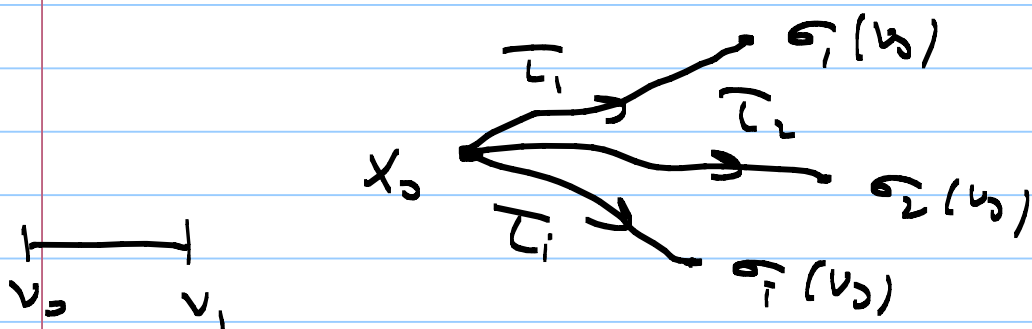
$$\epsilon(\sum n_i \sigma_i) = 0 \text{ for some } \alpha\text{-chain } \sum n_i \sigma_i.$$

Hence  $\sum n_i = 0$ . The  $\sigma_i$ 's are singular  $0$ -simplices, which are points of  $X$ .

$$\sigma_i : [v_0] \rightarrow X.$$

Choose paths  $\tau_i : I \rightarrow X$  from a base point  $x_0$  to  $\sigma_i(v_0)$  and let  $\sigma_0$  be the singular  $0$ -simplex with image  $x_0$ .

$$\sigma_0 : [v_0] \rightarrow X, \quad \sigma_0(v_0) = x_0.$$



We can view each  $\tau_i$  as a singular  $1$ -simplex

$$\tau_i : [v_0, v_1] \rightarrow X, \quad \tau_i(v_0) = x_0, \quad \tau_i(v_1) = \sigma_i(v_0).$$

$$\text{So, } \partial \tau_i = \sigma_i - \sigma_0, \text{ for all } i.$$

$$\text{Finally, } \partial(\sum_i n_i \tau_i) = \sum_i n_i \partial(\tau_i)$$

$$\begin{aligned}
\Rightarrow \partial\left(\sum_i n_i \tau_i\right) &= \sum_i n_i (\sigma_i - \sigma_0) \\
&= \sum_i n_i \sigma_i - \sum_i n_i \sigma_0 \\
&= \sum_i n_i \sigma_i - \underbrace{\left(\sum_i n_i\right)}_{=0} \sigma_0 \\
&= \sum_i n_i \sigma_i
\end{aligned}$$

Hence,  $\sum_i n_i \sigma_i \in \text{Im } \partial_1 \Rightarrow \ker \epsilon \subseteq \text{Im } \partial_1$ .  
 $\circ^{\circ}$   $\ker \epsilon = \text{Im } \partial_1$ . This proves the claim.  $\blacktriangleleft$

Proposition: If  $X$  is a point, then  $H_n(X) = 0$  for all  $n > 0$  and  $H_0(X) \cong \mathbb{Z}$ .

Proof: Any  $n$ -singular  $n$ -simplex  $\sigma_n: \Delta^n \rightarrow X = \{x_0\}$  is the constant map.

Thus  $C_n(X) = \langle \sigma_n \rangle \cong \mathbb{Z}$ .

$$C_n(X) \xrightarrow{\partial_n} C_{n-1}(X)$$

$$\partial_n(\sigma_n) = \sum_{i=0}^n (-1)^i \underbrace{\sigma_n|_{[\hat{v}_0, \dots, \hat{v}_i, \dots, v_n]}}_{\sigma_{n-1}} = \begin{cases} \sigma_{n-1} & \text{if } n \text{ is even} \\ 0 & \text{if } n \text{ is odd.} \end{cases}$$

provided that  $n \geq 1$ .

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$$\text{0) } C_{n+1} \xrightarrow{\partial_{n+1}} C_n \xrightarrow{\partial_n} C_{n-1} \xrightarrow{\partial_{n-1}} \dots$$

$\mathbb{Z} \quad \mathbb{Z} \quad \mathbb{Z}$

$$H_n(X) = \frac{\ker \partial_n}{\text{Im } \partial_{n+1}} = \frac{\mathbb{Z}}{\mathbb{Z}} = (0) \quad \text{if } n > 0.$$

$$H_{n+1}(X) = \frac{\ker \partial_{n+1}}{\text{Im } \partial_{n+2}} = \frac{(0)}{(0)} = (0).$$

This finishes the proof.  $\blacksquare$

Reduced Homology Groups:  $\tilde{H}_n(X)$ .

$$\dots \rightarrow C_n(X) \xrightarrow{\partial_n} C_{n-1}(X) \rightarrow \dots \rightarrow C_0(X) \xrightarrow{\epsilon} \mathbb{Z} \rightarrow 0$$

$$\text{If } n \geq 1, \quad \tilde{H}_n(X) = \frac{\ker \partial_n}{\text{Im } \partial_{n+1}} = H_n(X)$$

$$H_0(X) = \frac{\ker \partial_0}{\text{Im } \partial_1} = \frac{C_0(X)}{\text{Im } \partial_1} \cong \tilde{H}_0(X) \oplus \mathbb{Z}$$

Proof:  $\epsilon : C_0(X) \rightarrow \mathbb{Z}, \epsilon(\sum_i n_i \sigma_i) = \sum n_i$ .

$\ker \epsilon = \text{Im } \partial_1$ , if  $X$  is connected.

$$X = \cup X_\alpha \quad C_0(X) = \bigoplus C_0(X_\alpha) \xrightarrow{\epsilon} \mathbb{Z} \downarrow 1$$

$p_\alpha \in X_\alpha$

$$\bigoplus C_0(X_\alpha) \xrightarrow{\epsilon} \mathbb{Z} \left[ \begin{array}{c} \nearrow \epsilon \\ \searrow \epsilon \end{array} \right]$$

$\bigoplus H_0(X_\alpha) \xrightarrow{\epsilon} \mathbb{Z} \left[ \begin{array}{c} \nearrow \epsilon \\ \searrow \epsilon \end{array} \right]$



$$H_0(X_\alpha) \cong \mathbb{Z}$$

$$\tilde{H}_0(X) = \frac{\ker \epsilon}{\text{Im } \partial_1} = \ker(\bar{\epsilon}: \bigoplus \mathbb{Z}_\alpha \rightarrow \mathbb{Z})$$

$\alpha \uparrow$   
 $([p_\alpha])$   
 $[p_\alpha]$

Fix some  $\alpha_0$ .

$$= \langle [p_\alpha] - [p_{\alpha_0}] \mid \alpha \in \Lambda, \alpha \neq \alpha_0 \rangle$$

= free abelian group of rank one less than rank of  $|\Lambda|$ .

$$0 \rightarrow \tilde{H}_0(X) \rightarrow H_0(X) \xrightarrow{\bar{\epsilon}} \mathbb{Z} \rightarrow 0$$

$$\Rightarrow H_0(X) \cong \tilde{H}_0(X) \oplus \mathbb{Z}$$

Homotopy Invariance:

let  $f: X \rightarrow Y$  be a continuous map. Then  $f$  induces a homomorphism on the chain level as follows:

$$f_\# : C_n(X) \rightarrow C_n(Y) \text{ defined by}$$

$$f_\#(\sum_i n_i \sigma_i) = \sum_i n_i (f \circ \sigma_i)$$

$$\sigma_i : \Delta^n \rightarrow X \text{ cont. map}$$

$$\begin{array}{ccc}
 C_n(X) & \xrightarrow{f_{\#}} & C_n(Y) \\
 \partial_n \downarrow & & \downarrow \partial_n
 \end{array}
 \text{ is commutative.}$$

$$\begin{array}{ccc}
 C_{n-1}(X) & \xrightarrow{f_{\#}} & C_{n-1}(Y)
 \end{array}$$

$$(\partial_n \circ f_{\#})(\sigma) = \partial_n(f \circ \sigma) = \sum_i (-1)^i f \circ \sigma \Big|_{[\hat{v}_0, \dots, \hat{v}_i, \dots, v_n]}$$

$$\begin{aligned}
 f \circ \sigma &: \Delta^n \rightarrow Y \\
 \Delta^n &= [v_0, \dots, v_n]
 \end{aligned}$$

$$\begin{aligned}
 f_{\#}(\partial_n \sigma) &= f_{\#} \left( \sum_i (-1)^i \sigma \Big|_{[\hat{v}_0, \dots, \hat{v}_i, \dots, v_n]} \right) \\
 &= \sum_i (-1)^i (f \circ \sigma) \Big|_{[\hat{v}_0, \dots, \hat{v}_i, \dots, v_n]}
 \end{aligned}$$

Hence,  $f_{\#} \circ \partial_n = \partial_n \circ f_{\#}$ .

If  $\sum n_i \sigma_i \in Z_n(X)$ , then

$$\partial_n(f_{\#}(\sum n_i \sigma_i)) = f_{\#}(\underbrace{\partial_n(\sum n_i \sigma_i)}_{=0}) = 0$$

and hence,  $f_{\#}(\sum n_i \sigma_i) \in Z_n(Y)$ .

Similarly,  $f_{\#}(\partial_n(\sum n_i \sigma_i)) = \partial_n(f_{\#}(\sum n_i \sigma_i))$

implies that  $f_{\#}(B_n(X)) \subseteq B_n(Y)$ .

$$f_{\#} : C_n(X) \rightarrow C_n(Y)$$

$$f_{\#}(Z_n(X)) \subseteq Z_n(Y)$$

$$f_{\#}(B_n(X)) \subseteq B_n(Y)$$

$$\begin{array}{ccc}
 Z_n(X) & \xrightarrow{f_{\#}} & Z_n(Y) \\
 \downarrow & \searrow & \downarrow \\
 Z_n(X)/B_n(X) & \xrightarrow{f_{\#}} & Z_n(Y)/B_n(Y) \\
 \parallel & & \parallel \\
 H_n(X) & \xrightarrow{f_{\#}} & H_n(Y)
 \end{array}$$

So, any continuous map  $f: X \rightarrow Y$  induces a homomorphism

$$f_{\#} : H_n(X) \rightarrow H_n(Y).$$

Proposition: If  $f: X \rightarrow Y$  and  $g: Y \rightarrow Z$  are continuous maps then we have

$$(f \circ g)_{\#} = f_{\#} \circ g_{\#}.$$

Moreover, the identity map  $\text{id}_X : X \rightarrow X$

induces identity homomorphism

$$(\text{id}_X)_{\#} = \text{id}_{H_n(X)} : H_n(X) \rightarrow H_n(X).$$

Proof is left as an exercise.

Theorem: If two maps  $f, g: X \rightarrow Y$  are homotopic then  $f_* = g_*: H_n(X) \rightarrow H_n(Y)$

Corollary: If  $f: X \rightarrow Y$  is a homotopy equivalence then  $f_*$  is an isomorphism.

Proof of the Corollary: Let  $g: Y \rightarrow X$  be a homotopy inverse for  $f$ . Then  $f \circ g \sim \text{id}_Y$  and  $g \circ f \sim \text{id}_X$ . By the above theorem  $(\text{id}_X)_* = (g \circ f)_* \Rightarrow \text{id} = g_* \circ f_*$ , and  $(\text{id}_Y)_* = (f \circ g)_* \Rightarrow \text{id} = f_* \circ g_*$ .

$$H_n(X) \begin{array}{c} \xrightarrow{f_*} \\ \xleftarrow{g_*} \end{array} H_n(Y)$$

Hence,  $f_*$  is an isomorphism.  $\square$

Corollary: If  $f$  is homotopic to the constant map then  $f_* = 0$ , if  $n \geq 1$ .

Proof: Assume that  $f$  is homotopic to the constant map  $g: X \rightarrow Y$ ,  $g(x) = y_0$ .

Then  $f_*: H_n(X) \rightarrow H_n(Y)$

is equal to the homomorphism induced by the composition

$$\begin{array}{ccc}
 X & \xrightarrow{g_0} & \{y_0\} \xrightarrow{\tilde{\tau}} Y \\
 & \searrow & \nearrow \\
 & & g
 \end{array}
 \qquad g = \tilde{\tau} \circ g_0$$

$$f_{\#} = g_{\#} = (\tilde{\tau} \circ g_0)_{\#} = \tilde{\tau}_{\#} \circ g_{0\#}$$

$$g_{0\#} : H_n(X) \rightarrow H_n(\{y_0\}) = (0) \text{ if } n \geq 1.$$

Hence,  $f_{\#} = 0$  if  $n \geq 1$ . =

Corollary If  $X$  is a contractible space then  $\tilde{H}_n(X) = (0)$  for all  $n$ .

Proof  $\text{id} : X \rightarrow X$  is homotopic to the constant map.

So  $\text{id}_{\#} : H_n(X) \rightarrow H_n(X)$  is trivial if  $n \geq 1$ .

Hence,  $H_n(X) = (0)$  if  $n \geq 1$ .  $\Rightarrow \tilde{H}_n(X) = (0)$

For  $n=0$ , note that  $X$  is connected. So

$H_0(X) \cong \mathbb{Z}$  and  $\tilde{H}_0(X) = (0)$ , because we have

$$H_n(X) \cong \tilde{H}_0(X) \oplus \mathbb{Z}.$$

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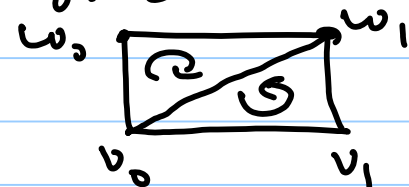
Proof of the Theorem (Homotopy Invariance):

$f, g: X \rightarrow Y$  homotopic, so there is a homotopy

$$F: X \times I \rightarrow Y, \quad F(x, 0) = f(x) \text{ and } F(x, 1) = g(x).$$

$\Delta^n \times I = \text{union of } n+1 \text{-simplices}$

Ex  $\Delta^1 \times I = [v_0, v_1] \times I$

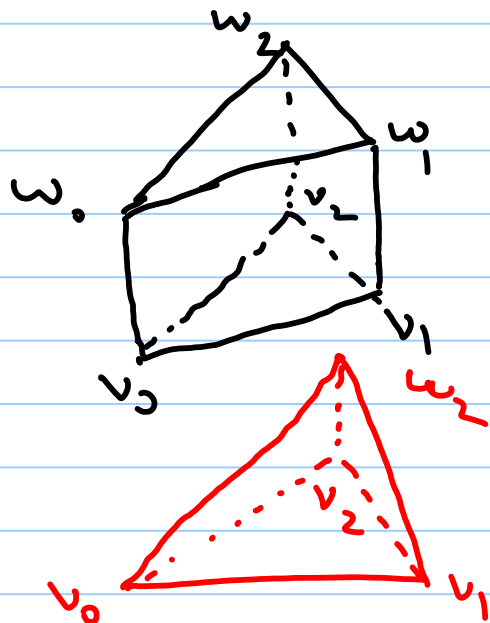

$$= [v_0, v_1, w_1] \cup [v_0, w_0, w_1]$$

In general, if  $\Delta^n = [v_0, \dots, v_n]$  then we have

$$\Delta^n \times I = \bigcup_{i=0}^n [v_0, \dots, v_i, w_i, \dots, w_n], \text{ where}$$

$$\Delta^n \times \{0\} = [v_0, \dots, v_n], \quad \Delta^n \times \{1\} = [w_0, \dots, w_n].$$

$$\begin{aligned} \Delta^2 \times I &= [v_0, v_1, v_2] \times I \\ &= [v_0, v_1, v_2, w_2] \\ &\cup [v_0, v_1, w_1, w_2] \\ &\cup [v_0, w_0, w_1, w_2] \end{aligned}$$



Using the above setup we define so called the  
Prism Operator

$$P: C_n(X) \rightarrow C_{n+1}(Y) \text{ by}$$

$$P(\sigma) = \sum_i (-1)^i F_0(\sigma \times \text{id}) | [v_0, \dots, v_i, w_0, \dots, w_n]$$

$$\sigma: \Delta^n \rightarrow X, \quad \Delta^n \times I \xrightarrow{\sigma \times \text{id}} X \times I \xrightarrow{F} Y$$

Claim:  $\partial P = g_{\#} - f_{\#} - P\partial$

$$\begin{array}{ccccc} C_{n+1}(X) & \xrightarrow{\partial} & C_n(X) & \xrightarrow{\partial} & C_{n-1}(X) \\ \downarrow & \searrow P & \downarrow & \searrow P & \downarrow \\ C_n(Y) & \xrightarrow{\partial} & C_{n-1}(Y) & \xrightarrow{\partial} & C_{n-2}(Y) \end{array}$$

$$\partial P, P\partial: C_n(X) \rightarrow C_n(Y)$$

Proof of the claim:

$$\begin{aligned} \partial P(\sigma) &= \sum_{j \leq i} (-1)^i (-1)^j F_0(\sigma \times \text{id}) | [v_0, \dots, \hat{v}_j, \dots, v_i, w_0, \dots, w_n] \\ &+ \sum_{j \geq i} (-1)^i (-1)^{j+1} F_0(\sigma \times \text{id}) | [v_0, \dots, v_i, w_0, \dots, \hat{w}_j, \dots, w_n] \end{aligned}$$

The terms  $i=j$  in the two summations  
cancel except for  $F_0(\sigma \times \text{id}) | [\hat{v}_0, w_0, \dots, w_n]$

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which is  $g \circ \sigma = g_{\#}(\sigma)$ , and  $-F_0(\sigma \times \tau) | [v_0, \dots, v_n, w_0, \dots, w_n]$

which is  $-f \circ \sigma = -f_{\#}(\sigma)$

The terms with  $i \neq j$  add up to exactly  $-P\partial(\sigma)$  since,

$$P\partial(\sigma) = \sum_{i < j} (-1)^i (-1)^j F_0(\sigma \times \tau) | [v_0, \dots, v_i, w_0, \dots, \hat{v}_j, \dots, w_n] \\ + \sum_{i > j} (-1)^{i-1} (-1)^j F_0(\sigma \times \tau) | [v_0, \dots, \hat{v}_j, \dots, v_i, w_0, \dots, w_n]$$

Hence,  $\partial P + P\partial = g_{\#} - f_{\#}$ .

Finishing the proof:

must show  $f_{\#} = g_{\#} : H_n(X) \rightarrow H_n(Y)$ .

Let  $\sum n_i \sigma_i \in Z_n(X)$ . Then

$$f_{\#}(\sum n_i \sigma_i) - g_{\#}(\sum n_i \sigma_i) = (\partial P + P\partial)(\sum n_i \sigma_i) \\ = \underbrace{\partial(P(\sum n_i \sigma_i))}_{\in B_n(Y)} + \underbrace{\partial(\partial(\sum n_i \sigma_i))}_{\mathbf{0}}$$

So  $f_{\#}(\alpha) = g_{\#}(\alpha)$ , where  $\alpha = [\sum n_i \sigma_i] \in H_n(X)$ .

This finishes the proof of the theorem. ■



## Exact Sequences and Excision

An exact sequence of groups, rings or modules is a sequence of the form

$$(A_\alpha) \dots \rightarrow A_{n+1} \xrightarrow{\alpha_{n+1}} A_n \xrightarrow{\alpha_n} A_{n-1} \rightarrow \dots,$$

where  $A_i$ 's are algebraic objects and  $\alpha_i$ 's are morphisms satisfying

$$\ker \alpha_n = \operatorname{Im} \alpha_{n+1},$$

for all  $n$ .

If  $\operatorname{Im} \alpha_{n+1} \subseteq \ker \alpha_n$  for all  $n$ , then we call  $A_\alpha$  a chain complex.

If  $A_\alpha = (A_n, \alpha_n)$  is a chain complex then the  $n^{\text{th}}$  homology is defined as

$$H_n(A_\alpha) = \frac{\ker \alpha_n}{\operatorname{Im} \alpha_{n+1}}.$$

Remark: (i)  $0 \rightarrow A \xrightarrow{\alpha} B$  is exact iff  $\ker \alpha = 0$ , i.e.,  $\alpha$  is injective.  
(ii)  $A \xrightarrow{\alpha} B \rightarrow 0$  is exact iff  $\operatorname{Im} \alpha = B$ , i.e.,  $\alpha$  is surjective.

(iii)  $0 \rightarrow A \xrightarrow{\alpha} B \rightarrow 0$  is exact iff  $\alpha$  is an isomorphism.

(iv)  $0 \rightarrow A \xrightarrow{\alpha} B \xrightarrow{\beta} C \rightarrow 0$  is exact iff

$\alpha$  is injective,  $\beta$  is surjective and  $\ker \beta = \operatorname{Im} \alpha$ .

Example 1)  $0 \rightarrow \mathbb{Z} \xrightarrow{\times 2} \mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z} \rightarrow 0$

$\overset{A}{\parallel}$ 
 $\overset{B}{\parallel}$ 
 $\overset{C}{\parallel}$

is exact.

2)  $0 \rightarrow \mathbb{Z} \rightarrow \mathbb{Z} \oplus \mathbb{Z} \rightarrow \mathbb{Z} \rightarrow 0$  is exact

$\overset{A}{\parallel}$ 
 $\overset{B}{\parallel}$ 
 $\overset{C}{\parallel}$

$n \mapsto (n, 0)$   
 $(n, m) \mapsto m$

In the second example  $B \cong A \oplus C$  but not in the first example.

$X$  topological space,  $A \subseteq X$  subspace.

$X/A$  quotient space

Aim: To obtain a relation among  $H_n(X)$ ,  $H_n(A)$  and  $H_n(X/A)$ .

Theorem: If  $X$  is a space and  $A$  is nonempty subspace of  $X$  that is a deformation retract of some neighborhood in  $X$ , then there is an exact sequence

$$\begin{aligned} \cdots \rightarrow \tilde{H}_n(A) \xrightarrow{\tau_{\#}} \tilde{H}_n(X) \xrightarrow{\jmath_{\#}} \tilde{H}_n(X/A) \xrightarrow{\partial} \tilde{H}_{n-1}(A) \rightarrow \tilde{H}_{n-1}(X) \rightarrow \cdots \\ \rightarrow \tilde{H}_0(X/A) \rightarrow 0, \end{aligned}$$

where  $\tau_{\#}$  and  $\jmath_{\#}$  are induced by the inclusions  $\tau: A \rightarrow X$  and the quotient map  $\jmath: X \rightarrow X/A$ .

Pairs of spaces  $(X, A)$  satisfying the hypotheses of the above theorem are called good pairs.

Remark We'll see in the proof that an element  $x \in \tilde{H}(X/A)$  is represented by a chain  $\alpha$  in  $X$  with  $\partial\alpha$  a cycle in  $A$  and the map

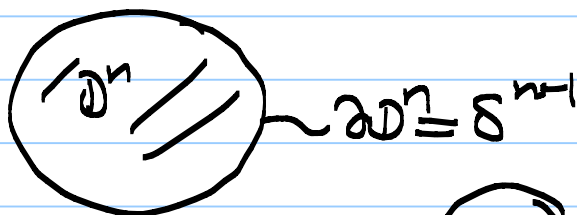
$$\begin{aligned} H_n(X/A) &\xrightarrow{\partial} H_{n-1}(A) \\ x = [\alpha] &\longmapsto \partial x = [\partial\alpha] \end{aligned}$$

Remark If  $X$  is CW-complex and  $A \subseteq X$  a subcomplex then  $(X, A)$  is a good pair. (Appendix 5)

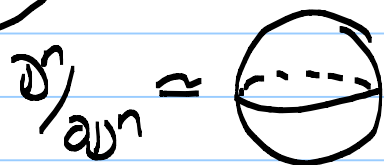
Corollary  $\tilde{H}_n(S^n) \cong \mathbb{Z}$  and  $\tilde{H}_i(S^n) = 0$  if  $i \neq n$ .

Proof: Let  $n > 0$ . Take  $(X, A) = (D^n, \partial D^n = S^{n-1})$

so that  $X/A = S^n$ .



$\tilde{H}_i(D^n) = 0$  since  $D^n$  is contractible.



$$\dots \rightarrow \tilde{H}_{i+1}(S^{n-1}) \rightarrow \tilde{H}_{i+1}(D^n) \rightarrow \tilde{H}_{i+1}(D^n/S^{n-1}) \xrightarrow{\partial} \tilde{H}_i(S^{n-1}) \rightarrow \tilde{H}_i(D^n)$$

$$0 \rightarrow \tilde{H}_{i+1}(D^n/S^{n-1}) \xrightarrow{\partial} \tilde{H}_i(S^{n-1}) \rightarrow 0$$

Hence,  $\partial: \tilde{H}_{i+1}(S^n) \rightarrow \tilde{H}_i(S^n)^{-1}$  is an isomorphism for all  $i \geq 0, n \geq 1$ .

$$i = n \Rightarrow \tilde{H}_n(S^n) \cong \tilde{H}_{n-1}(S^{n-1}) \cong \dots \cong \tilde{H}_0(S^0) \cong \mathbb{Z}$$

$$\left( S^0 = \{ \pm 1 \}, H_0(S^0) = \mathbb{Z} \oplus \mathbb{Z} \rightarrow \mathbb{Z} \right)$$

$$\begin{array}{ccc} \mathbb{1} & \xrightarrow{\quad} & \mathbb{1} \\ \uparrow & & \downarrow \\ & \xrightarrow{\quad} & \mathbb{1} \end{array}$$

$i < n, \tilde{H}_i(S^n) \cong \dots \cong \tilde{H}_0(S^{n-i}) = 0$  because  $S^{n-i}$  is connected.

$$i > n, \tilde{H}_i(S^n) \cong \dots \cong \tilde{H}_{n-i}(S^0) = \tilde{H}_{n-i}(\{ \pm 1 \}) \oplus \tilde{H}_{n-i}(\{ -1 \}) = 0$$

This finishes the proof of the corollary.

Corollary  $\partial D^n = S^{n-1}$  is not a retract of  $D^n$ .

Hence, every map  $f: D^n \rightarrow D^n$  has a fixed point.

Proof: If  $r: D^n \rightarrow \partial D^n = S^{n-1}$  is a retraction,

then  $r \circ \tau = \tau \circ \text{id}_{S^{n-1}}$ , where  $\tau: \partial D^n = S^{n-1} \rightarrow D^n$

$$x \longmapsto x$$

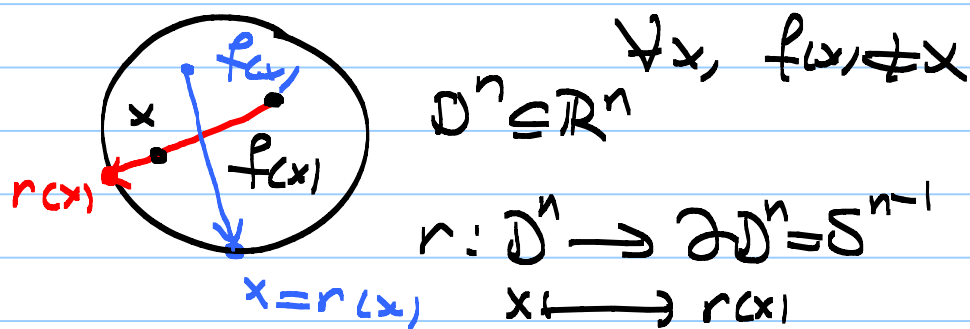
$$S^{n-1} \xrightarrow{\tau} D^n \xrightarrow{r} S^{n-1}$$

$$\Rightarrow \begin{array}{ccccc} \tilde{H}_{n-1}(S^{n-1}) & \xrightarrow{\tau_{\#}} & \tilde{H}_{n-1}(D^n) & \xrightarrow{r_{\#}} & \tilde{H}_{n-1}(S^{n-1}) \\ \cong \mathbb{Z} & & \cong (0) & & \cong \mathbb{Z} \end{array}$$

so that  $r_{\#} \circ \tau_{\#} = (r \circ \tau)_{\#} = (\text{id}_{S^{n-1}})_{\#} = \gamma_{\#} \tilde{H}_{n-1}(S^{n-1})$ .

This is a contradiction since  $\tilde{H}_{n-1}(D^n) = 0$ .

For the second statement note that if  $f: D^n \rightarrow D^n$  is a map without any fixed points then we obtain a retraction  $r: D^n \rightarrow S^{n-1}$  as follows:



(Exercise: Show that  $r$  is continuous)

Clearly  $r(x) = x$  if  $x \in \partial D^n = S^{n-1}$ , so that  $r$  is a retraction. This proves that any continuous  $f: D^n \rightarrow D^n$  must have a fixed point.  $\square$

To prove the above theorem we need to introduce so called relative homology groups:

Let  $X$  be a space and  $A \subseteq X$  any subspace. Define  $C_n(X, A)$  as the quotient abelian group

$$C_n(X, A) = C_n(X) / C_n(A)$$

$$(\sigma: \Delta^n \rightarrow A \subseteq X \Rightarrow C_n(A) \subseteq C_n(X))$$

$$C_n(X) \xrightarrow{\partial} C_{n-1}(X) \rightarrow C_{n-1}(X) / C_{n-1}(A) = C_{n-1}(X, A)$$

If  $\sum n_i \sigma_i \in C_n(A) \subseteq C_n(X)$ , then

$$\partial(\sum n_i \sigma_i) = \sum n_i \partial \sigma_i \in C_{n-1}(A).$$

Hence, the above composition maps  $C_n(A)$  to zero in  $C_{n-1}(X, A)$ . Thus it descends to a homomorphism

$$\begin{array}{ccc} C_n(X) & \xrightarrow{\partial} & C_{n-1}(X) \rightarrow C_{n-1}(X) / C_{n-1}(A) = C_{n-1}(X, A) \\ \downarrow & & \nearrow \\ C_n(X) & & \\ \text{is } C_n(A) & & \\ C_n(X, A) & \xrightarrow{\partial} & \end{array}$$

making the diagram commutative.

$$\partial: C_n(X, A) \rightarrow C_{n-1}(X, A).$$

Since  $\partial^2 = 0$  on the complex  $C_*(X)$  we see that  $\partial^2 = 0$  on  $C_*(X, A)$ .

$$C_{n+1}(X, A) \xrightarrow{\partial_{n+1}} C_n(X, A) \xrightarrow{\partial_n} C_{n-1}(X, A)$$

In  $\partial_{nn} \in \ker \partial_n$  so that we may define

$$H_n(X, A) = \frac{\ker \partial_n}{\text{Im } \partial_{n+1}}, \text{ the } n^{\text{th}} \text{ relative homology}$$

group of the pair  $(X, A)$ .

$$H_n(X, A) = \frac{Z_n(X, A)}{B_n(X, A)}$$

$$Z_n(X, A) = \ker \partial_n: \underset{C_n(X)/C_n(A)}{C_n(X, A)} \rightarrow \underset{C_{n-1}(X)/C_{n-1}(A)}{C_{n-1}(X, A)}$$

$$\alpha \in C_n(X), \quad \alpha \in C_n(X)/C_n(A)$$

$$\partial \alpha = 0 \text{ in } C_{n-1}(X, A) = C_{n-1}(X)/C_{n-1}(A)$$

$$\Leftrightarrow \partial \alpha \in C_{n-1}(A).$$

So a relative cycle in  $C_n(X, A)$  is a class in  $C_n(X)$  s.t.  $\partial \alpha \in C_{n-1}(A)$ .

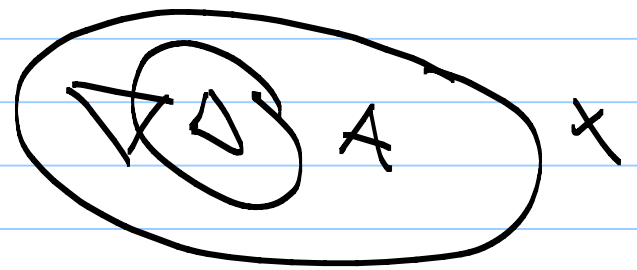
Note that  $\alpha = \alpha + \beta$  for any  $\beta \in C_n(A)$  as regarded elements of  $C_n(X, A)$

Also a relative cycle  $\alpha$  is trivial in  $H_n(X, A)$  iff  $\alpha = \partial \beta + \gamma$  for some  $\beta \in C_{n+1}(X)$  and  $\gamma \in C_n(A)$ .

Remark:  $C_n(A)$  is a direct summand of  $C_n(X)$

because  $C_n(X)$  has a basis which is an extension of a basis of  $C_n(A)$ .

$$\begin{aligned} \sigma: \Delta_n &\rightarrow A \\ \sigma: \Delta_n &\rightarrow X \end{aligned}$$



$B_A = \{\sigma : \Delta_n \rightarrow X \mid \sigma \text{ cont.}\}$  is a basis for  $C_n(A)$ .

$B_A^\perp = \{\sigma : \Delta_n \rightarrow X \mid \sigma(\Delta_n) \not\subset A\}$

$$B_A \cap B_A^\perp = \emptyset$$

$B_A \cup B_A^\perp = \{\sigma : \Delta_n \rightarrow X \mid \sigma \text{ cont.}\}$  is a basis for  $C_n(X)$ .

$$\begin{aligned} \text{Hence, } C_n(X) &= \langle B_A \rangle \oplus \langle B_A^\perp \rangle \\ &= C_n(A) \oplus \langle B_A^\perp \rangle, \text{ which} \end{aligned}$$

$$\text{implies } C_n(X)/C_n(A) \cong \langle B_A^\perp \rangle \subseteq C_n(X)$$

so that we may regard  $C_n(X)/C_n(A)$  as a subgroup of  $C_n(X)$ , spanned by singular simplices  $\sigma : \Delta \rightarrow X$  whose image not lying in  $A$ .

Aim: Show that  $H_n(A)$ ,  $H_n(X)$  and  $H_n(X, A)$  fit into the long exact sequence

$$\begin{aligned} \cdots \rightarrow H_n(A) \xrightarrow{\tilde{i}_n} H_n(X) \xrightarrow{\tilde{j}_n} H_n(X, A) \xrightarrow{\partial} H_{n-1}(A) \xrightarrow{\tilde{i}_{n-1}} H_{n-1}(X) \rightarrow \cdots \\ \rightarrow H_0(A) \rightarrow H_0(X) \rightarrow H_0(X, A) \rightarrow 0. \end{aligned}$$

Proof: For any integer  $n \geq 0$  we have



$$\begin{array}{ccccccc}
 0 & \rightarrow & C_n(A) & \xrightarrow{\hat{\tau}} & C_n(X) & \xrightarrow{\hat{\sigma}} & \boxed{C_n(X, A)} \rightarrow 0 \\
 & & \downarrow \partial & & \downarrow \partial & & \downarrow \partial \\
 0 & \rightarrow & \boxed{C_{n-1}(A)} & \xrightarrow{\hat{\tau}} & C_{n-1}(X) & \xrightarrow{\hat{\sigma}} & C_{n-1}(X, A) \rightarrow 0
 \end{array}$$

But instead consider the following diagram

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 \cdots & \rightarrow & A_{n+1} & \xrightarrow{\partial} & A_n & \xrightarrow{\partial} & \boxed{A_{n-1}} \rightarrow \cdots \\
 & & \downarrow \hat{\tau} & & \downarrow \hat{\tau} & & \downarrow \hat{\tau} \\
 \cdots & \rightarrow & B_{n+1} & \xrightarrow{\partial} & B_n & \xrightarrow{\partial} & B_{n-1} \rightarrow \cdots \\
 & & \downarrow \hat{\sigma} & & \downarrow \hat{\sigma} & & \downarrow \hat{\sigma} \\
 \cdots & \rightarrow & C_{n+1} & \xrightarrow{\partial} & \boxed{C_n} & \xrightarrow{\partial} & C_{n-1} \rightarrow \cdots \\
 & & \downarrow & & \downarrow & & \downarrow \\
 & & 0 & & 0 & & 0
 \end{array}$$

All squares are assumed to be commutative.

$(A_*, \partial)$ ,  $(B_*, \partial)$ ,  $(C_*, \partial)$  are chain complexes (of abelian groups) and all vertical sequences are short exact:

$\hat{\tau}$ : injective,  $\hat{\sigma}$ : surjective and  $\text{Im } \hat{\tau} = \ker \hat{\sigma}$ .

The  $\hat{\tau}$  and  $\hat{\sigma}$  induce homomorphism on homology level:

$$\hat{\tau}_* : H_n(A) \rightarrow H_n(B) \quad \text{and} \quad \hat{\sigma}_* : H_n(B) \rightarrow H_n(C).$$

$$\hat{\tau} : Z_n(A) \rightarrow Z_n(B) \quad \alpha \in Z_n(A) = \ker \partial$$

then  $\partial(\hat{\tau}(\alpha)) = \hat{\tau}(\partial\alpha) = \hat{\tau}(0) = 0$  so that  $\hat{\tau}(\alpha) \in Z_n(B)$ .

$$\begin{array}{ccc}
 B_n(A) \cong Z_n(A) & \xrightarrow{\hat{\tau}} & Z_n(B) \\
 \downarrow & & \downarrow \\
 H_n(A) = \frac{Z_n(A)}{B_n(A)} & \xrightarrow{\hat{\tau}_*} & \frac{Z_n(B)}{B_n(B)} = H_n(B)
 \end{array}$$

$\alpha \in Z_n(A)$ , if  $\alpha = \partial \beta$  for some  $\beta \in A_{n+1}$ , then

$$\hat{\tau}(\alpha) = \hat{\tau}(\partial \beta) = \partial(\hat{\tau}\beta) \Rightarrow \hat{\tau}(\alpha) \in B_n(B).$$

Similarly,  $\hat{\sigma}: B_n \rightarrow C_n$  induces a homomorphism

$$\hat{\sigma}_*: H_n(B) \rightarrow H_n(C).$$

Now we define the boundary map

$$\partial: H_n(C) \rightarrow H_{n-1}(A) \text{ defined as}$$

$\partial([\gamma]) = [\alpha]$ , when  $\alpha$  is as in the above diagram.

must show:  $\alpha$  is a cycle and the map is

independent of the several choices made in the definition.

$\partial\alpha = 0$  is seen by diagram chasing.

Other claims can be proven again by diagram chasing.

So it gives a long exact sequence

$$\dots \rightarrow H_n(A) \rightarrow H_n(B) \rightarrow H_n(C) \rightarrow H_{n-1}(A) \rightarrow \dots \rightarrow H_0(C).$$

This algebraic fact taking  $A_n = C_n(A)$ ,  $B_n = C_n(X)$

and  $C_n = C_n(X, A)$  we obtain the long exact sequence of the pair  $(X, A)$ :

$$\dots \rightarrow H_n(A) \xrightarrow{\tilde{H}_n} H_n(X) \xrightarrow{\tilde{H}_n} H_n(X, A) \xrightarrow{\partial} H_{n-1}(A) \rightarrow \dots$$

Example:  $(X, A) = (D^n, \partial D^n = S^{n-1})$

$$H_k(D^n) \rightarrow H_k(D^n, S^{n-1}) \rightarrow H_{k-1}(S^{n-1}) \rightarrow H_{k-1}(D^n) \rightarrow \dots$$

$$H_{n+1}(D^n) \rightarrow H_{n+1}(D^n, S^{n-1}) \rightarrow H_n(S^{n-1}) \rightarrow H_n(D^n)$$

$\overset{0}{\parallel}$ 
 $\Rightarrow$ 
 $\overset{0}{\parallel}$ 
 $\overset{0}{\parallel}$ 
 $\overset{0}{\parallel}$

$$\rightarrow H_n(D^n, S^{n-1}) \xrightarrow{\cong} H_{n-1}(S^{n-1}) \rightarrow H_{n-1}(D^n) \rightarrow \dots$$

$\Rightarrow$ 
 $\mathbb{Z}$ 
 $\mathbb{Z}$ 
 $\overset{0}{\parallel}$

It follows that  $H_k(D^n, S^{n-1}) = \begin{cases} \mathbb{Z} & \text{if } k=n \\ 0 & \text{if } k \neq n \end{cases}$

$$H_2(S^{n-1}) \xrightarrow{\downarrow} H_2(D^n) \xrightarrow{\downarrow} H_2(D^n, S^{n-1}) \rightarrow 0$$

$\mathbb{Z}$  or  $\mathbb{Z} \oplus \mathbb{Z}$ 
 $\mathbb{Z}$ 
 $\overset{0}{\parallel}$

onto  $\Rightarrow = 0$

Example: (Exercise) For any space  $X$  and  $x_0 \in X$  we have  $H_n(X, x_0) \cong \tilde{H}_n(X)$ .

$$A = \{x_0\}$$

$$\rightarrow H_n(A) \rightarrow H_n(X) \xrightarrow{\cong} H_n(X, A) \rightarrow H_{n-1}(A) \rightarrow \dots$$

$$n \geq 2$$

$$n=2 \quad H_2(A) \rightarrow H_2(X) \xrightarrow{\cong} H_2(X, A) \rightarrow H_1(A) \rightarrow H_1(X) \xrightarrow{\cong} H_1(X, A)$$

$$\rightarrow H_0(A) \rightarrow H_0(X) \rightarrow H_0(X, A) \rightarrow 0$$

$$\Rightarrow H_k(X, x_0) = H_k(X) \quad \forall k \geq 1.$$

$$0 \rightarrow H_0(x_0) \rightarrow H_0(X) \rightarrow H_0(X, A) \rightarrow 0$$

$$\mathbb{Z} \rightarrow \mathbb{Z} \oplus \dots \quad H_0(X) / \langle [x_0] \rangle \cong \tilde{H}_0(X)$$

Proposition: If two maps  $f, g: (X, A) \rightarrow (Y, B)$

are homotopic through maps of pairs  $(X, A) \rightarrow (Y, B)$ ,

then  $f_* = g_*: H_n(X, A) \rightarrow H_n(Y, B)$ .

Proof The prism operator  $P: C_n(X) \rightarrow C_{n+1}(Y)$

takes  $C_n(A)$  to  $C_{n+1}(B)$  and this induces a relative prism operator

$$P: C_n(X)/C_n(A) \rightarrow C_{n+1}(Y)/C_{n+1}(B)$$

One can finish the proof using the prism operator.

Remark: Assume that we have  $B \subseteq A \subseteq X$ , but

$$A_n = C_n(A, B), \quad B_n = C_n(X, B), \quad C_n = C_n(X, A)$$

Then we have exact sequence:

$$\begin{array}{ccccccc} 0 \rightarrow A_n & \rightarrow & B_n & \rightarrow & C_n & \rightarrow & 0 \text{ for all } n. \\ \parallel & & \parallel & & \parallel & & \\ 0 \rightarrow C_n(A)/C_n(B) & \rightarrow & C_n(X)/C_n(B) & \rightarrow & C_n(X)/C_n(A) & \rightarrow & 0 \end{array}$$

Now the algebraic result gives the long exact sequence of the triple  $(B, A, X)$

$$\dots \rightarrow H_n(A, B) \rightarrow H_n(X, B) \rightarrow H_n(X, A) \rightarrow H_{n-1}(A, B) \rightarrow \dots$$

Excision: